BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against

Huyen Le Cao, M.D.

Case No. 800-2017-039204

Physician's and Surgeons License No. G72686

Respondent.

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on August 19, 2020.

IT IS SO ORDERED: <u>July 20, 2020</u>.

MEDICAL BOARD OF CALIFORNIA

Ronald H. Lewis, M.D., Chair

Panel A

1	XAVIER BECERRA						
2	Attorney General of California JANE ZACK SIMON						
3	Supervising Deputy Attorney General REBECCA D. WAGNER						
4	Deputy Attorney General State Bar No. 165468						
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004						
6	Telephone: (415) 510-3760 Facsimile: (415) 703-5480						
7	E-mail: Rebecca.Wagner@doj.ca.gov Attorneys for Complainant						
8							
9	BEFORE THE MEDICAL BOARD OF CALIFORNIA						
10	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA						
11	STATE OF C	ALIFORNIA					
12		O N 000 2017 020204					
13	In the Matter of the Accusation Against:	Case No. 800-2017-039204					
14	HUYEN LE CAO, M.D. 333 Lakeside Drive	STIPULATED SETTLEMENT AND					
15	Foster City, CA 94404	DISCIPLINARY ORDER					
16	Physician's and Surgeon's Certificate No. G 72686						
17	Respondent.						
18							
19	IT IS HEREBY STIPULATED AND AG	REED by and between the parties to the above-					
20	entitled proceedings that the following matters are						
21	PAR						
22	1. Christine J. Lally (Complainant) is the Interim Executive Director of the Medical						
23	Board of California (Board). This action was brought and maintained solely in the official						
24	capacity of the Board's Executive Director who is						
25	General of the State of California, by Rebecca D.						
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- 2. Respondent Huyen Le Cao, M.D. (Respondent) is represented in this proceeding by attorney Christopher J. D'Anjou, whose address is: 2033 Gateway Place, Suite 500 San Jose, CA 95110.
- 3. On or about October 15, 1991, the Board issued Physician's and Surgeon's Certificate No. G 72686 to Huyen Le Cao, M.D. (Respondent). The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2017-039204, and will expire on October 31, 2021, unless renewed.

JURISDICTION

- 4. Accusation No. 800-2017-039204 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on September 13, 2019. Respondent timely filed her Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2017-039204 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2017-039204. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. Respondent admits the truth of each and every charge and allegation in Accusation No. 800-2017-039204.
- 10. Respondent agrees that her Physician's and Surgeon's Certificate is subject to discipline and she agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- 11. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or her counsel. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G 72686 issued to Respondent Huyen Le Cao, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

1. CONTROLLED SUBSTANCES - ABSTAIN FROM USE. Respondent shall abstain

completely from the personal use or possession of controlled substances as defined in the California Uniform Controlled Substances Act, dangerous drugs as defined by Business and Professions Code section 4022, and any drugs requiring a prescription. This prohibition does not apply to medications lawfully prescribed to Respondent by another practitioner for a bona fide illness or condition.

Within 15 calendar days of receiving any lawfully prescribed medications, Respondent shall notify the Board or its designee of the: issuing practitioner's name, address, and telephone number; medication name, strength, and quantity; and issuing pharmacy name, address, and telephone number.

- 2. <u>ALCOHOL ABSTAIN FROM USE</u>. Respondent shall abstain completely from the use of products or beverages containing alcohol.
- 3. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a professionalism program, that meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1. Respondent shall participate in and successfully complete that program. Respondent shall provide any information and documents that the program may deem pertinent. Respondent shall successfully complete the classroom component of the program not later than six (6) months after Respondent's initial enrollment, and the longitudinal component of the program not later than the time specified by the program, but no later than one (1) year after attending the classroom component. The professionalism program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A professionalism program taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the program or not later

than 15 calendar days after the effective date of the Decision, whichever is later.

