BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

John Patrick Beauclair, M.D.

Physician's and Surgeon's Certificate No. G 17614

Respondent.

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on November 10, 2022.

IT IS SO ORDERED: October 11, 2022.

MEDICAL BOARD OF CALIFORNIA

Case No.: 800-2018-042136

Laurie Rose Lubiano, J.D., Chair

Panel A

	II		
1	ROB BONTA	·	
2	Attorney General of California EDWARD KIM		
3.	Supervising Deputy Attorney General CHRISTINA SEIN GOOT		
4	Deputy Attorney General State Bar No. 229094		
5	Department of Justice 300 So. Spring Street, Suite 1702		
6	Los Angeles, CA 90013 Telephone: (213) 269-6481		
7	Facsimile: (916) 731-2117 Attorneys for Complainant		
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9	BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10			
11	In the Matter of the Accusation Against:	Case No. 800-2018-042136	
12	JOHN PATRICK BEAUCLAIR, M.D.	OAH No. 2021090424	
13	4728 Gould Avenue La Canada, CA 91011	·	
14	Physician's and Surgeon's	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER	
15	Certificate No. G 17614,		
16	Respondent.		
17			
18	IT IS HEREBY STIPULATED AND AG	REED by and between the parties to the above-	
19	entitled proceedings that the following matters a	are true:	
20	PAI	RTIES	
21	1. William Prasifka (Complainant) is t	he Executive Director of the Medical Board of	
22	California (Board). He brought this action solel	ly in his official capacity and is represented in this	
23	matter by Rob Bonta, Attorney General of the State of California, by Christina Sein Goot, Deput		
24	Attorney General.		
25	2. Respondent John Patrick Beauclair,	M.D. (Respondent) is representing himself in this	
26	proceeding and has chosen not to exercise his right to be represented by counsel.		
27	3. On or about November 5, 1969, the Board issued Physician's and Surgeon's		
28	Certificate No. G 17614 to Respondent. The Physician's and Surgeon's Certificate was in full		

force and effect at all times relevant to the charges brought in Accusation No. 800-2018-042136, and will expire on December 31, 2023, unless renewed.

JURISDICTION

- 4. Accusation No. 800-2018-042136 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on March 3, 2021. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2018-042136 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2018-042136. Respondent has also carefully read, fully discussed with his counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. Respondent understand and agrees that the charges and allegations in Accusation No. 800-2018-042136, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate. Respondent hereby gives up his right to contest those charges and allegations.
 - 10. Respondent does not contest that, at an administrative hearing, Complainant could

establish a *prima facie* case with respect to the charges and allegations contained in Accusation No. 800-2018-042 and that he has thereby subjected his license to disciplinary action.

11. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

RESERVATION

12. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board is involved, and shall not be admissible in any other criminal or civil proceeding.

CONTINGENCY

- 13. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 14. Respondent agrees that if he ever petitions for early termination or modification of probation, or if an accusation and/or petition to revoke probation is filed against him before the Board, all of the charges and allegations contained in Accusation No. 800-2018-042136 shall be deemed true, correct and fully admitted by Respondent for purposes of any such proceeding or any other licensing proceeding involving Respondent in the State of California.
- 15. This Stipulated Settlement and Disciplinary Order is intended by the parties herein to be an integrated writing representing the complete, final and exclusive embodiment of the agreement of the parties in this above entitled matter.

- 16. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 17. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G 17614 issued to Respondent John Patrick Beauclair, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for four (4) years upon the following terms and conditions:

- 1. <u>EDUCATION COURSE</u>. Within 60 calendar days of the effective date of this Decision, and on an annual basis thereafter, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours per year, for each year of probation. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of CME of which 40 hours were in satisfaction of this condition.
- 2. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a professionalism program, that meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1. Respondent shall participate in and successfully complete that program. Respondent shall provide any information and documents that the program may deem pertinent. Respondent shall successfully complete the classroom component of the program not later than six (6) months after Respondent's initial enrollment, and the longitudinal component of the program not later than the time specified by the program, but no later than one (1) year after attending the classroom

component. The professionalism program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A professionalism program taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the program or not later than 15 calendar days after the effective date of the Decision, whichever is later.

3. MONITORING - PRACTICE. Within 30 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for prior approval as a practice monitor, the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision(s) and Accusation(s), and a proposed monitoring plan. Within 15 calendar days of receipt of the Decision(s), Accusation(s), and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision(s) and Accusation(s), fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan with the signed statement for approval by the Board or its designee.

