

**BEFORE THE
MEDICAL BOARD OF CALIFORNIA
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA**

**In the Matter of the First Amended
Accusation Against:**

Godfrey D. Dyne, M.D.

**Physician's and Surgeon's
Certificate No. A 43164**

Respondent.

Case No.: 800-2017-036425

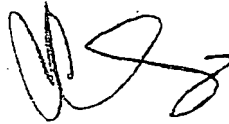
DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on February 25, 2022.

IT IS SO ORDERED: January 27, 2022.

MEDICAL BOARD OF CALIFORNIA



**Laurie Rose Lubiano, J.D., Chair
Panel A**

1 ROB BONTA
Attorney General of California
2 JUDITH T. ALVARADO
Supervising Deputy Attorney General
3 EDWARD KIM
Deputy Attorney General
4 State Bar No. 195729
California Department of Justice
5 300 So. Spring Street, Suite 1702
Los Angeles, CA 90013
6 Telephone: (213) 269-6000
Facsimile: (916) 731-2117
7 *Attorneys for Complainant*

8 **BEFORE THE**
9 **MEDICAL BOARD OF CALIFORNIA**
10 **DEPARTMENT OF CONSUMER AFFAIRS**
STATE OF CALIFORNIA

11 In the Matter of the First Amended Accusation
12 Against:

13 **GODFREY D. DYNE, M.D.**
14 **816 N. Milpas Street**
Santa Barbara, CA 93103

15 **Physician's and Surgeon's**
16 **Certificate No. A 43164,**

Respondent.

Case No. 800-2017-036425

OAH No. 2020120165

STIPULATED SETTLEMENT AND
DISCIPLINARY ORDER

17 In the interest of a prompt and speedy settlement of this matter, consistent with the public
18 interest and the responsibility of the Medical Board of California of the Department of Consumer
19 Affairs, the parties hereby agree to the following Stipulated Settlement and Disciplinary Order
20 which will be submitted to the Board for approval and adoption as the final disposition of the
21 First Amended Accusation.

22 **PARTIES**

23 1. William Prasifka (Complainant) is the Executive Director of the Medical Board of
24 California (Board). He brought this action solely in his official capacity and is represented in this
25 matter by Rob Bonta, Attorney General of the State of California, by Edward Kim, Deputy
26 Attorney General.

27 2. Respondent Godfrey D. Dyne, M.D. (Respondent) is represented in this proceeding
28 by attorney Carolyn W. Lindholm, Esq., whose address is: 355 S. Grand Avenue, Ste. 1750,

1 Los Angeles, CA 90071-1562.

2 3. On or about October 1, 1986, the Board issued Physician's and Surgeon's Certificate
3 No. A 43164 to Godfrey D. Dyne, M.D. (Respondent). The Physician's and Surgeon's
4 Certificate was in full force and effect at all times relevant to the charges brought in First
5 Amended Accusation No. 800-2017-036425, and will expire on June 30, 2022, unless renewed.

6 **JURISDICTION**

7 4. First Amended Accusation No. 800-2017-036425 was filed before the Board, and is
8 currently pending against Respondent. The First Amended Accusation and all other statutorily
9 required documents were properly served on Respondent on May 26, 2021. Respondent timely
10 filed his Notice of Defense contesting the First Amended Accusation.

11 5. A copy of First Amended Accusation No. 800-2017-036425 is attached as Exhibit A
12 and incorporated herein by reference.

13 **ADVISEMENT AND WAIVERS**

14 6. Respondent has carefully read, fully discussed with counsel, and understands the
15 charges and allegations in First Amended Accusation No. 800-2017-036425. Respondent has
16 also carefully read, fully discussed with his counsel, and understands the effects of this Stipulated
17 Settlement and Disciplinary Order.

18 7. Respondent is fully aware of his legal rights in this matter, including the right to a
19 hearing on the charges and allegations in the First Amended Accusation; the right to confront and
20 cross-examine the witnesses against him; the right to present evidence and to testify on his own
21 behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the
22 production of documents; the right to reconsideration and court review of an adverse decision;
23 and all other rights accorded by the California Administrative Procedure Act and other applicable
24 laws.

25 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and
26 every right set forth above.

27 **CULPABILITY**

28 9. Respondent understands and agrees that the charges and allegations in First Amended

1 Accusation No. 800-2017-036425, if proven at a hearing, constitute cause for imposing discipline
2 upon his Physician's and Surgeon's Certificate.

3 10. Respondent agrees that, at a hearing, Complainant could establish a prima facie case
4 for the charges in the First Amended Accusation, and that Respondent hereby gives up his right to
5 contest those charges.

6 11. Respondent does not contest that, at an administrative hearing, complainant could
7 establish a prima facie case with respect to the charges and allegations in First Amended
8 Accusation No. 800-2017-036425, a true and correct copy of which is attached hereto as Exhibit
9 A, and that he has thereby subjected his Physician's and Surgeon's Certificate, No. A 43164 to
10 disciplinary action.

11 12. ACKNOWLEDGMENT. Respondent acknowledges the Disciplinary Order below,
12 requiring the disclosure of probation pursuant to Business and Professions Code section 2228.1,
13 serves to protect the public interest.

