

BEFORE THE  
MEDICAL BOARD OF CALIFORNIA  
DEPARTMENT OF CONSUMER AFFAIRS  
STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Rollie Duyao Rosete, M.D.

Physician's and Surgeon's  
Certificate No. A 53682

Case No.: 800-2019-055270

Respondent.

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on April 20, 2022.

IT IS SO ORDERED: March 21, 2022.

MEDICAL BOARD OF CALIFORNIA



Richard E. Thorp, M.D., Chair  
Panel B

1 ROB BONTA  
Attorney General of California  
2 MARY CAIN-SIMON  
Supervising Deputy Attorney General  
3 HANSA M. MURTHY  
Deputy Attorney General  
4 State Bar No. 274745  
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*Attorneys for Complainant*  
7

8 **BEFORE THE**  
9 **MEDICAL BOARD OF CALIFORNIA**  
10 **DEPARTMENT OF CONSUMER AFFAIRS**  
11 **STATE OF CALIFORNIA**

12 In the Matter of the Accusation Against:  
13 **ROLLIE DUYAO ROSETE, M.D.**  
14 **9600 S. Tracy Blvd. Suite 107**  
**Tracy, CA 95377**  
15 **Physician's and Surgeon's Certificate No. A**  
**53682**  
16  
17 Respondent.

Case No. 800-2019-055270  
OAH No. 2021100507  
**STIPULATED SETTLEMENT AND**  
**DISCIPLINARY ORDER**

18 IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-  
19 entitled proceedings that the following matters are true:

20 **PARTIES**

21 1. William Prasifka (Complainant) is the Executive Director of the Medical Board of  
22 California (Board). He brought this action solely in his official capacity and is represented in this  
23 matter by Rob Bonta, Attorney General of the State of California, by Hansa M. Murthy, Deputy  
24 Attorney General.

25 2. Respondent Rollie Rosete, M.D. (Respondent) is represented in this proceeding by  
26 attorney Steve Simas, whose business address is: Simas & Associates, Ltd., Senator Hotel,  
27 1121 L Street, 7th Floor, Sacramento, CA 95814. On or about November 2, 1994, the Board  
28 issued Physician's and Surgeon's Certificate No. A 53682 to Rollie Rosete, M.D. (Respondent).

1 The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the  
2 charges brought in Accusation No. 800-2019-055270, and will expire on January 31, 2024, unless  
3 renewed.

4 **JURISDICTION**

5 3. Accusation No. 800-2019-055270 was filed before the Board, and is currently  
6 pending against Respondent. The Accusation and all other statutorily required documents were  
7 properly served on Respondent on December 30, 2020. Respondent timely filed his Notice of  
8 Defense contesting the Accusation.

9 4. A copy of Accusation No. 800-2019-055270 is attached as exhibit A and incorporated  
10 herein by reference.

11 **ADVISEMENT AND WAIVERS**

12 5. Respondent has carefully read, fully discussed with counsel, and understands the  
13 charges and allegations in Accusation No. 800-2019-055270. Respondent has also carefully read,  
14 fully discussed with his counsel, and understands the effects of this Stipulated Settlement and  
15 Disciplinary Order.

16 6. Respondent is fully aware of his legal rights in this matter, including the right to a  
17 hearing on the charges and allegations in the Accusation; the right to confront and cross-examine  
18 the witnesses against him; the right to present evidence and to testify on his own behalf; the right  
19 to the issuance of subpoenas to compel the attendance of witnesses and the production of  
20 documents; the right to reconsideration and court review of an adverse decision; and all other  
21 rights accorded by the California Administrative Procedure Act and other applicable laws.

22 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and  
23 every right set forth above.

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1 **CULPABILITY**

2 8. Respondent admits the truth of each and every charge and allegation in Accusation  
3 No. 800-2019-055270.

4 9. Respondent agrees that his Physician's and Surgeon's Certificate is subject to  
5 discipline and he agrees to be bound by the Board's probationary terms as set forth in the  
6 Disciplinary Order below.

