BEFORE THE
MEDICAL BOARD OF CALIFORNIA
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

In the Matter of the Application Of: **Stephen Walter Chang** Applicant.	File No. 800-2020-067025

DECISION AND ORDER

The attached Stipulation for a Probationary License is hereby accepted and adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on **April 1, 2021**, although the probation will not commence until the applicant completes any remaining requirements for licensure and the license is issued.

ORDERED: March 2, 2021

MEDICAL BOARD OF CALIFORNIA

Ronald H. Lewis, M.D., Chair
Panel A

BEFORE THE

MEDICAL BOARD OF CALIFORNIA
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

In the Matter of the Application of:	)	Case No. 800-2020-067025
	)	
STEPHEN WALTER CHANG	)	
	)	STIPULATION FOR A PROBATIONARY LICENSE
	)	
For a Physician's and Surgeon's License	)	
	)	

1) Stephen Walter Chang, applicant for a physician's and surgeon's license (hereinafter "applicant"), and Marina O'Connor, Chief of Licensing of the Medical Board of California (Board), hereby stipulate as follows:

2) Applicant is eligible for medical licensure in California upon meeting all licensure requirements.

3) On May 31, 2019, applicant submitted an application for a Physician's and Surgeon's License. Since July 2013, applicant has not had any clinical care activities, which may impact his ability to practice medicine safely as an independent practitioner.

On September 21, 2018, applicant entered into a Consent Order with the Virginia Board of Medicine, which reprimanded his medical license. Specifically, the Consent Order indicated that the applicant prescribed controlled substances on five (5) different occasions in 2016, using the Drug Enforcement Administration number of another physician in his office of practice. A copy of the Consent Order from the Virginia Board of Medicine is attached hereto as Exhibit A.

4) Section 2221(a) of the Business and Professions Code states the Board may deny a license to an applicant guilty of unprofessional conduct or of any cause that would subject a licensee to revocation or suspension of their license. Section 2234 of the Business and Professions Code states that the Board may take action for unprofessional conduct and for any act involving dishonesty if it is related to the qualifications, functions, or duties of a physician and surgeon. Section 2305 of the Business and Professions Code states the revocation, suspension, or other discipline by another state upon a license shall constitute grounds for disciplinary actions for unprofessional conduct against the licensee in this state.

The above supports a conclusion that grounds exist for denial pursuant to sections 2221(a), 2234, 2234(e), and 2305 of the Business and Professions Code.

5) Pursuant to Section 2221 of the Business and Professions Code, the Board may deny a license to an applicant because of unprofessional conduct. Alternatively, the Board has the discretionary authority to issue a probationary license with terms and conditions.

6) Applicant acknowledges he has a right to request a Statement of Issues and a hearing upon denial of license for cause.

Applicant waives notice of hearing and judicial review in favor of this Stipulation for a Probationary License, which is subject to approval by the Board. If not approved, this Stipulation is null and void and may not be used for any purpose.

7) Applicant understands and agrees that counsel for the staff of the Board may communicate directly with the Board regarding this proposed Stipulation, without notice to or participation by applicant or his counsel. By signing the Stipulation, applicant understands and agrees that he may not withdraw this agreement or seek to rescind the Stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this Stipulation, the offer of a Stipulation for a Probationary License shall be of no force or effect; except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

ORDER

IT IS ORDERED THAT STEPHEN WALTER CHANG, applicant, be issued a Physician's and Surgeon's License on a probation basis for a period of three (3) years, subject to the following terms and conditions. Probation shall begin on the date the applicant is issued a probationary license.

1) Education Course
Within 60 calendar days of the effective date of this Decision, and on an annual basis thereafter, applicant shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours per year, for each year of probation. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge and shall be Category I certified. The educational program(s) or course(s) shall be at applicant's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test applicant's knowledge of the course. Applicant shall provide proof of attendance for 65 hours of CME of which 40 hours were in satisfaction of this condition.

2) Prescribing Practices Course
Within 60 calendar days of the effective date of this Decision, applicant shall enroll in a course in prescribing practices approved in advance by the Board or its designee. Applicant shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Applicant shall participate in and successfully complete the classroom component of the course no later than six (6) months after applicant's initial enrollment. Applicant shall successfully complete any other component of the course within one (1) year of enrollment. The prescribing practices course shall be at applicant's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A prescribing practices course taken after the acts that gave rise to the charges in this Decision, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Applicant shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

3) Monitoring – Practice

Within 30 calendar days of the effective date of this Decision, applicant shall submit to the Board or its designee for prior approval as a practice monitor(s), the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with applicant, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in applicant's field of practice, and must agree to serve as applicant's monitor. Applicant shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision(s), and a proposed monitoring plan. Within 15 calendar days of receipt of the Decision(s), and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision(s), fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement for approval by the Board or its designee.