14 13. Respondent agrees that his Physician's and Surgeon's Certificate is subject to
15 discipline and he agrees to be bound by the Board's probationary terms as set forth in the
16 Disciplinary Order below.

17 CONTINGENCY

18 14. This stipulation shall be subject to approval by the Medical Board of California.
19 Respondent understands and agrees that counsel for Complainant and the staff of the Medical
20 Board of California may communicate directly with the Board regarding this stipulation and
21 settlement, without notice to or participation by Respondent or his counsel. By signing the
22 stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek
23 to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails
24 to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary
25 Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal
26 action between the parties, and the Board shall not be disqualified from further action by having
27 considered this matter.

28 15. Respondent agrees that if he ever petitions for early termination or modification of

1 probation, or if an accusation and/or petition to revoke probation is filed against him before the
2 Board, all of the charges and allegations contained in First Amended Accusation No. 800-2017-
3 036425 shall be deemed true, correct and fully admitted by respondent for purposes of any such
4 proceeding or any other licensing proceeding involving Respondent in the State of California.

5 16. This Stipulated Settlement and Disciplinary Order is intended by the parties herein to
6 be an integrated writing representing the complete, final, and exclusive embodiment of the
7 agreements of the parties in the above-entitled matter.

8 17. The parties understand and agree that Portable Document Format (PDF) and facsimile
9 copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile
10 signatures thereto, shall have the same force and effect as the originals.

11 18. In consideration of the foregoing admissions and stipulations, the parties agree that
12 the Board may, without further notice or opportunity to be heard by the Respondent, issue and
13 enter the following Disciplinary Order:

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15
16 **DISCIPLINARY ORDER**

17 IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 43164 issued
18 to Respondent GODFREY D. DYNE, M.D. is revoked. However, the revocation is stayed and
19 Respondent is placed on probation for five (5) years on the following terms and conditions:

20 1. **CONTROLLED SUBSTANCES - PARTIAL RESTRICTIONS.** Respondent shall
21 not order, prescribe, dispense, administer, furnish, or possess any opioid medications. Nothing in
22 this condition prohibits respondent from ordering, prescribing, dispensing, administering,
23 furnishing, or possessing buprenorphine, provided that respondent maintains a valid waiver under
24 21 U.S.C. § 823(g)(2) as a qualified practitioner. Respondent shall not order, prescribe, dispense,
25 administer, or furnish, any benzodiazepine medication, concurrently with an opioid medication,
26 including buprenorphine. Respondent shall not order, prescribe, dispense, administer, or furnish,
27 any benzodiazepine medication to any patient who had previously been prescribed, dispensed,
28 administered, or furnished an opioid medication, including buprenorphine, within the prior 60

1 days as indicated in CURES.¹ This condition shall become effective on the 180th day after the
2 effective date of this Decision, and shall continue to be effective throughout probation.

3 2. CONTROLLED SUBSTANCES - MAINTAIN RECORDS AND ACCESS TO
4 RECORDS AND INVENTORIES. Respondent shall maintain a record of all controlled
5 substances ordered, prescribed, dispensed, administered, or possessed by Respondent, and any
6 recommendation or approval which enables a patient or patient's primary caregiver to possess or
7 cultivate marijuana for the personal medical purposes of the patient within the meaning of Health
8 and Safety Code section 11362.5, during probation, showing all of the following: 1) the name and
9 address of the patient; 2) the date; 3) the character and quantity of controlled substances involved;
10 and 4) the indications and diagnosis for which the controlled substances were furnished.

11 Respondent shall keep these records in a separate file or ledger, in chronological order. All
12 records and any inventories of controlled substances shall be available for immediate inspection
13 and copying on the premises by the Board or its designee at all times during business hours and
14 shall be retained for the entire term of probation.

15 3. CLINICAL COMPETENCE ASSESSMENT PROGRAM. Within 60 calendar days
16 of the effective date of this Decision, Respondent shall enroll in a clinical competence assessment
17 program approved in advance by the Board or its designee. Respondent shall successfully
18 complete the program not later than six (6) months after Respondent's initial enrollment unless
19 the Board or its designee agrees in writing to an extension of that time.

20 The program shall consist of a comprehensive assessment of Respondent's physical and
21 mental health and the six general domains of clinical competence as defined by the Accreditation
22 Council on Graduate Medical Education and American Board of Medical Specialties pertaining to
23 Respondent's current or intended area of practice. The program shall take into account data
24 obtained from the pre-assessment, self-report forms and interview, and the Decision(s),
25 Accusation(s), and any other information that the Board or its designee deems relevant. The

26 _____
27 ¹ "CURES" means the Department of Justice, Bureau of Narcotics Enforcement's
28 California Utilization, Review and Evaluation System (CURES) for the electronic monitoring of
the prescribing and dispensing of controlled substances dispensed to patients in California
pursuant to Health and Safety Code section 11165.

1 program shall require Respondent's on-site participation for a minimum of three (3) and no more
2 than five (5) days as determined by the program for the assessment and clinical education
3 evaluation. Respondent shall pay all expenses associated with the clinical competence
4 assessment program.