7 **CONTINGENCY**

8 10. This stipulation shall be subject to approval by the Medical Board of California.  
9 Respondent understands and agrees that counsel for Complainant and the staff of the Medical  
10 Board of California may communicate directly with the Board regarding this stipulation and  
11 settlement, without notice to or participation by Respondent or his counsel. By signing the  
12 stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek  
13 to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails  
14 to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary  
15 Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal  
16 action between the parties, and the Board shall not be disqualified from further action by having  
17 considered this matter.

18 11. Respondent agrees that if he ever petitions for early termination or modification of  
19 probation, or if an accusation and/or petition to revoke probation is filed against him before the  
20 Board, all of the charges and allegations contained in Accusation No. 800-2019-055270 shall be  
21 deemed true, correct and fully admitted by respondent for purposes of any such proceeding or any  
22 other licensing proceeding involving Respondent in the State of California.

23 12. The parties understand and agree that Portable Document Format (PDF) and facsimile  
24 copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile  
25 signatures thereto, shall have the same force and effect as the originals.

26 13. In consideration of the foregoing admissions and stipulations, the parties agree that  
27 the Board may, without further notice or opportunity to be heard by the Respondent, issue and  
28 enter the following Disciplinary Order:

1 **DISCIPLINARY ORDER**

2 IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 53682 issued  
3 to Respondent Rollie Rosete, M.D. is revoked. However, the revocation is stayed and  
4 Respondent is placed on probation for three (3) years on the following terms and conditions:

5 1. **EDUCATION COURSE.** Within 60 calendar days of the effective date of this  
6 Decision, and on an annual basis thereafter, Respondent shall submit to the Board or its designee  
7 for its prior approval educational program(s) or course(s) which shall not be less than 40 hours  
8 per year, for each year of probation. The educational program(s) or course(s) shall be aimed at  
9 correcting any areas of deficient practice or knowledge and shall be Category I certified. The  
10 educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to  
11 the Continuing Medical Education (CME) requirements for renewal of licensure. Following the  
12 completion of each course, the Board or its designee may administer an examination to test  
13 Respondent's knowledge of the course. Respondent shall provide proof of attendance for 65  
14 hours of CME of which 40 hours were in satisfaction of this condition.

15 2. **MEDICAL RECORD KEEPING COURSE.** Within 60 calendar days of the effective  
16 date of this Decision, Respondent shall enroll in a course in medical record keeping approved in  
17 advance by the Board or its designee. Respondent shall provide the approved course provider  
18 with any information and documents that the approved course provider may deem pertinent.  
19 Respondent shall participate in and successfully complete the classroom component of the course  
20 not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully  
21 complete any other component of the course within one (1) year of enrollment. The medical  
22 record keeping course shall be at Respondent's expense and shall be in addition to the Continuing  
23 Medical Education (CME) requirements for renewal of licensure.

24 A medical record keeping course taken after the acts that gave rise to the charges in the  
25 Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board  
26 or its designee, be accepted towards the fulfillment of this condition if the course would have  
27 been approved by the Board or its designee had the course been taken after the effective date of  
28 this Decision.

1 Respondent shall submit a certification of successful completion to the Board or its  
2 designee not later than 15 calendar days after successfully completing the course, or not later than  
3 15 calendar days after the effective date of the Decision, whichever is later.

4 3. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within 60 calendar days of  
5 the effective date of this Decision, Respondent shall enroll in a professionalism program, that  
6 meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1.  
7 Respondent shall participate in and successfully complete that program. Respondent shall  
8 provide any information and documents that the program may deem pertinent. Respondent shall  
9 successfully complete the classroom component of the program not later than six (6) months after  
10 Respondent's initial enrollment, and the longitudinal component of the program not later than the  
11 time specified by the program, but no later than one (1) year after attending the classroom  
12 component. The professionalism program shall be at Respondent's expense and shall be in  
13 addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

14 A professionalism program taken after the acts that gave rise to the charges in the  
15 Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board  
16 or its designee, be accepted towards the fulfillment of this condition if the program would have  
17 been approved by the Board or its designee had the program been taken after the effective date of  
18 this Decision.