Within 30 calendar days of the effective date of this Decision, and continuing throughout probation, applicant's practice shall be monitored by the approved monitor. Applicant shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

If applicant fails to obtain approval of a monitor within 30 calendar days of the effective date of this Decision, applicant shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Applicant shall cease the practice of medicine until a monitor is approved to provide monitoring responsibility.

The monitor(s) shall submit a quarterly written report to the Board or its designee which includes an evaluation of applicant's performance, indicating whether applicant's practices are within the standards of practice of medicine and whether applicant is practicing medicine safely. It shall be the sole responsibility of the applicant to ensure that the monitor submits the quarterly written reports to the Board or its designee within 10

calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, applicant shall, within 5 calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within 15 calendar days. If applicant fails to obtain approval of a replacement monitor within 30 calendar days of the resignation or unavailability of the monitor, applicant shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Applicant shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

In lieu of a monitor, applicant may participate in a professional enhancement program approved in advance by the Board or its designee, that includes, at minimum, quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Applicant shall participate in the professional enhancement program at applicant's expense during the term of probation.

4) Solo Practice Prohibition

Applicant is prohibited from engaging in the solo practice of medicine. Prohibited solo practice includes, but is not limited to, a practice where: 1) applicant merely shares office space with another physician but is not affiliated for purposes of providing patient care, or 2) applicant is the sole physician practitioner at that location.

If applicant fails to establish a practice with another physician or secure employment in an appropriate practice setting within 60 calendar days of the effective date of this Decision, applicant shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. The applicant shall not resume practice until an appropriate practice setting is established.

If, during the course of the probation, the applicant's practice setting changes and the applicant is no longer practicing in a setting in compliance with this Decision, the applicant shall notify the Board or its designee within 5 calendar days of the practice setting change.

If applicant fails to establish a practice with another physician or secure employment in an appropriate practice setting within 60 calendar days of the practice setting change, applicant shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. The applicant shall not resume practice until an appropriate practice setting is established.

5) Notification

Within seven (7) days of the effective date of this Decision, the applicant shall provide a true copy of this Decision to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to applicant, at any other facility where applicant engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to applicant. Applicant shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

6) Supervision of Physician Assistants and Advanced Practice Nurses
During probation, applicant is prohibited from supervising physician assistants and advanced practice nurses.

7) Obey All Laws
Applicant shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.

8) Quarterly Declarations
Applicant shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation. Applicant shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

9) General Probation Requirements

a) Compliance with Probation Unit
Applicant shall comply with the Board's probation.

b) Address Changes
Applicant shall, at all times, keep the Board informed of applicant's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

c) Place of Practice
Applicant shall not engage in the practice of medicine in applicant's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

d) License Renewal
Applicant shall maintain a current and renewed California physician's and surgeon's license.

e) Travel or Residence Outside California
Applicant shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event applicant should leave the State of California to reside or to practice applicant shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

10) Interview with the Board or its Designee
Applicant shall be available in person upon request for interviews either at applicant's place of business or at the probation unit office, with or without prior notice throughout the term of probation.

11) Non-practice While on Probation
Applicant shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of applicant's return to practice. Non-practice is defined as any period of time applicant is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If applicant resides in California and is considered to be in non-practice, applicant shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve applicant from complying with all the terms and conditions of probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event applicant's period of non-practice while on probation exceeds 18 calendar months, applicant shall successfully complete the Federation of State Medical Board's Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Applicant's period of non-practice while on probation shall not exceed two (2) years.

Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for an applicant residing outside of California, will relieve applicant of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; General Probation Requirements; Quarterly Declarations.

12) Completion of Probation
Applicant shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, applicant's license shall be unrestricted.

13) Violation of Probation
Failure to fully comply with any term or condition of probation is a violation of probation. If applicant violates probation in any respect, the Board, after giving applicant notice and the opportunity to be heard, may petition to revoke probation and take appropriate action. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed

against applicant during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.

14) License Surrender

Following the effective date of this Decision, if applicant ceases practicing due to retirement or health reasons or is otherwise unable to satisfy the terms and conditions of probation, applicant may request to surrender his license. The Board reserves the right to evaluate applicant request and to exercise its discretion in determining whether or not to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender, applicant shall within 15 calendar days deliver applicant's wallet and wall certificate to the Board or its designee and applicant shall no longer practice medicine. Applicant will no longer be subject to the terms and conditions of probation. If applicant re-applies for a medical license, the application shall be treated as a petition for reinstatement of a revoked certificate.

15) Probation Monitoring Costs

Applicant shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

Applicant agrees to comply with the terms and conditions of the above Order.