5 At the end of the evaluation, the program will submit a report to the Board or its designee
6 which unequivocally states whether the Respondent has demonstrated the ability to practice
7 safely and independently. Based on Respondent's performance on the clinical competence
8 assessment, the program will advise the Board or its designee of its recommendation(s) for the
9 scope and length of any additional educational or clinical training, evaluation or treatment for any
10 medical condition or psychological condition, or anything else affecting Respondent's practice of
11 medicine. Respondent shall comply with the program's recommendations.

12 Determination as to whether Respondent successfully completed the clinical competence
13 assessment program is solely within the program's jurisdiction.

14 If Respondent fails to enroll, participate in, or successfully complete the clinical
15 competence assessment program within the designated time period, Respondent shall receive a
16 notification from the Board or its designee to cease the practice of medicine within three (3)
17 calendar days after being so notified. The Respondent shall not resume the practice of medicine
18 until enrollment or participation in the outstanding portions of the clinical competence assessment
19 program have been completed. If the Respondent did not successfully complete the clinical
20 competence assessment program, the Respondent shall not resume the practice of medicine until a
21 final decision has been rendered on the accusation and/or a petition to revoke probation. The
22 cessation of practice shall not apply to the reduction of the probationary time period.

23 4. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within 60 calendar days of
24 the effective date of this Decision, Respondent shall enroll in a professionalism program, that
25 meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1.
26 Respondent shall participate in and successfully complete that program. Respondent shall
27 provide any information and documents that the program may deem pertinent. Respondent shall
28 successfully complete the classroom component of the program not later than six (6) months after

Respondent's initial enrollment, and the longitudinal component of the program not later than the time specified by the program, but no later than one (1) year after attending the classroom component. The professionalism program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A professionalism program taken after the acts that gave rise to the charges in the First Amended Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the program or not later than 15 calendar days after the effective date of the Decision, whichever is later.

5. MONITORING - PRACTICE. Within 30 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for prior approval as a practice monitor, the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision(s) and Accusation(s), and a proposed monitoring plan. Within 15 calendar days of receipt of the Decision(s), Accusation(s), and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision(s) and Accusation(s), fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement for approval by the Board or its designee.

1 Within 60 calendar days of the effective date of this Decision, and continuing throughout
2 probation, Respondent's practice shall be monitored by the approved monitor. Respondent shall
3 make all records available for immediate inspection and copying on the premises by the monitor
4 at all times during business hours and shall retain the records for the entire term of probation.

5 If Respondent fails to obtain approval of a monitor within 60 calendar days of the effective
6 date of this Decision, Respondent shall receive a notification from the Board or its designee to
7 cease the practice of medicine within three (3) calendar days after being so notified. Respondent
8 shall cease the practice of medicine until a monitor is approved to provide monitoring
9 responsibility.

10 The monitor shall submit a quarterly written report to the Board or its designee which
11 includes an evaluation of Respondent's performance, indicating whether Respondent's practices
12 are within the standards of practice of medicine, and whether Respondent is practicing medicine
13 safely, billing appropriately or both. It shall be the sole responsibility of Respondent to ensure
14 that the monitor submits the quarterly written reports to the Board or its designee within 10
15 calendar days after the end of the preceding quarter.

16 If the monitor resigns or is no longer available, Respondent shall, within 5 calendar days of
17 such resignation or unavailability, submit to the Board or its designee, for prior approval, the
18 name and qualifications of a replacement monitor who will be assuming that responsibility within
19 15 calendar days. If Respondent fails to obtain approval of a replacement monitor within 60
20 calendar days of the resignation or unavailability of the monitor, Respondent shall receive a
21 notification from the Board or its designee to cease the practice of medicine within three (3)
22 calendar days after being so notified. Respondent shall cease the practice of medicine until a
23 replacement monitor is approved and assumes monitoring responsibility.

24 In lieu of a monitor, Respondent may participate in a professional enhancement program
25 approved in advance by the Board or its designee that includes, at minimum, quarterly chart
26 review, semi-annual practice assessment, and semi-annual review of professional growth and
27 education. Respondent shall participate in the professional enhancement program at
28 Respondent's expense during the term of probation.

1 6. NOTIFICATION. Within seven (7) days of the effective date of this Decision, the
2 Respondent shall provide a true copy of this Decision and First Amended Accusation to the Chief
3 of Staff or the Chief Executive Officer at every hospital where privileges or membership are
4 extended to Respondent, at any other facility where Respondent engages in the practice of
5 medicine, including all physician and locum tenens registries or other similar agencies, and to the
6 Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage
7 to Respondent. Respondent shall submit proof of compliance to the Board or its designee within
8 15 calendar days.

9 This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

10 7. SUPERVISION OF PHYSICIAN ASSISTANTS AND ADVANCED PRACTICE
11 NURSES. During probation, Respondent is prohibited from supervising physician assistants and
12 advanced practice nurses.

13 8. OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, all rules
14 governing the practice of medicine in California and remain in full compliance with any court
15 ordered criminal probation, payments, and other orders.