19 Respondent shall submit a certification of successful completion to the Board or its  
20 designee not later than 15 calendar days after successfully completing the program or not later  
21 than 15 calendar days after the effective date of the Decision, whichever is later.

22 4. CLINICAL COMPETENCE ASSESSMENT PROGRAM. Within 60 calendar days  
23 of the effective date of this Decision, Respondent shall enroll in a clinical competence assessment  
24 program approved in advance by the Board or its designee. Respondent shall successfully  
25 complete the program not later than six (6) months after Respondent's initial enrollment unless  
26 the Board or its designee agrees in writing to an extension of that time.

27 The program shall consist of a comprehensive assessment of Respondent's physical and  
28 mental health and the six general domains of clinical competence as defined by the Accreditation

1 Council on Graduate Medical Education and American Board of Medical Specialties pertaining to  
2 Respondent's current or intended area of practice. The program shall take into account data  
3 obtained from the pre-assessment, self-report forms and interview, and the Decision(s),  
4 Accusation(s), and any other information that the Board or its designee deems relevant. The  
5 program shall require Respondent's on-site participation for a minimum of three (3) and no more  
6 than five (5) days as determined by the program for the assessment and clinical education  
7 evaluation. Respondent shall pay all expenses associated with the clinical competence  
8 assessment program.

9 At the end of the evaluation, the program will submit a report to the Board or its designee  
10 which unequivocally states whether the Respondent has demonstrated the ability to practice  
11 safely and independently. Based on Respondent's performance on the clinical competence  
12 assessment, the program will advise the Board or its designee of its recommendation(s) for the  
13 scope and length of any additional educational or clinical training, evaluation or treatment for any  
14 medical condition or psychological condition, or anything else affecting Respondent's practice of  
15 medicine. Respondent shall comply with the program's recommendations.

16 Determination as to whether Respondent successfully completed the clinical competence  
17 assessment program is solely within the program's jurisdiction.

18 If Respondent fails to enroll, participate in, or successfully complete the clinical  
19 competence assessment program within the designated time period, Respondent shall receive a  
20 notification from the Board or its designee to cease the practice of medicine within three (3)  
21 calendar days after being so notified. The Respondent shall not resume the practice of medicine  
22 until enrollment or participation in the outstanding portions of the clinical competence assessment  
23 program have been completed. If the Respondent did not successfully complete the clinical  
24 competence assessment program, the Respondent shall not resume the practice of medicine until a  
25 final decision has been rendered on the accusation and/or a petition to revoke probation. The  
26 cessation of practice shall not apply to the reduction of the probationary time period.

27 5. NOTIFICATION. Within seven (7) days of the effective date of this Decision, the  
28 Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the

1 Chief Executive Officer at every hospital where privileges or membership are extended to  
2 Respondent, at any other facility where Respondent engages in the practice of medicine,  
3 including all physician and locum tenens registries or other similar agencies, and to the Chief  
4 Executive Officer at every insurance carrier which extends malpractice insurance coverage to  
5 Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15  
6 calendar days.

7 This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

8 6. SUPERVISION OF PHYSICIAN ASSISTANTS AND ADVANCED PRACTICE  
9 NURSES. During probation, Respondent is prohibited from supervising physician assistants and  
10 advanced practice nurses.

11 7. OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, all rules  
12 governing the practice of medicine in California and remain in full compliance with any court  
13 ordered criminal probation, payments, and other orders.

14 8. QUARTERLY DECLARATIONS. Respondent shall submit quarterly declarations  
15 under penalty of perjury on forms provided by the Board, stating whether there has been  
16 compliance with all the conditions of probation.

17 Respondent shall submit quarterly declarations not later than 10 calendar days after the end  
18 of the preceding quarter.

19 9. GENERAL PROBATION REQUIREMENTS.