4. <u>PSYCHIATRIC EVALUATION</u>. Within 30 calendar days of the effective date of this Decision, and on whatever periodic basis thereafter may be required by the Board or its designee, Respondent shall undergo and complete a psychiatric evaluation (and psychological testing, if deemed necessary) by a Board-appointed board certified psychiatrist, who shall consider any information provided by the Board or designee and any other information the psychiatrist deems relevant, and shall furnish a written evaluation report to the Board or its designee. Psychiatric evaluations conducted prior to the effective date of the Decision shall not be accepted towards the fulfillment of this requirement. Respondent shall pay the cost of all psychiatric evaluations and psychological testing.

Respondent shall comply with all restrictions or conditions recommended by the evaluating psychiatrist within 15 calendar days after being notified by the Board or its designee.

5. <u>BIOLOGICAL FLUID TESTING</u>. Respondent shall immediately submit to biological fluid testing, at Respondent's expense, upon request of the Board or its designee. "Biological fluid testing" may include, but is not limited to, urine, blood, breathalyzer, hair follicle testing, or similar drug screening approved by the Board or its designee. Respondent shall make daily contact with the Board or its designee to determine whether biological fluid testing is required. Respondent shall be tested on the date of the notification as directed by the Board or its designee. The Board may order a Respondent to undergo a biological fluid test on any day, at any time, including weekends and holidays. Except when testing on a specific date as ordered by the Board or its designee, the scheduling of biological fluid testing shall be done on a random basis. The cost of biological fluid testing shall be borne by the Respondent.

During the first year of probation, Respondent shall be subject to 52 to 104 random tests. During the second year of probation and for the duration of the probationary term, Respondent shall be subject to 36 to 104 random tests per year. Only if there has been no positive biological fluid tests in the previous five (5) consecutive years of probation, may testing be reduced to one (1) time per month. Nothing precludes the Board from increasing the number of random tests to the first-year level of frequency for any reason.

Prior to practicing medicine, Respondent shall contract with a laboratory or service, approved in advance by the Board or its designee, that will conduct random, unannounced, observed, biological fluid testing and meets all of the following standards:

- (a) Its specimen collectors are either certified by the Drug and Alcohol Testing Industry Association or have completed the training required to serve as a collector for the United States Department of Transportation.
- (b) Its specimen collectors conform to the current United States Department of Transportation Specimen Collection Guidelines.
- (c) Its testing locations comply with the Urine Specimen Collection Guidelines published by the United States Department of Transportation without regard to the type of test administered.
- (d) Its specimen collectors observe the collection of testing specimens.
- (e) Its laboratories are certified and accredited by the United States Department of Health and Human Services.
- (f) Its testing locations shall submit a specimen to a laboratory within one (1) business day of receipt and all specimens collected shall be handled pursuant to chain of custody procedures. The laboratory shall process and analyze the specimens and provide legally defensible test results to the Board within seven (7) business days of receipt of the specimen. The Board will be notified of non-negative results within one (1) business day and will be notified of negative test results within seven (7) business days.
- (g) Its testing locations possess all the materials, equipment, and technical expertise necessary in order to test Respondent on any day of the week.
- (h) Its testing locations are able to scientifically test for urine, blood, and hair specimens for the detection of alcohol and illegal and controlled substances.
- (i) It maintains testing sites located throughout California.
- (j) It maintains an automated 24-hour toll-free telephone system and/or a secure on-line computer database that allows the Respondent to check in daily for testing.
- (k) It maintains a secure, HIPAA-compliant website or computer system that allows staff

access to drug test results and compliance reporting information that is available 24 hours a day.

- (l) It employs or contracts with toxicologists that are licensed physicians and have knowledge of substance abuse disorders and the appropriate medical training to interpret and evaluate laboratory biological fluid test results, medical histories, and any other information relevant to biomedical information.
- (m) It will not consider a toxicology screen to be negative if a positive result is obtained while practicing, even if the Respondent holds a valid prescription for the substance.

Prior to changing testing locations for any reason, including during vacation or other travel, alternative testing locations must be approved by the Board and meet the requirements above.

The contract shall require that the laboratory directly notify the Board or its designee of non-negative results within one (1) business day and negative test results within seven (7) business days of the results becoming available. Respondent shall maintain this laboratory or service contract during the period of probation.

A certified copy of any laboratory test result may be received in evidence in any proceedings between the Board and Respondent.