16 9. QUARTERLY DECLARATIONS. Respondent shall submit quarterly declarations
17 under penalty of perjury on forms provided by the Board, stating whether there has been
18 compliance with all the conditions of probation.

19 Respondent shall submit quarterly declarations not later than 10 calendar days after the end
20 of the preceding quarter.

21 10. GENERAL PROBATION REQUIREMENTS.

22 Compliance with Probation Unit

23 Respondent shall comply with the Board's probation unit.

24 Address Changes

25 Respondent shall, at all times, keep the Board informed of Respondent's business and
26 residence addresses, email address (if available), and telephone number. Changes of such
27 addresses shall be immediately communicated in writing to the Board or its designee. Under no
28 circumstances shall a post office box serve as an address of record, except as allowed by Business

1 and Professions Code section 2021, subdivision (b).

2 Place of Practice

3 Respondent shall not engage in the practice of medicine in Respondent's or patient's place
4 of residence, unless the patient resides in a skilled nursing facility or other similar licensed
5 facility.

6 License Renewal

7 Respondent shall maintain a current and renewed California physician's and surgeon's
8 license.

9 Travel or Residence Outside California

10 Respondent shall immediately inform the Board or its designee, in writing, of travel to any
11 areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty
12 (30) calendar days.

13 In the event Respondent should leave the State of California to reside or to practice,
14 Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of
15 departure and return.

16 11. INTERVIEW WITH THE BOARD OR ITS DESIGNEE. Respondent shall be
17 available in person upon request for interviews either at Respondent's place of business or at the
18 probation unit office, with or without prior notice throughout the term of probation.

19 12. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or
20 its designee in writing within 15 calendar days of any periods of non-practice lasting more than
21 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is
22 defined as any period of time Respondent is not practicing medicine as defined in Business and
23 Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct
24 patient care, clinical activity or teaching, or other activity as approved by the Board. If
25 Respondent resides in California and is considered to be in non-practice, Respondent shall
26 comply with all terms and conditions of probation. All time spent in an intensive training
27 program which has been approved by the Board or its designee shall not be considered non-
28 practice and does not relieve Respondent from complying with all the terms and conditions of

1 probation. Practicing medicine in another state of the United States or Federal jurisdiction while
2 on probation with the medical licensing authority of that state or jurisdiction shall not be
3 considered non-practice. A Board-ordered suspension of practice shall not be considered as a
4 period of non-practice.

5 In the event Respondent's period of non-practice while on probation exceeds 18 calendar
6 months, Respondent shall successfully complete the Federation of State Medical Boards's Special
7 Purpose Examination, or, at the Board's discretion, a clinical competence assessment program
8 that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model
9 Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

10 Respondent's period of non-practice while on probation shall not exceed two (2) years.

11 Periods of non-practice will not apply to the reduction of the probationary term.

12 Periods of non-practice for a Respondent residing outside of California will relieve
13 Respondent of the responsibility to comply with the probationary terms and conditions with the
14 exception of this condition and the following terms and conditions of probation: Obey All Laws;
15 General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or
16 Controlled Substances; and Biological Fluid Testing.

17 13. COMPLETION OF PROBATION. Respondent shall comply with all financial
18 obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the
19 completion of probation. Upon successful completion of probation, Respondent's certificate shall
20 be fully restored.

21 14. VIOLATION OF PROBATION. Failure to fully comply with any term or condition
22 of probation is a violation of probation. If Respondent violates probation in any respect, the
23 Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and
24 carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke
25 Probation, or an Interim Suspension Order is filed against Respondent during probation, the
26 Board shall have continuing jurisdiction until the matter is final, and the period of probation shall
27 be extended until the matter is final.

28 15. LICENSE SURRENDER. Following the effective date of this Decision, if

Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy the terms and conditions of probation, Respondent may request to surrender his or her license. The Board reserves the right to evaluate Respondent's request and to exercise its discretion in determining whether or not to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its designee and Respondent shall no longer practice medicine. Respondent will no longer be subject to the terms and conditions of probation. If Respondent re-applies for a medical license, the application shall be treated as a petition for reinstatement of a revoked certificate.

16. PROBATION MONITORING COSTS. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

17. FUTURE ADMISSIONS CLAUSE. If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing action agency in the State of California, all of the charges and allegations contained in First Amended Accusation No. 800-2017-036425 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict license.

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1 ACCEPTANCE

2 I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully
3 discussed it with my attorney, Carolyn W. Lindholm, Esq.. I understand the stipulation and the
4 effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated
5 Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be
6 bound by the Decision and Order of the Medical Board of California.

7 DATED: 1/13/2022

A.D. Dyne M.D.

8 GODFREY D. DYNE, M.D.
Respondent

9 I have read and fully discussed with Respondent Godfrey D. Dyne, M.D. the terms and
10 conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.
11 I approve its form and content.

12 DATED: 1-14-2022

Carolyn Lindholm

13 CAROLYN W. LINDHOLM, ESQ.
Attorney for Respondent

14 ENDORSEMENT

15 The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully
16 submitted for consideration by the Medical Board of California.