20 Compliance with Probation Unit

21 Respondent shall comply with the Board's probation unit.

22 Address Changes

23 Respondent shall, at all times, keep the Board informed of Respondent's business and  
24 residence addresses, email address (if available), and telephone number. Changes of such  
25 addresses shall be immediately communicated in writing to the Board or its designee. Under no  
26 circumstances shall a post office box serve as an address of record, except as allowed by Business  
27 and Professions Code section 2021, subdivision (b).

28 Place of Practice



1 Respondent shall not engage in the practice of medicine in Respondent's or patient's place  
2 of residence, unless the patient resides in a skilled nursing facility or other similar licensed  
3 facility.

4 License Renewal

5 Respondent shall maintain a current and renewed California physician's and surgeon's  
6 license.

7 Travel or Residence Outside California

8 Respondent shall immediately inform the Board or its designee, in writing, of travel to any  
9 areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty  
10 (30) calendar days.

11 In the event Respondent should leave the State of California to reside or to practice  
12 Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of  
13 departure and return.

14 10. INTERVIEW WITH THE BOARD OR ITS DESIGNEE. Respondent shall be  
15 available in person upon request for interviews either at Respondent's place of business or at the  
16 probation unit office, with or without prior notice throughout the term of probation.

17 11. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or  
18 its designee in writing within 15 calendar days of any periods of non-practice lasting more than  
19 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is  
20 defined as any period of time Respondent is not practicing medicine as defined in Business and  
21 Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct  
22 patient care, clinical activity or teaching, or other activity as approved by the Board. If  
23 Respondent resides in California and is considered to be in non-practice, Respondent shall  
24 comply with all terms and conditions of probation. All time spent in an intensive training  
25 program which has been approved by the Board or its designee shall not be considered non-  
26 practice and does not relieve Respondent from complying with all the terms and conditions of  
27 probation. Practicing medicine in another state of the United States or Federal jurisdiction while  
28 on probation with the medical licensing authority of that state or jurisdiction shall not be

1 considered non-practice. A Board-ordered suspension of practice shall not be considered as a  
2 period of non-practice.

3 In the event Respondent's period of non-practice while on probation exceeds 18 calendar  
4 months, Respondent shall successfully complete the Federation of State Medical Boards's Special  
5 Purpose Examination, or, at the Board's discretion, a clinical competence assessment program  
6 that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model  
7 Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

8 Respondent's period of non-practice while on probation shall not exceed two (2) years. Periods  
9 of non-practice will not apply to the reduction of the probationary term. Periods of non-practice  
10 for a Respondent residing outside of California will relieve Respondent of the responsibility to  
11 comply with the probationary terms and conditions with the exception of this condition and the  
12 following terms and conditions of probation: Obey All Laws; General Probation Requirements.

13 12. COMPLETION OF PROBATION. Respondent shall comply with all financial  
14 obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the  
15 completion of probation. Upon successful completion of probation, Respondent's certificate shall  
16 be fully restored.

17 13. VIOLATION OF PROBATION. Failure to fully comply with any term or condition  
18 of probation is a violation of probation. If Respondent violates probation in any respect, the  
19 Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and  
20 carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation,  
21 or an Interim Suspension Order is filed against Respondent during probation, the Board shall have  
22 continuing jurisdiction until the matter is final, and the period of probation shall be extended until  
23 the matter is final.

24 14. LICENSE SURRENDER. Following the effective date of this Decision, if  
25 Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy  
26 the terms and conditions of probation, Respondent may request to surrender his license. The  
27 Board reserves the right to evaluate Respondent's request and to exercise its discretion in  
28 determining whether or not to grant the request, or to take any other action deemed appropriate

1 and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent  
2 shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its  
3 designee and Respondent shall no longer practice medicine. Respondent will no longer be subject  
4 to the terms and conditions of probation. If Respondent re-applies for a medical license, the  
5 application shall be treated as a petition for reinstatement of a revoked certificate.

6 15. PROBATION MONITORING COSTS. Respondent shall pay the costs associated  
7 with probation monitoring each and every year of probation, as designated by the Board, which  
8 may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of  
9 California and delivered to the Board or its designee no later than January 31 of each calendar  
10 year.