Within 60 calendar days of the effective date of this Decision, and continuing throughout probation, Respondent's practice shall be monitored by the approved monitor. Respondent shall

make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

If Respondent fails to obtain approval of a monitor within 60 calendar days of the effective date of this Decision, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a monitor is approved to provide monitoring responsibility.

The monitor(s) shall submit a quarterly written report to the Board or its designee which includes an evaluation of Respondent's performance, indicating whether Respondent's practices are within the standards of practice of medicine and whether Respondent is practicing medicine safely. It shall be the sole responsibility of Respondent to ensure that the monitor submits the quarterly written reports to the Board or its designee within 10 calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, Respondent shall, within 5 calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within 15 calendar days. If Respondent fails to obtain approval of a replacement monitor within 60 calendar days of the resignation or unavailability of the monitor, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

After twenty four (24) months of continuous compliance with this condition, if the practice monitor determines, and so states in a written report, that Respondent no longer needs further practice monitoring, and the Board or its designee, in its sole discretion, determines that the further practice monitoring is no longer needed, this condition shall be deemed satisfied and completed, following written notification by the Board or its designee to the practice monitor.

In lieu of a monitor, Respondent may participate in a professional enhancement program approved in advance by the Board or its designee that includes, at minimum, quarterly chart

review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of probation.

4. NOTIFICATION. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 5. <u>SUPERVISION OF ADVANCED PRACTICE NURSES</u>. During probation, Respondent is prohibited from supervising advanced practice nurses.
- 6. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
- 7. <u>INVESTIGATION/ENFORCEMENT COST RECOVERY</u>. Respondent is hereby ordered to reimburse the Board its costs of investigation and enforcement since 2022, including, but not limited to, expert review, amended accusations, legal reviews, joint investigations, and subpoena enforcement, as applicable, in the amount of \$9,505.00 (nine thousand five hundred five dollars and zero cents). Costs shall be payable to the Medical Board of California. Failure to pay such costs shall be considered a violation of probation.

Any and all requests for a payment plan shall be submitted in writing by Respondent to the Board.

The filing of bankruptcy by Respondent shall not relieve Respondent of the responsibility to repay investigation and enforcement costs.

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8. QUARTERLY DECLARATIONS. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

9. GENERAL PROBATION REQUIREMENTS.

Compliance with Probation Unit

Respondent shall comply with the Board's probation unit.

Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021, subdivision (b).

Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

- 10. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- 11. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If Respondent resides in California and is considered to be in non-practice, Respondent shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve Respondent from complying with all the terms and conditions of probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete the Federation of State Medical Boards's Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years.

Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for a Respondent residing outside of California will relieve
Respondent of the responsibility to comply with the probationary terms and conditions with the
exception of this condition and the following terms and conditions of probation: Obey All Laws;
General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or

Controlled Substances; and Biological Fluid Testing.

- 12. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- 13. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy the terms and conditions of probation, Respondent may request to surrender his or her license. The Board reserves the right to evaluate Respondent's request and to exercise its discretion in determining whether or not to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its designee and Respondent shall no longer practice medicine. Respondent will no longer be subject to the terms and conditions of probation. If Respondent re-applies for a medical license, the application shall be treated as a petition for reinstatement of a revoked certificate.
- 15. PROBATION MONITORING COSTS. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