17 DATED: 1-14-22

Respectfully submitted,

18 ROB BONTA
19 Attorney General of California
20 JUDITH T. ALVARADO
Supervising Deputy Attorney General

Edward Kim

21 EDWARD KIM
22 Deputy Attorney General
23 Attorneys for Complainant

24 LA2020602273
64799091

Exhibit A

First Amended Accusation No. 800-2017-036425

1 ROB BONITA
Attorney General of California
2 JUDITH T. ALVARADO
Supervising Deputy Attorney General
3 EDWARD KIM
Deputy Attorney General
4 State Bar No. 195729
California Department of Justice
5 300 So. Spring Street, Suite 1702
Los Angeles, CA 90013
6 Telephone: (213) 269-6000
Facsimile: (916) 731-2117
7 *Attorneys for Complainant*

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9 **BEFORE THE**
MEDICAL BOARD OF CALIFORNIA
DEPARTMENT OF CONSUMER AFFAIRS
10 **STATE OF CALIFORNIA**

11 In the Matter of the First Amended Accusation
Against:

Case No. 800-2017-036425

12 **Godfrey D. Dyne, M.D.**
13 **816 N. Milpas Street**
Santa Barbara, CA 93103

FIRST AMENDED
ACCUSATION

14 **Physician's and Surgeon's**
15 **Certificate No. A 43164,**

Respondent.

16
17 **PARTIES**

18 1. William Prasifka (Complainant) brings this First Amended Accusation solely in his
19 official capacity as the Executive Director of the Medical Board of California, Department of
20 Consumer Affairs (Board).

21 2. On or about October 1, 1986, the Medical Board issued Physician's and Surgeon's
22 Certificate Number A 43164 to Godfrey D. Dyne, M.D. (Respondent). The Physician's and
23 Surgeon's Certificate was in full force and effect at all times relevant to the charges brought
24 herein and will expire on June 30, 2022, unless renewed.

25 **JURISDICTION**

26 3. This First Amended Accusation is brought before the Board, under the authority of
27 the following laws. All section references are to the Business and Professions Code (Code)
28 unless otherwise indicated.

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5. Section 2234 of the Code, states:

(a) A physician and surgeon may prescribe, dispense, or administer prescription drugs, including prescription controlled substances, to an addict under his or her treatment for a purpose other than maintenance on, or detoxification from, prescription drugs or controlled substances.

1 (b) A physician and surgeon may prescribe, dispense, or administer prescription
2 drugs or prescription controlled substances to an addict for purposes of maintenance
3 on, or detoxification from, prescription drugs or controlled substances only as set
4 forth in subdivision (c) or in Sections 11215, 11217, 11217.5, 11218, 11219, and
5 11220 of the Health and Safety Code. Nothing in this subdivision shall authorize a
6 physician and surgeon to prescribe, dispense, or administer dangerous drugs or
7 controlled substances to a person he or she knows or reasonably believes is using or
8 will use the drugs or substances for a nonmedical purpose.

9 (c) Notwithstanding subdivision (a), prescription drugs or controlled substances
10 may also be administered or applied by a physician and surgeon, or by a registered
11 nurse acting under his or her instruction and supervision, under the following
12 circumstances:

13 (1) Emergency treatment of a patient whose addiction is complicated by the
14 presence of incurable disease, acute accident, illness, or injury, or the infirmities
15 attendant upon age.

16 (2) Treatment of addicts in state-licensed institutions where the patient is kept
17 under restraint and control, or in city or county jails or state prisons.

18 (3) Treatment of addicts as provided for by Section 11217.5 of the Health and
19 Safety Code.

20 (d)(1) For purposes of this section and Section 2241.5, addict means a person
21 whose actions are characterized by craving in combination with one or more of the
22 following:

23 (A) Impaired control over drug use.

24 (B) Compulsive use.

25 (C) Continued use despite harm.

26 (2) Notwithstanding paragraph (1), a person whose drug-seeking behavior is
27 primarily due to the inadequate control of pain is not an addict within the meaning of
28 this section or Section 2241.5.

7. Section 2241.5 of the Code states:

(a) A physician and surgeon may prescribe for, or dispense or administer to, a
person under his or her treatment for a medical condition dangerous drugs or
prescription controlled substances for the treatment of pain or a condition causing
pain, including, but not limited to, intractable pain.

(b) No physician and surgeon shall be subject to disciplinary action for
prescribing, dispensing, or administering dangerous drugs or prescription controlled
substances in accordance with this section.

(c) This section shall not affect the power of the board to take any action
described in Section 2227 against a physician and surgeon who does any of the
following:

(1) Violates subdivision (b), (c), or (d) of Section 2234 regarding gross
negligence, repeated negligent acts, or incompetence.

1 (2) Violates Section 2241 regarding treatment of an addict.

2 (3) Violates Section 2242 or 2525.3 regarding performing an appropriate prior
3 examination and the existence of a medical indication for prescribing, dispensing, or
4 furnishing dangerous drugs or recommending medical cannabis.

5 (4) Violates Section 2242.1 regarding prescribing on the Internet.