11 16. FUTURE ADMISSIONS CLAUSE. If Respondent should ever apply or reapply for  
12 a new license or certification, or petition for reinstatement of a license, by any other health care  
13 licensing action agency in the State of California, all of the charges and allegations contained in  
14 Accusation No. 800-2019-055270 shall be deemed to be true, correct, and admitted by  
15 Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or  
16 restrict license.

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**ACCEPTANCE**

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

DATED: 1-19-2022   
ROLLIE ROSETE, M.D.  
*Respondent*

I have read and fully discussed with Respondent Rollie Rosete, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.

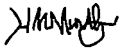
I approve its form and content.  
DATED: 1/19/2022   
STEVEN L. SIMAS  
*Attorney for Respondent*

**ENDORSEMENT**

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California.

DATED: 1-19-22

Respectfully submitted,  
ROB BONTA  
Attorney General of California  
MARY CAIN-SIMON  
Supervising Deputy Attorney General

  
HAMSA M. MURTHY  
Deputy Attorney General  
*Attorneys for Complainant*

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**Exhibit A**

**Accusation No. 800-2019-055270**

1 XAVIER BECERRA  
Attorney General of California  
2 MARY CAIN-SIMON  
Supervising Deputy Attorney General  
3 HAMSA M. MURTHY  
Deputy Attorney General  
4 State Bar No. 274745  
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E-mail: Hamsa.Murthy@doj.ca.gov  
7 *Attorneys for Complainant*

8  
9 **BEFORE THE**  
10 **MEDICAL BOARD OF CALIFORNIA**  
11 **DEPARTMENT OF CONSUMER AFFAIRS**  
12 **STATE OF CALIFORNIA**

13 In the Matter of the Accusation Against:

Case No. 800-2019-055270

14 **Rollie Duyao Rosete, M.D.**  
15 **4600 S. Tracy Blvd., Ste. 107**  
16 **Tracy, CA 95377**

**A C C U S A T I O N**

17 **Physician's and Surgeon's Certificate**  
18 **No. A53682,**

Respondent.

19 **PARTIES**

20 1. William Prasifka (Complainant) brings this Accusation solely in his official capacity  
21 as the Executive Director of the Medical Board of California, Department of Consumer Affairs  
22 (Board).

23 2. On or about November 2, 1994, the Medical Board issued Physician's and Surgeon's  
24 Certificate Number A53682 to Rollie Duyao Rosete, M.D. (Respondent). The Physician's and  
25 Surgeon's Certificate was in full force and effect at all times relevant to the charges brought  
26 herein and will expire on January 31, 2022, unless renewed.

1 **JURISDICTION**

2 3. This Accusation is brought before the Board under the authority of the following  
3 laws. All section references are to the Business and Professions Code unless otherwise indicated.

4 4. Section 2227 of the Code provides that a licensee who is found guilty under the  
5 Medical Practice Act may have his or her license revoked, suspended for a period not to exceed  
6 one year, placed on probation and required to pay the costs of probation monitoring, or such other  
7 action taken in relation to discipline as the Board deems proper.

8 5. Section 2234 of the Code states, in pertinent parts:

9 "The board shall take action against any licensee who is charged with unprofessional  
10 conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not  
11 limited to, the following:

12 "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the  
13 violation of, or conspiring to violate any provision of this chapter.

14 "(b) Gross negligence.

15 "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or  
16 omissions. An initial negligent act or omission followed by a separate and distinct departure from  
17 the applicable standard of care shall constitute repeated negligent acts.

18 "(1) An initial negligent diagnosis followed by an act or omission medically appropriate  
19 for that negligent diagnosis of the patient shall constitute a single negligent act.

20 "(2) When the standard of care requires a change in the diagnosis, act, or omission that  
21 constitutes the negligent act described in paragraph (1), including, but not limited to, a  
22 reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the  
23 applicable standard of care, each departure constitutes a separate and distinct breach of the  
24 standard of care.

25 "(d) Incompetence."