If a biological fluid test result indicates Respondent has used, consumed, ingested, or administered to himself or herself a prohibited substance, the Board shall order Respondent to cease practice and instruct Respondent to leave any place of work where Respondent is practicing medicine or providing medical services. The Board shall immediately notify all of Respondent's employers, supervisors and work monitors, if any, that Respondent may not practice medicine or provide medical services while the cease-practice order is in effect.

A biological fluid test will not be considered negative if a positive result is obtained while practicing, even if the practitioner holds a valid prescription for the substance. If no prohibited substance use exists, the Board shall lift the cease-practice order within one (1) business day.

After the issuance of a cease-practice order, the Board shall determine whether the positive biological fluid test is in fact evidence of prohibited substance use by consulting with the specimen collector and the laboratory, communicating with the licensee, his or her treating

physician(s), other health care provider, or group facilitator, as applicable.

For purposes of this condition, the terms "biological fluid testing" and "testing" mean the acquisition and chemical analysis of a Respondent's urine, blood, breath, or hair.

For purposes of this condition, the term "prohibited substance" means an illegal drug, a lawful drug not prescribed or ordered by an appropriately licensed health care provider for use by Respondent and approved by the Board, alcohol, or any other substance the Respondent has been instructed by the Board not to use, consume, ingest, or administer to himself or herself.

If the Board confirms that a positive biological fluid test is evidence of use of a prohibited substance, Respondent has committed a major violation, as defined in section 1361.52(a), and the Board shall impose any or all of the consequences set forth in section 1361.52(b), in addition to any other terms or conditions the Board determines are necessary for public protection or to enhance Respondent's rehabilitation.

6. NOTIFICATION. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 7. <u>SUPERVISION OF PHYSICIAN ASSISTANTS AND ADVANCED PRACTICE</u>

 <u>NURSES.</u> During probation, Respondent is prohibited from supervising physician assistants and advanced practice nurses.
- 8. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
 - 9. QUARTERLY DECLARATIONS. Respondent shall submit quarterly declarations

under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

10. GENERAL PROBATION REQUIREMENTS.

Compliance with Probation Unit

Respondent shall comply with the Board's probation unit.

Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice ,Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

11. INTERVIEW WITH THE BOARD OR ITS DESIGNEE. Respondent shall be

available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.

12. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If Respondent resides in California and is considered to be in non-practice, Respondent shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve Respondent from complying with all the terms and conditions of probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete the Federation of State Medical Boards's Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years. Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for a Respondent residing outside of California will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or Controlled Substances; and Biological Fluid Testing..

- 13. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- 14. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 15. <u>LICENSE SURRENDER</u>. Following the effective date of this Decision, if
 Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy
 the terms and conditions of probation, Respondent may request to surrender his or her license.

 The Board reserves the right to evaluate Respondent's request and to exercise its discretion in
 determining whether or not to grant the request, or to take any other action deemed appropriate
 and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent
 shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its
 designee and Respondent shall no longer practice medicine. Respondent will no longer be subject
 to the terms and conditions of probation. If Respondent re-applies for a medical license, the
 application shall be treated as a petition for reinstatement of a revoked certificate.
- 16. PROBATION MONITORING COSTS. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

<u>ACCEPTANCE</u>

I have ca	irefully read the above S	Stipulated Settlement and Disciplinary Order and have full	ly
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DATED:	OFAPRIL ZIDAD		day" and
		HUYEN LE CAO, M.D.	
I have re	ead and fully discussed y		
			er.
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		CHRISTOPHER L. DANJOU	
		Augriey for Kesponaeni.	
		ENDORSEMENT	
The for	egoing Stimulated Settle	ment and Disciplinary Order is hereby respectfully	
DATED:	7/3/2020	Respectfully submitted,	
		XAVIER BECERRA Attorney General of California	
		JANE ZACK SIMON	
		Deputy Attorney General	
		Attorneys for Complainant	
	Law 2		
	discussed it will have on and Disciplina Decision and Decision and Decision and DATED: I have reconditions and DATED: The for submitted for	discussed it with my attorney, Christo it will have on my Physician's and Surand Disciplinary Order voluntarily, kn Decision and Order of the Medical Bod DATED: OFAPRIL ROWN I have read and fully discussed conditions and other matters contained approve its form and content. DATED: June 11, 2020 The foregoing Stipulated Settle submitted for consideration by the Medical Bod DATED.	HUYEN LE CAO, M.D. Respondent I have read and fully discussed with Respondent Huyen Le Cao, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Ord I approve its form and content. DATED: June 11, 2020 CHRISTOPHER EDANJOU Attorney for Respondent ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California. DATED: 7/3/2020 Respectfully submitted, XAVIER BECERRA Attorney General of California JANE ZACK SIMON Supervising Deputy Attorney General REBECCA D. Wagner. REBECCA D. Wagner