1	16. <u>FUTURE ADMISSIONS CLAUSE</u> . If Respondent should ever apply or reapply for		
2	a new license or certification, or petition for reinstatement of a license, by any other health care		
3	licensing action agency in the State of California, all of the charges and allegations contained in		
4	Accusation No. 800-2018-042136 shall be deemed to be true, correct, and admitted by		
5	Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or		
6	restrict license.		
7	ACCEPTANCE		
8	I have carefully read the Stipulated Settlement and Disciplinary Order. I understand the		
9	· · · · · · · · · · · · · · · · · · ·		
10	this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and		
11	agree to be bound by the Decision and Order of the Medical Board of California.		
12			
13	DATED: 5/26/22 Stuff Bulan MD		
14	JOHN PATRICK BEAUCLAIR, M.D. Bespondent		
15			
16	ENDORSEMENT		
17	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully		
18 19	submitted for consideration by the Medical Board of California.		
20	DATED: Respectfully submitted,		
21	ROB BONTA		
22	Attorney General of California EDWARD KIM		
23	Supervising Deputy Attorney General		
24			
25	CHRISTINA SEIN GOOT Deputy Attorney General		
26	Attorneys for Complainant		
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1	16. <u>FUTURE ADMISSIONS CLAUSE</u> . If R	Respondent should ever apply or reapply for	
2	a new license or certification, or petition for reinstatement of a license, by any other health care		
3	licensing action agency in the State of California, all of the charges and allegations contained in		
4	Accusation No. 800-2018-042136 shall be deemed to be true, correct, and admitted by		
5	Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny		
6	restrict license.		
7	ACCEPTANCE		
8	I have carefully read the Stipulated Settlement and Disciplinary Order. I understand the		
9	stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into		
10	this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and		
11	agree to be bound by the Decision and Order of the Medical Board of California.		
12			
13			
14	JOHN PATRICK BEAUCLAIR, M.D. Respondent		
15			
16	ENDORSEM	ENT	
17	The foregoing Stipulated Settlement and Discipl	linary Order is hereby respectfully	
18	submitted for consideration by the Medical Board of C	California.	
19			
20)	Respectfully submitted,	
21	.	ROB BONTA Attorney General of California	
22		EDWARD KIM Supervising Deputy Attorney General	
23		15 Pai Orst	
24		CHRISTINA SEIN GOOT	
25		Deputy Attorney General Attorneys for Complainant	
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1	XAVIER BECERRA		
2	11		
3	Supervising Deputy Attorney General State Bar No. 155307		
4	300 So. Spring Street, Suite 1702		
5	Los Angeles, CA 90013		
	Telephone: (213) 269-6453 Facsimile: (916) 731-2117		
6	Attorneys for Complainant		
7	REFO	RE THE	
8	MEDICAL BOARD OF CALIFORNIA		
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10			
11	In the Matter of the Accusation Against:	Case No. 800-2018-042136	
12	John Patrick Beauclair, M.D. 292 East Foothill Blvd., Suite C	ACCUSATION	
13	Arcadia, CA 91006		
14 15	Physician's and Surgeon's Certificate No. G 17614,	,	
16	Respondent		
17			
18	PAR	RTIES	
19		ngs this Accusation solely in his official capacity	
20	as the Executive Director of the Medical Board of		
21	(Board).	or Camorina, Department of Consumer Affairs	
		December 1791 of the second	
22	li	Board issued Physician's and Surgeon's	
23	Certificate No. G 17614 to John Patrick Beauclair, M.D. (Respondent). The Physician's and		
24	Surgeon's Certificate was in full force and effect at all times relevant to the charges brought		
25	herein and will expire on December 31, 2021, unless renewed.		
26		•	
27	<i> </i>	•	
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3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.

4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.

5. Section 125 of the Code states:

Any person, licensed under Division 1 (commencing with Section 100), Division 2 (commencing with Section 500), or Division 3 (commencing with Section 5000) is guilty of a misdemeanor and subject to the disciplinary provisions of this code applicable to them, who conspires with a person not so licensed to violate any provision of this code, or who, with intent to aid or assist that person in violating those provisions does either of the following:

- (a) Allows their license to be used by that person.
- (b) Acts as their agent or partner.

6. Section 2052 of the Code states:

- (a) Notwithstanding Section 146, any person who practices or attempts to practice, or who advertises or holds himself or herself out as practicing, any system or mode of treating the sick or afflicted in this state, or who diagnoses, treats, operates for, or prescribes for any ailment, blemish, deformity, disease, disfigurement, disorder, injury, or other physical or mental condition of any person, without having at the time of so doing a valid, unrevoked, or unsuspended certificate as provided in this chapter [Chapter 5, the Medical Practice Act], or without being authorized to perform the act pursuant to a certificate obtained in accordance with some other provision of law, is guilty of a public offense, punishable by a fine not exceeding ten thousand dollars (\$10,000), by imprisonment pursuant to subdivision (h) of Section 1170 of the Penal Code, by imprisonment in a county jail not exceeding one year, or by both the fine and either imprisonment.
- (b) Any person who conspires with or aids or abets another to commit any act described in subdivision (a) is guilty of a public offense, subject to the punishment described in that subdivision.
- (c) The remedy provided in this section shall not preclude any other remedy provided by law.