26 6. Section 2266 of the Code provides that "[t]he failure of a physician and surgeon to  
27 maintain adequate and accurate records relating to the provision of services to their patients  
28 constitutes unprofessional conduct."



1 **FIRST CAUSE FOR DISCIPLINE**

2 **(Gross Negligence/Repeated Negligent Acts/ Incompetence)**

3 7. Respondent is subject to disciplinary action under sections 2234 and/or 2234(b)  
4 and/or 2234(c) and/or 2234(d) in that Respondent engaged in unprofessional conduct and/or was  
5 grossly negligent and/or committed repeated acts of negligence and/or incompetence in his care  
6 and treatment of Patient 1.<sup>1</sup> The circumstances are as follows:

7 8. Respondent, a primary care physician in Tracy, CA, is board-certified in internal  
8 medicine. He treated Patient 1 in his clinic from 2007 to 2014. During this period, Patient 1  
9 suffered from thrombocytopenia,<sup>2</sup> bleeding, and infections. Nevertheless, Respondent did not  
10 discuss possible causes of Patient 1's thrombocytopenia with Patient 1, did not order basic work-  
11 ups to exclude such possible causes of thrombocytopenia such as HIV and Hepatitis C infections,  
12 and did not consistently monitor the condition. In addition, Respondent did not refer Patient 1 to  
13 a hematologist/ oncologist for further evaluation of Patient 1's persistent thrombocytopenia,  
14 bleeding, and recurrent infections. Consequently, Patient 1 did not receive a timely diagnosis of  
15 his chronic leukemia.

16 9. Respondent began treating Patient 1 on June 7, 2007. On September 6, 2007,  
17 Respondent saw Patient 1 for nose bleeds and indicated "Epistaxis . . . Controlled," with no  
18 apparent follow up regarding the nose bleeds. On January 17, 2008, Patient 1 saw Respondent for  
19 a general checkup. Respondent noted the "[t]he problem is with no change," but did not indicate  
20 to which problem he was referring or why, in particular, "no change" had been observed. On  
21 November 5, 2008, when Respondent saw Patient 1 for a follow up regarding laboratory and  
22 ultrasound results, Respondent indicated that Patient 1 had "Thrombocytopenia. . . Stable," but  
23 Respondent's records do not reflect that he discussed this diagnosis with Patient 1, or that  
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25

26 <sup>1</sup> The patient is referred to as Patient 1 to protect privacy.

27 <sup>2</sup> Thrombocytopenia is a condition in which a person has a low blood platelet count.  
28 Thrombocytopenia may occur as a result of a bone marrow disorder such as leukemia or as a  
result of an immune system problem. It may also result in dangerous bleeding. See  
<https://www.mayoclinic.org/diseases-conditions/thrombocytopenia/symptoms-causes/svc-20378293>.

1 Respondent otherwise further investigated possible causes of the condition in Patient 1.

2 Respondent also did not indicate why he thought Patient 1's thrombocytopenia was "stable."

3 10. Respondent saw Patient 1 again on July 20, 2009, April 22, 2010, May 6, 2010,  
4 February 3, 2011, August 12, 2011, August 16, 2011, and October 12, 2011, but did not indicate  
5 follow up, monitoring, further assessment of, or specialist referral regarding Patient 1's  
6 thrombocytopenia during those encounters. On November 18, 2011, Respondent saw Patient 1  
7 and indicated "Thrombocytopenia . . . monitor, Asymptomatic" and also noted no gross or gum  
8 bleeding. However, no other work up related to the condition was indicated, and again  
9 Respondent noted that "[t]he problem is with no change" without specifying to which problem he  
10 was referring, and why. On May 1, 2012, Respondent indicated that Patient 1's  
11 thrombocytopenia was "[i]mproved," but with no further explanation.