Exhibit A

Accusation No. 800-2017-039204

1 2 3 4 5 6	XAVIER BECERRA Attorney General of California JANE ZACK SIMON Supervising Deputy Attorney General REBECCA D. WAGNER Deputy Attorney General State Bar No. 165468 455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004 Telephone: (415) 510-3760 Facsimile: (415) 703-5480 E-mail: Rebecca, Wagner@doj.ca.gov	FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO Lead. 13 20 19 BY ANALYST
7	Attorneys for Complainant	
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10	MEDICAL BOARD DEPARTMENT OF CO STATE OF C	ONSUMER AFFAIRS
11	STATE OF CA	ALITORINA
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13	In the Matter of the Accusation Against:	Case No. 800-2017-039204
14	Huyen Le Cao, M.D. 333 Lakeside Drive Foster City, CA 94404	ACCUSATION
15	-	
16	Physician's and Surgeon's Certificate No. G 72686,	
17	Respondent.	
18		
19	PAR	<u> TIES</u>
20	Kimberly Kirchmeyer (Complainant)	brings this Accusation solely in her official
21	capacity as the Executive Director of the Medical	Board of California, Department of Consumer
22	Affairs (Board).	
23	2. On or about October 15, 1991, the M	edical Board issued Physician's and Surgeon's
24	Certificate Number G 72686 to Huyen Le Cao, N	I.D. (Respondent). The Physician's and
25	Surgeon's Certificate was in full force and effect	at all times relevant to the charges brought
26	herein and will expire on October 31, 2021, unles	ss renewed.
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JURISDICTION

- 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
 - 4. Section 2227 of the Code states:
- "(a) A licensee whose matter has been heard by an administrative law judge of the Medical Quality Hearing Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found guilty, or who has entered into a stipulation for disciplinary action with the board, may, in accordance with the provisions of this chapter:
 - "(1) Have his or her license revoked upon order of the board.
- "(2) Have his or her right to practice suspended for a period not to exceed one year upon order of the board.
- "(3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
- "(4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
- "(5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
- "(b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1."
 - 5. Section 2234 of the Code, states:
- "The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

"(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.

"…"

"(f) Any action or conduct which would have warranted the denial of a certificate.

"

- 6. Section 2236 of the Code states:
- "(a) The conviction of any offense substantially related to the qualifications, functions, or duties of a physician and surgeon constitutes unprofessional conduct within the meaning of this chapter [Chapter 5, the Medical Practice Act]. The record of conviction shall be conclusive evidence only of the fact that the conviction occurred.
- "(b) The district attorney, city attorney, or other prosecuting agency shall notify the Medical Board of the pendency of an action against a licensee charging a felony or misdemeanor immediately upon obtaining information that the defendant is a licensee. The notice shall identify the licensee and describe the crimes charged and the facts alleged. The prosecuting agency shall also notify the clerk of the court in which the action is pending that the defendant is a licensee, and the clerk shall record prominently in the file that the defendant holds a license as a physician and surgeon.
- "(c) The clerk of the court in which a licensee is convicted of a crime shall, within 48 hours after the conviction, transmit a certified copy of the record of conviction to the board. The division may inquire into the circumstances surrounding the commission of a crime in order to fix the degree of discipline or to determine if the conviction is of an offense substantially related to the qualifications, functions, or duties of a physician and surgeon.
- "(d) A plea or verdict of guilty or a conviction after a plea of nolo contendere is deemed to be a conviction within the meaning of this section and Section 2236.1. The record of conviction shall be conclusive evidence of the fact that the conviction occurred."
- 7. Section 2239 of the Code provides that the use of alcoholic beverages, to the extent, or in such a manner as to be dangerous or injurious to the licensee, or to any other person or to the

public, or to the extent that such use impairs the ability of the licensee to practice medicine safely constitutes unprofessional conduct.