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12. Section 2406 of the Code states:

A medical or podiatry corporation is a corporation which is authorized to render professional services, as defined in Section 13401 of the Corporations Code, so long as that corporation and its shareholders, officers, directors and employees rendering professional services who are physicians and surgeons, psychologists, registered nurses, optometrists, podiatrists, chiropractors, acupuncturists, naturopathic doctors, physical therapists, occupational therapists, or, in the case of a medical corporation only, physician assistants, marriage and family therapists, clinical counselors, or clinical social workers, are in compliance with the Moscone-Knox Professional Corporation Act [Corporations Code section 13400 et seq.], the provisions of this article and all other statutes and regulations now or hereafter enacted or adopted pertaining to the corporation and the conduct of its affairs.

With respect to a medical corporation or podiatry corporation, the governmental agency referred to in the Moscone-Knox Professional Corporation Act is the board.

13. Section 2410 of the Code states:

A medical or podiatry corporation shall not do or fail to do any act the doing of which or the failure to do which would constitute unprofessional conduct under any statute or regulation now or hereafter in effect. In the conduct of its practice, it shall observe and be bound by such statutes and regulations to the same extent as a licensee under this chapter [Chapter 5, the Medical Practice Act].

14. California Code of Regulations, title 16, section 1343 states, in pertinent part:

A professional corporation shall comply with the following provisions:

- (a) The corporation is organized and exists pursuant to the general corporation law and is a professional corporation within the meaning of the Moscone-Knox Professional Corporations Act (Corporations Code Section 13400 *et seq.*).
- (b) Each shareholder, director or officer (except as provided in Section 13403 of the Corporations Code and Section 2408 of the code) holds a valid physician's and surgeon's certificate ... provided that, a licensed podiatrist, psychologist, optometrist, physician's assistant, clinical social worker, marriage, family and child counselor, chiropractor or registered nurse may be a shareholder, director or officer of a medical corporation so long as such licensed persons own no more than 49% of the total shares issued by the medical corporation and the number of licensed persons owning shares in the medical corporation does not exceed the number of physicians owning shares in such a corporation...
- (c) Each professional employee of the corporation who will practice medicine, podiatry, psychology, optometry, clinical social work, marriage, family and child counselling, chiropractic or nursing, whether or not a director, officer or shareholder, holds a valid license.

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15. Unprofessional conduct under California Business and Professions Code section 2234 is conduct which breaches the rules or ethical code of the medical profession, or conduct which is unbecoming to a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine.¹

FIRST CAUSE FOR DISCIPLINE

(Aiding and Abetting Unlicensed Practice of Medicine)

- 16. Respondent has subjected his Physician's and Surgeon's Certificate No. G 17614 to disciplinary action under sections 2227, 2264, and 2234, subdivision (a), as defined by sections 2052, subdivision (b), and 125, of the Code, in that he aided and abetted the unlicensed practice of medicine, as more particularly alleged hereinafter:
- 17. On or about October 1, 2015, C.C., a person who is not licensed as a physician and surgeon in California, formed a professional corporation called, "Envy Aesthetics Center," (Envy) located at 1326 S. Baldwin Ave., Arcadia, California 91007. Neither Respondent, nor any other physician and surgeon is listed on this document.
- 18. On or about October 19, 2015, J.C., an unlicensed person and the wife of C.C., filed a Statement of Information with the California Secretary of State listing Envy's officers and directors. J.C. listed herself as the Chief Executive Officer, Secretary, Chief Financial Officer, sole Director, and the Agent for Service of Process of Envy. Neither Respondent, nor any other physician and surgeon is listed on this document.
- 19. On or about August 22, 2016, Respondent signed and submitted under penalty of perjury a Fictitious Name Permit (FNP) to the Board for Envy. On this document, Respondent identified the business type as an individual (sole proprietor) and identified himself as the sole owner. The FNP was issued by the Board on October 7, 2016, with an expiration date of October 31, 2020.
- 20. On or about November 21, 2018, Respondent signed an agreement with Olympia Compounding Pharmacy that any prescriptions submitted on behalf of Envy would comply with necessary office visit requirements.

¹ Shea v. Board of Medical Examiners (1978) 81 Cal.App.3d 564, 575.