12 11. On May 1, 2012, June 4, 2012, July 11, 2012 and September 25, 2012, Respondent  
13 saw Patient 1, but with no indication of follow up on or monitoring of his thrombocytopenia. On  
14 September 12, 2013, Patient 1 presented to Respondent's office with cellulitis, and Patient 1 was  
15 assessed with "Thrombocytopenia . . . monitor, Stable." Again, no explanation was indicated  
16 regarding why the condition was deemed to be "Stable," at that time, and no further work up or  
17 other action regarding the condition was ordered.

18 12. On January 17, 2014, Patient 1 presented to Respondent's office for a follow up  
19 office visit after hospitalization for respiratory illness, assessed to be pneumonia and suspected  
20 valley fever. Respondent's signed medical records for that date do not indicate that Patient 1's  
21 thrombocytopenia was assessed or otherwise monitored or discussed at that time. No referral was  
22 made to a hematologist/oncologist for further investigation of the condition.

23 13. On January 24, 2014, Patient 1 saw Respondent for another office visit. Patient 1 was  
24 assessed at that time to have "Thrombocytopenia . . . Improved." In addition, with regard to  
25 Patient 1's thrombocytopenia, Respondent noted, "Today's instructions/ counseling includes  
26 follow the prescribed diet. He is to schedule a follow-up visit today." Respondent did not  
27 indicate a medical rationale, if any, for prescribing a diet with reference to thrombocytopenia.  
28 Moreover, there is no information in Respondent's medical record for Patient 1 on January 24,

1 2014, or in a subsequent medical record electronically signed by Respondent on January 28,  
2 2014; indicating any referral to a hematologist/oncologist, or orders for further work-up to  
3 determine the cause of Patient 1's thrombocytopenia. In addition, the continued prescription of  
4 aspirin and ibuprofen to Patient 1 was not properly assessed.

5 14. Accordingly, Respondent is guilty of unprofessional conduct, and Respondent's  
6 certificate is subjected to discipline pursuant to Sections 2234 and/or 2234(b) and/or 2234(c) and/  
7 or 2234 (d) of the Code based upon gross negligence and/or repeated negligent acts and/or  
8 incompetence, including but not limited to the following:

9 A. Respondent failed to diagnose Patient 1's chronic myeloid leukemia, even though  
10 Patient 1 presented with spontaneous epistaxis, documented thrombocytopenia, and recurrent  
11 infections consistent with a compromised immune system.

12 B. Respondent failed to monitor properly Patient 1's thrombocytopenia.

13 C. Respondent failed to refer Patient 1 to a hematologist/oncologist.

14 D. Respondent inappropriately prescribed aspirin and ibuprofen to Patient 1, a patient  
15 with documented thrombocytopenia and spontaneous nose bleeds, without assessment of potential  
16 costs, benefits, and side effects of these medicines for Patient 1.

17 **SECOND CAUSE FOR DISCIPLINE**

18 **(Failure to Maintain Accurate and Adequate Medical Records)**

19 15. Respondent is subject to discipline for violation of Section 2266 of the Code based on  
20 his failure to maintain adequate and accurate medical records for Patient 1. Review of  
21 Respondent's medical records for Patient 1 reveals that many of Respondent's progress notes  
22 were redundant and failed to address ongoing medical management or appropriate review of  
23 Patient 1's care. A number of Respondent's notes stated "[t]he problem is worsening" without  
24 specifying which problem Respondent meant. In addition, the progress notes did not reflect  
25 ongoing clinical management, medical rationale, or therapeutic decisions.

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PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

1. Revoking or suspending Physician's and Surgeon's Certificate Number A53682, issued to Rollie Duyao Rosete, M.D.;
2. Revoking, suspending or denying approval of Rollie Duyao Rosete, M.D.'s authority to supervise physician assistants and advanced practice nurses;
3. Ordering Rollie Duyao Rosete, M.D., if placed on probation, to pay the Board the costs of probation monitoring; and
4. Taking such other and further action as deemed necessary and proper.

DATED: DEC 30 2020

*Jenna Jones, Jenna Jones*  
*Fax: WILLIAM PRASIFKA, Chief of Enforcement*  
Executive Director  
Medical Board of California  
Department of Consumer Affairs  
State of California  
*Complainant*