6 (5) Fails to keep complete and accurate records of purchases and disposals of
7 substances listed in the California Uniform Controlled Substances Act (Division 10
8 (commencing with Section 11000) of the Health and Safety Code) or controlled
9 substances scheduled in the federal Comprehensive Drug Abuse Prevention and
10 Control Act of 1970 (21 U.S.C. Sec. 801 et seq.), or pursuant to the federal
11 Comprehensive Drug Abuse Prevention and Control Act of 1970. A physician and
12 surgeon shall keep records of his or her purchases and disposals of these controlled
13 substances or dangerous drugs, including the date of purchase, the date and records of
14 the sale or disposal of the drugs by the physician and surgeon, the name and address
15 of the person receiving the drugs, and the reason for the disposal or the dispensing of
16 the drugs to the person, and shall otherwise comply with all state recordkeeping
17 requirements for controlled substances.

18 (6) Writes false or fictitious prescriptions for controlled substances listed in the
19 California Uniform Controlled Substances Act or scheduled in the federal
20 Comprehensive Drug Abuse Prevention and Control Act of 1970.

21 (7) Prescribes, administers, or dispenses in violation of this chapter, or in
22 violation of Chapter 4 (commencing with Section 11150) or Chapter 5 (commencing
23 with Section 11210) of Division 10 of the Health and Safety Code.

24 (d) A physician and surgeon shall exercise reasonable care in determining
25 whether a particular patient or condition, or the complexity of a patient's treatment,
26 including, but not limited to, a current or recent pattern of drug abuse, requires
27 consultation with, or referral to, a more qualified specialist.

28 (e) Nothing in this section shall prohibit the governing body of a hospital from
taking disciplinary actions against a physician and surgeon pursuant to Sections
809.05, 809.4, and 809.5.

8. Section 2242 of the Code states:

(a) Prescribing, dispensing, or furnishing dangerous drugs as defined in Section
4022 without an appropriate prior examination and a medical indication, constitutes
unprofessional conduct. An appropriate prior examination does not require a
synchronous interaction between the patient and the licensee and can be achieved
through the use of telehealth, including, but not limited to, a self-screening tool or a
questionnaire, provided that the licensee complies with the appropriate standard of
care.

(b) No licensee shall be found to have committed unprofessional conduct within
the meaning of this section if, at the time the drugs were prescribed, dispensed, or
furnished, any of the following applies:

(1) The licensee was a designated physician and surgeon or podiatrist serving in
the absence of the patient's physician and surgeon or podiatrist, as the case may be,
and if the drugs were prescribed, dispensed, or furnished only as necessary to
maintain the patient until the return of the patient's practitioner, but in any case no

longer than 72 hours.

(2) The licensee transmitted the order for the drugs to a registered nurse or to a licensed vocational nurse in an inpatient facility, and if both of the following conditions exist:

(A) The practitioner had consulted with the registered nurse or licensed vocational nurse who had reviewed the patient's records.

(B) The practitioner was designated as the practitioner to serve in the absence of the patient's physician and surgeon or podiatrist, as the case may be.

(3) The licensee was a designated practitioner serving in the absence of the patient's physician and surgeon or podiatrist, as the case may be, and was in possession of or had utilized the patient's records and ordered the renewal of a medically indicated prescription for an amount not exceeding the original prescription in strength or amount or for more than one refill.

(4) The licensee was acting in accordance with Section 120582 of the Health and Safety Code.

9. Section 2266 of the Code states:

The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.

FACTUAL ALLEGATIONS

10. On or about May 20, 2015, Respondent first saw Patient A,¹ a 49-year-old man in connection with a medical marijuana evaluation. Patient A's health questionnaire listed a history of silicosis of his lungs and alcohol use of two beers/wine a day. At that time, Patient A had been taking the following medications: Venlafaxine (an anti-depressant) and quetiapine (Seroquel) for depression and tramadol (an opioid controlled substance medication used to treat pain) and marijuana for chronic pain. He initially presented to Respondent for treatment of anxiety and depression. Respondent continued the patient's prescriptions for Venlafaxine and quetiapine and recommended medical marijuana for the patient. He was allegedly under the care of a different doctor who was prescribing tramadol to the patient.

11. On or about July 6, 2015, Patient A saw Respondent again. The patient reported that he had been taking tramadol for many years, and Respondent agreed to manage the patient's tramadol prescriptions. He prescribed tramadol to the patient as follows: 50 mg every 4 to 6

¹ The patient's identity is known to Respondent. A letter here is used in lieu of the patient's name in order to address privacy concerns.

1 hours as needed, 120 pills per month with ibuprofen. However, Respondent failed to attempt to
2 obtain the patient's prior medical records or confirm the patient's prior diagnosis, treatment and
3 history of chronic pain, including² the etiology of his prior injuries (allegedly, the patient suffered
4 from injuries in prior motor vehicle accidents). A CURES³ report revealed that Patient A had not
5 filled prescriptions for tramadol from other providers at any reporting California pharmacies in
6 the preceding two years.