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- 21. On or about December 1, 2018, J.C. applied for a tenant improvement permit with the City of Arcadia for Envy at 1326 S. Baldwin Ave. On the application, J.C. identified herself as the Director of the business.
- 22. On or about December 1, 2018, The City of Arcadia issued a business license for Envy as a retail cosmetics, laser, injection, facial, and skin care office of physicians. J.C. is identified as the owner on this document.
- 23. On or about November 30, 2019, Patient A² presented at Envy for platelet rich plasma (PRP) therapy.³ Patient A completed a registration form, arbitration form, and a consent form for this treatment. The consent form was witnessed by H.Y., and signed by J.C. as the NP/PA/MA. J.C. performed the PRP on Patient A. Patient A did not see a physician at any time during this visit and her chart does not contain a good faith exam.
- 24. On or about December 1, 2019, the City of Arcadia issued a business license for Envy as a retail cosmetics, laser, injection, facial, and skin care office of physicians. J.C. is identified as the owner on this document.
- 25. On or about February 13, 2020, Respondent signed a Medical Director's Liability Application Form for Envy. On this document, Respondent identified his specialty as ophthalmology and denied having any board certifications. He further indicated that he was an owner of the facility, the medical director for the last three years, and performs good faith exams only.
- 26. On or about February 20, 2020, J.C. signed a medical proof of liability insurance application for Envy. On this document, J.C. indicated that the facility was owned by herself and Respondent, identified Respondent as the medical director, and referred to herself as a registered nurse. In addition, J.C. stated that they use Dermalax dermal filler from Korea, and Vivacy Stylage dermal filler from France, at the facility.

² To protect the privacy of the patient involved, the patient's name has not been included in this pleading. Respondent is aware of the identity of the patient referred to herein.

³ Platelet rich plasma (PRP) therapy (also known as a "vampire facial") involves taking the patient's blood, extracting the plasma, and then injecting it into the patient's face.

- 27. On or about March 2, 2020, Respondent signed a healthcare practitioner authorization form for Medline Industries, Inc., authorizing one account for Envy to purchase prescription drugs and devices utilizing his state medical license and DEA license.
- 28. On or about August 12, 2020, Investigator K.O. from the Division of Investigations contacted Envy by FaceTime for a consultation. Investigator K.O. posed as a potential patient and spoke with J.C.⁴ During this video call, J.C. explained various procedures in detail, including Botox⁵, thread lift,⁶ PRP therapy, Ultherapy,⁷ and Thermage.⁸ J.C. informed Investigator K.O. how much these procedures would cost and some risks and benefits of each. J.C. further informed Investigator K.O. that all injections were performed by the physician assistant, J.L., and that she just handles the consultation. When Investigator K.O. asked if people can get burned with Ultherapy, J.C. responded, "of course, this is medical."
- 29. On or about September 15, 2020, Investigator K.O. contacted Envy by FaceTime for a second consultation and spoke with J.C. During this video call, J.C. explained the thread lift and PRP procedures. J.C. recommended Investigator K.O. receive the thread lift procedure, as well as PRP, Juvederm⁹ filler, and Ultherapy. J.C. informed Investigator K.O. that she does not perform injections, but admitted she performs the laser, Ultherapy, and Thermage.
- 30. On or about September 17, 2020, Envy's website located at www.envyff.com identified three separate clinic locations, including 1326 Baldwin Ave. in Arcadia. The

⁴ During this call, J.C. referred to herself as "Diane Wang," but was later identified as J.C.

⁵ Botox (Botulinum Toxin Type-A) is a purified protein produced by clostridium botulinum bacterium, which reduces the activity of the muscles that cause frown lines and wrinkles to form over time when injected under the skin. Botox is a dangerous drug pursuant to Business and Professions Code section 4022, and can only be dispensed upon a prescription.

⁶ A thread lift is a type of procedure wherein temporary sutures are used to produce a subtle but visible "lift" in the skin.

⁷ Ultherapy uses ultrasound technology and heat to stimulate collagen production and to tighten the skin.

⁸ Thermage uses radiofrequency technology and heat to stimulate collagen production and to tighten the skin.

⁹ Juvéderm is an injectable filler composed of largely hyaluronic acid. It is used to soften deep folds and reduce wrinkles in the faces of patients. Juvéderm is a dangerous drug pursuant to Business and Professions Code section 4022, and can only be dispensed upon a prescription.

advertised services offered at Envy included, but were not limited to, injectables (Botox, Dysport, Juvederm Voluma, Kybella, Restalyne, PDO Thread Lift, Sculptra, PRP Microneedling, PRP Mesotherapy, and Filora Mesotherapy), laser treatment, body contouring, and skin rejuvenation. A photograph of Respondent is depicted on the website, identifying him as a board certified surgeon. Respondent does not hold any board certifications.