CAUSE FOR DISCIPLINE

(Unprofessional Conduct and/or Conviction of a Crime and/or Dangerous Use of Alcohol)

- 8. Respondent Huyen Le Cao, M.D. is subject to disciplinary action for unprofessional conduct under sections 2234 and/or 2236 and/or 2239 in that on May 3, 2018 in the City and County of San Francisco Superior Court, Respondent was convicted of a violation of section 23152(a) of the California Vehicle Code (Driving Under the Influence) and a violation of section 273a(a) of the Penal Code (Child Endangerment), both misdemeanors. The circumstances are as follows:
- 9. On or about November 12, 2017 at approximately 3:00 p.m., San Francisco Police Officer C.F. received a call of a vehicle driving on the MUNI tracks. Officer C.F. observed Respondent's vehicle on the southbound MUNI tracks, which are off-limits to vehicles. Respondent was in the driver's seat and her thirteen-year-old daughter was in the front passenger seat. Respondent appeared very disoriented and disheveled. Respondent's daughter was crying and appeared upset. Respondent told Officer C.F. that she was driving her daughter to the art store and became lost and drove onto the tracks. Respondent's vehicle was too damaged to drive off the MUNI tracks and had to be towed away.
- 10. Officer C.F. observed Respondent while she called to have her vehicle towed. Respondent's speech was slurred, slow, and so incoherent that Respondent's daughter had to take the phone to convey the information to the customer service agent. Respondent denied that she had been drinking, however, she had difficulty walking without the assistance of her daughter. Respondent was given a series of Field Sobriety Tests and she performed poorly on the tests. Respondent was arrested for driving under the influence of alcohol and she elected to have a breath test which resulted in blood alcohol readings of .171% and .172%.
- 11. Respondent pled no contest on May 3, 2018 to misdemeanor violations of section 23152(a) of the Vehicle Code and section 273a(a) of the Penal Code in San Francisco Superior

Court Case Number MC #17016679. Respondent was sentenced to three years of supervised probation related to the 23152(a) Vehicle Code conviction, credit for time served of one day in jail, parenting counseling, a three-month first offender drinking driver program, and was ordered not to drink during the period of probation. Respondent was also ordered to pay standard fines and fees and was subject to random testing for the presence of alcohol during the period of probation. Respondent was sentenced to a deferred entry of judgment as to the section 273a(a) Penal Code violation and after one year that charge would be dismissed upon successful completion of Parenting Counseling with no alcohol after being subject to random testing.

- 12. Respondent's conduct and criminal conviction, as outlined in Paragraphs 8 through 11, constitute unprofessional conduct and the conviction of a crime substantially related to the qualifications, functions or duties of a physician and surgeon, and cause for discipline pursuant to Business and Professions Code sections 2234 and/or 2236.
- 13. Respondent's conduct in driving under the influence of alcohol and driving onto the MUNI tracks with her teenage daughter in the car, as outlined in Paragraphs 8 through 11, constitute unprofessional conduct and the use of alcohol in a dangerous manner, and constitute cause for discipline pursuant to sections 2234 and/or 2239 of the Code.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate Number G 72686, issued to Huyen Le Cao, M.D.;
- 2. Revoking, suspending or denying approval of Huyen Le Cao, M.D.'s authority to supervise physician assistants and advanced practice nurses;
- 3. Ordering Huyen Le Cao, M.D., if placed on probation, to pay the Board the costs of probation monitoring; and

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1	4. Taking such of	other and further	action as deemed necessary and proper.
2	DATED: September	<u>13, 2</u> 019	KIMBERLY KIRCHMEYER Executive Director
3			Executive Director Medical Board of California
4			Medical Board of California Department of Consumer Affairs State of California
5			Complainant
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