7 12. Thereafter, from in or around July 2015 through April 2016, Respondent continued to
8 treat Patient A and prescribe tramadol to him on a monthly basis. Respondent failed to enter into
9 a written medication agreement with, or have a written informed consent from, Patient A
10 regarding his long term opioid treatment. During this time, Patient A did not undergo drug
11 testing and Respondent failed to follow up with the patient to determine if he continued to use
12 alcohol on a daily basis.

13 13. On or about June 2, 2016, Respondent increased the dosage of the patient's
14 prescription for tramadol to 50 mg, 1-2 every six hours as needed, 150 pills per month.

15 14. On or about December 30, 2016, Respondent increased the dosage of the patient's
16 prescription for tramadol again, to 50 mg, 180 pills per month (a maximum daily dose of 300 mg
17 of tramadol (a morphine equivalent dose of 30 mg per day).

18 15. Respondent recognized in or around the period from January 2017 to April 2017, that
19 Patient A was experiencing tolerance to the opioid medication and that he was opioid dependent.

20 16. On or about March 17, 2017, Respondent purportedly started Patient A on a "trial" of
21 lorazepam,⁴ 1 mg, 1-2, every six hours PRN. The CURES records indicate that a prescription for
22 lorazepam was filled by the patient on or about April 2, 2017. However, Respondent failed to
23 document his rationale or the medical need to prescribe a benzodiazepine to Patient A, who had
24 already been consuming opiates on a long term basis, and reported consumption of alcohol daily.

25 17. On or about April 18, 2017, Respondent prescribed tramadol to Patient A again. The

26 ² As used herein, "including," means "including, without limitation."

27 ³ The Controlled Substance Utilization Review and Evaluation System (CURES),
maintained by the Department of Justice is an electronic database that tracks all Schedule II – IV
28 controlled substances dispensed to patients in California.

⁴ A benzodiazepine drug used to treat anxiety, also sold under the trade name, Ativan.

1 patient also appeared to be losing weight.

2 18. On or about April 21, 2017, Patient A completed a new history/intake form which
3 again indicated that he consumed alcohol and marijuana daily, but that he had already ceased
4 taking lorazepam on April 20, 2017 on advice of his doctor. However, Respondent's note dated
5 April 21, 2017, indicated that the patient planned to discontinue lorazepam. Respondent also
6 prescribed buprenorphine⁵ to the patient to address withdrawal, at 16 mg per day (8 x 2 pills).
7 The patient also entered into a medication agreement with Respondent at that time, which
8 included an agreement to abstain from alcohol and marijuana. Respondent, however, failed to
9 attempt to account for or ask the patient to return any remaining unused tramadol or lorazepam,
10 and/or document that he did so. At the time, the patient could have had a dangerous amount of
11 controlled substances remaining in his possession, which if consumed simultaneously could have
12 a dangerous effect on the patient.

13 19. On or about May 8, 2017, Respondent renewed Patient A's marijuana waiver despite
14 having entered a written medication agreement in the prior weeks wherein the patient agreed to
15 abstain from marijuana use.

16 20. On or about May 26, 2017, the patient reported that he was anxious, suffered from
17 withdrawal symptoms and had trouble sleeping. His weight had dropped to 122 pounds; he was
18 146 pounds on or about February 21, 2017. He was drinking and using marijuana. He was not in
19 a 12-step program and was not seeing a counselor. Respondent prescribed a refill of Suboxone to
20 the patient as well as 60 tablets of Ativan, but told him to use it only if he "really needed" it.

21 21. On or about June 23, 2017, Respondent increased the daily dosage of his prescription
22 for buprenorphine to Patient A to 24 mg (8 mg three times daily sublingually), from his initial
23 prescription of 16 mg daily.

24 22. On or about July 11, 2017, Patient A was found in an altered mental state and was
25 admitted to the hospital with pneumonia and altered mental status from opiate overdose. The
26 hospitalist's discharge summary, signed and sent to Respondent on or about July 15, 2017,

27
28 ⁵ An opioid medication used to treat opioid addiction also sold under trade name,
Suboxone.

1 recommended that Patient A optimize his pain medication with Respondent, since he had
2 adequate pain management in the hospital while treated with only Tylenol and a lower dosage of
3 Suboxone (6 mg three times a day).

4 23. On or about July 20, 2017, Respondent saw Patient A, but failed to attempt to access
5 or review the hospital discharge summary. Respondent also refilled Patient A's prescription of
6 Suboxone at the prior dosage of 8 mg and provided him a prescription for a one-month supply.
7 Respondent failed to attempt to account for the remaining Suboxone doses in the possession of
8 Patient A.

9 24. On or about July 26, 2017, Patient A was found unresponsive at home and was
10 resuscitated. He was admitted on life support to the ICU at Cottage Hospital, but expired on
11 July 28, 2017.