- 31. On or about September 17, 2020, Envy's website located at www.envymedspa.wpcomstaging.com identified two clinic locations, including at 1326 Baldwin Ave. in Arcadia. The advertised services offered at Envy included, but were not limited to, medical aesthetics (injections, Botox, PiQo4, Ultherapy, Thread Lift, and Stem Cell Therapy), facials, eyelash extensions, and hair removal. A testimonial posted on the website stated in pertinent part, "Did ultherapy with Jennifer last month. The result is really good..."
- 32. On or about September 30, 2020, Investigator K.O. contacted J.C. and made an appointment to receive a Thermage treatment on October 9, 2020.
- 33. On or about October 9, 2020, Investigator K.O. presented to Envy at 1326 Baldwin Ave. in Arcadia for her Thermage treatment, and met with J.C. No other medical personnel was present during this encounter at any time. J.C. explained the process of Thermage and the potential side effects to Investigator K.O. J.C. provided Investigator K.O. with paperwork to complete, including a registration form, an arbitration agreement, and a consent form. These forms were not countersigned by any other person. J.C. then escorted Investigator K.O. to an exam room where the procedure was to be performed. J.C. then brought the Thermage machine into the room and explained that she would need to apply a numbing cream to her face before initiating the procedure. Investigator K.O. informed J.C. that she did not want the numbing cream and left the clinic. Shortly thereafter, a team of investigators entered Envy and executed a search warrant on the facility.
- 34. On or about October 9, 2020, investigators from the Division of Investigations searched the Envy facility. During this search, investigators found, among other things, expired medications, foreign-sourced medications, and bottles of transxamic acid for office use that had been prescribed by Respondent.

35. On or about October 9, 2020, J.C. was interviewed by an investigator from the Division of Investigations. During this interview, J.C. claimed that Respondent owns the business, but he does not come in. She initially claimed to only work the front desk and denied performing any procedures at Envy. J.C. later admitted performing minor procedures like hair removal, admitted having no licenses with any licensing board in California, and then refused to answer any more questions.

SECOND CAUSE FOR DISCIPLINE

(Violation of the Laws Regarding Medical Corporations)

36. Respondent has further subjected his Physician's and Surgeon's Certificate No. G 17614 to disciplinary action under sections 2227, 2234, and 2286, as defined by sections 2400, 2406, and 2410, and 3541, of the Code, and California Code of Regulations, title 16, section 1343, in that he violated or assisted in or abetted the violation of the Moscone-Knox Professional Corporation Act (Part 4 commencing with Section 13400) of Division 3 of Title 1 of the Corporations Code), or of any rules and regulations duly adopted under those laws, as more particularly alleged in paragraphs 16 through 35, above, which are hereby incorporated by reference and realleged as if fully set forth herein.

THIRD CAUSE FOR DISCIPLINE

(Dishonesty or Corruption)

37. Respondent has further subjected his Physician's and Surgeon's Certificate No. G 17614 to disciplinary action under sections 2227, and 2234, subdivisions (e), of the Code, in that he engaged in an act or acts of dishonesty that are substantially related to the qualifications, functions, or duties of a physician and surgeon, as more particularly alleged in paragraphs 16 through 35, above, which are hereby incorporated by reference and realleged as if fully set forth herein.

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FOURTH CAUSE FOR DISCIPLINE

(Gross Negligence)

- 38. Respondent has further subjected his Physician's and Surgeon's Certificate No. G 17614 to disciplinary action under sections 2227 and 2234, as defined by section 2234, subdivision (b), of the Code, in that he was grossly negligent, as more particularly alleged hereinafter:
 - (a) Paragraphs 16 through 35, above, are incorporated by reference and realleged as if fully set forth herein.
 - (b) Respondent committed gross negligence as the Medical Director of Envy, by permitting the use of foreign-sourced and/or non-FDA-approved medical products in the facility.

FIFTH CAUSE FOR DISCIPLINE

(False Representations)

39. Respondent has further subjected his Physician's and Surgeon's Certificate No. G 17614 to disciplinary action under sections 2227, 2234, and 2261, of the Code, in that he knowingly signed a document related to the practice of medicine which falsely represents the existence of a state of facts, as more particularly alleged in paragraphs 16 through 35, above, which are hereby incorporated by reference and realleged as if fully set forth herein.

SIXTH CAUSE FOR DISCIPLINE

(General Unprofessional Conduct)

40. Respondent has further subjected his Physician's and Surgeon's Certificate No. G 17614 to disciplinary action under sections 2227, 2234, and 2234, subdivision (a), of the Code, in that he engaged in conduct which breached the rules or ethical code of the medical profession or which was unbecoming a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine, as more particularly alleged in paragraphs 16 through 35, above, which are hereby incorporated by reference and realleged as if fully set forth herein.

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