12 **FIRST CAUSE FOR DISCIPLINE**

13 **(Gross Negligence)**

14 25. Respondent Godfrey D. Dyne, M.D. is subject to disciplinary action under Code
15 section 2234, subdivision (b), in that Respondent committed gross negligence. The circumstances
16 are as follows:

17 26. On or about May 20, 2015 and thereafter, each of the following acts or omissions by
18 Respondent constitutes gross negligence:

19 (a) Failing to adequately perform and/or document a history and physical examination
20 of Patient A, including during his initial evaluation of the patient, when he merely accepted the
21 patient's statements (about his chronic use of tramadol in connection with trauma relating to prior
22 vehicle accidents) without adequately conducting his own medical work-up to confirm the
23 diagnosis, and/or attempting to corroborate the information, such as by requesting prior medical
24 records;

25 (b) Prescribing benzodiazepines to Patient A who was already using an opioid
26 medication, alcohol and marijuana daily, without an adequate medical justification;

27 (c) Prescribing Suboxone to Patient A, who was also using benzodiazepines, alcohol
28 and other CNS depressants (e.g., tramadol), creating risk of respiratory depression; and

1 (d) Prescribing tramadol to Patient A, including in high amounts, who was also
2 using benzodiazepines, creating risk of respiratory depression.

3 **SECOND CAUSE FOR DISCIPLINE**

4 **(Repeated Negligent Acts)**

5 27. Respondent is subject to disciplinary action under Code section 2234, subdivision (c),
6 in that Respondent committed repeated negligent acts. The circumstances are as follows:

7 28. The allegations of the First Cause for Discipline are incorporated herein by reference
8 as if fully set forth.

9 29. Each of the alleged acts of gross negligence set forth above in the First Cause for
10 Discipline is also a negligent act.

11 30. In addition, on or about May 20, 2015 and thereafter, each of the following acts or
12 omissions by Respondent constitutes a negligent act:

13 (a) Failing to adequately review (e.g., follow-up) and monitor Patient A's use of
14 dangerous drugs, including opioids (including, Suboxone), in connection with his treatment of the
15 patient's chronic pain and later opioid dependence, including when he failed to confirm that the
16 patient was not abusing or diverting the controlled substances he had been prescribed, such as by
17 employing random drug testing, avoiding early refills, and/or conducting an audit/accounting of
18 unused controlled substance medications;

19 (b) Failing to refer Patient A to specialists in addiction medicine, e.g., in pain
20 management, behavioral medicine, and/or inpatient or residential rehabilitation treatment
21 programs, after the patient exhibited opioid dependence and/or had withdrawal symptoms; and

22 (c) Failing to adequately obtain a written informed consent and/or treatment
23 agreement from Patient A in connection with his chronic use of opiate analgesic controlled
24 substances.

25 **THIRD CAUSE FOR DISCIPLINE**

26 **(Failure to Maintain Adequate Medical Records)**

27 31. Respondent is subject to disciplinary action under Code section 2266, in that
28 Respondent failed to maintain adequate and accurate records related to the provision of medical

1 services to a patient. The circumstances are as follows:

2 32. The allegations of the First and Second Causes for Discipline, inclusive, are
3 incorporated herein by reference as if fully set forth.

4 **FOURTH CAUSE FOR DISCIPLINE**

5 **(Prescribing Without Appropriate Examination or Medical Indication)**

6 33. Respondent is subject to disciplinary action under Code section 2242, in that he
7 prescribed, dispensed, or furnished dangerous drugs as defined in Code section 4022 to a patient
8 without an appropriate prior examination and medical indication. The circumstances are as
9 follows:

10 34. The allegations of the First, Second, and Third Causes for Discipline, inclusive, are
11 incorporated herein by reference as if fully set forth.

12 **FIFTH CAUSE FOR DISCIPLINE**

13 **(General Unprofessional Conduct)**

14 35. Respondent is subject to disciplinary action under Code section 2234, in that his
15 actions and/or omissions represent unprofessional conduct, generally. The circumstances are as
16 follows:

17 36. The allegations of the First, Second, Third and Fourth Causes for Discipline,
18 inclusive, are incorporated herein by reference as if fully set forth.

19 **DISCIPLINARY CONSIDERATIONS**

20 37. To determine the degree of discipline, if any, to be imposed on Respondent,
21 Complainant alleges that on or about May 24, 2016, in a prior disciplinary action titled *In the*
22 *Matter of the Accusation Against Godfrey D. Dyne, M.D.* before the Medical Board of California,
23 in Case Number 05-2011-214557, Respondent's license was placed on probation for 35 months.
24 That decision is now final and is incorporated by reference as if fully set forth herein.

25 **PRAYER**

26 WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,
27 and that following the hearing, the Medical Board of California issue a decision:

28 1. Revoking or suspending Physician's and Surgeon's Certificate Number A 43164,

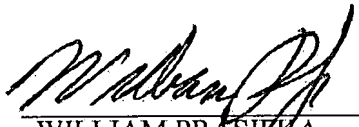
1 issued to Godfrey D. Dyne, M.D.;

2 2. Revoking, suspending or denying approval of Godfrey D. Dyne, M.D.'s authority to
3 supervise physician assistants and advanced practice nurses;

4 3. Ordering Godfrey D. Dyne, M.D., if placed on probation, to pay the Board the costs
5 of probation monitoring; and

6 4. Taking such other and further action as deemed necessary and proper.

7
8 DATED: MAY 26 2021



WILLIAM PRASIFKA
Executive Director
Medical Board of California
Department of Consumer Affairs
State of California
Complainant

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