	2/3/21
Stephen Walter Chang, Applicant	Date
	2/23/2021
Marina O'Connor, Chief of Licensing	Date

Exhibit A

Virginia Board of Medicine

Consent Order, Case No.178489

BEFORE THE VIRGINIA BOARD OF MEDICINE

IN RE: **STEPHEN WALTER CHANG, M.D.**
License Number: 0101-242425
Case Number: 178489

CONSENT ORDER

JURISDICTION AND PROCEDURAL HISTORY

The Virginia Board of Medicine ("Board") and Stephen Walter Chang, M.D., as evidenced by their signatures hereto, in lieu of proceeding to an informal conference, enter into the following Consent Order affecting Dr. Chang's license to practice medicine and surgery in the Commonwealth of Virginia.

FINDINGS OF FACT AND CONCLUSIONS OF LAW

1. Stephen Walter Chang, M.D., was issued License Number 0101-242425 to practice medicine and surgery on August 29, 2007, which is scheduled to expire on June 30, 2020.

2. Dr. Chang violated Virginia Code § 54.1-2915(A)(1), (3), and (16) in that, on five (5) occasions in 2016, he prescribed the following controlled substances using the DEA number of another physician in his practice:

   Patient A -- Vyvanse (C-II)
   October 26, 2016; November 10, 2016

   Patient B -- Lunesta (C-IV)
   August 17, 2016; September 17, 2016; November 22, 2016

3. By letter to the Board's investigator dated January 8, 2018, Dr. Chang admitted to using the DEA number of another physician in his practice, stating that his "rationale was that I would be writing so rarely that it made little sense to pay $731 to renew my DEA." He further stated that he now understands such conduct is unlawful.

CONSENT

Stephen Walter Chang, M.D., by affixing his signature to this Consent Order, agrees to the following:

1. I have been advised to seek advice of counsel prior to signing this document and am represented by Michael Goodman, Esq.;

2. I am fully aware that without my consent, no legal action can be taken against me or my license except pursuant to the Virginia Administrative Process Act, Virginia Code § 2.2-4000 *et seq.*;

3. I acknowledge that I have the following rights, among others: the right to an informal fact-finding conference before the Board; the right to representation by counsel; and the right to cross-examine witnesses against me;

4. I waive my right to an informal conference;

5. I admit to the Findings of Fact and Conclusions of Law contained herein and waive my right to contest such Findings of Fact and Conclusions of Law and any sanction imposed hereunder in any future judicial or administrative proceeding in which the Board is a party;

6. I consent to the entry of the following Order affecting my license to practice medicine and surgery in the Commonwealth of Virginia.

ORDER

Based on the foregoing Findings of Fact and Conclusions of Law, the Virginia Board of Medicine hereby ORDERS that Stephen Walter Chang, M.D., is issued a REPRIMAND.

Pursuant to Virginia Code §§ 2.2-4023 and 54.1-2400.2, the signed original of this Order shall remain in the custody of the Department of Health Professions as a public record, and shall be made available for public inspection and copying upon request.

FOR THE BOARD

Jennifer Deschenes, J.D., M.S.
Deputy Executive Director
Virginia Board of Medicine

ENTERED: 9/21/18

SEEN AND AGREED TO:

Stephen Walter Chang, M.D.

COMMONWEALTH OF VIRGINIA
COUNTY/CITY OF Fairfax, TO WIT:

Subscribed and sworn to before me, a notary public in and for the Commonwealth of Virginia at large, on this 18th day of September, 2018.

Notary Public

My commission expires: 09/30/2020

Registration No.: 7521787

MILAN CAVIC
Notary Public
Commonwealth of Virginia
Registration No. 7521787
My Commission Expires Sep 30, 2020

COMMONWEALTH of VIRGINIA

David E. Brown, D.C.
Director

Department of Health Professions
Perimeter Center
9960 Mayland Drive, Suite 300
Henrico, Virginia 23233-1463

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CERTIFICATION OF DUPLICATE OF OFFICIAL BOARD RECORDS
SECTION 54.1-112, CODE OF VIRGINIA, 1950, AS AMENDED

I, William L. Harp, M.D., Executive Director of the Virginia Board of Medicine, hereby certify that I have custody of the file regarding Dr. Chang and that said file is an official record of the Virginia Board of Medicine. I also certify that the attached documents consisting of three (3) pages are a true copy of this record.

William L. Harp MD Date 8/21/19
William L. Harp, M.D.

COMMONWEALTH OF VIRGINIA
COUNTY OF HENRICO
Subscribed and sworn to before me, a Notary Public in and for the county of Henrico, this 21st day of August, 2019, by William L. Harp M.D.

Jennie Wood
Notary Public 7057255

My commission expires: June 30th, 2022

I, David E. Brown, D.C., Director, Department of Health Professions, hereby certify that I am the person to whom William L. Harp, M.D., reports and that the attached documents consisting of three (3) pages are a true copy of the records of the Virginia Board of Medicine.

D E Brown Date 8/21/19
David E. Brown, D.C.

COMMONWEALTH OF VIRGINIA
COUNTY OF HENRICO
Subscribed and sworn to before me, a Notary Public in and for the county of Henrico, this 21st day of August, 2019, by David E. Brown, D.C.

Jennie Wood
Notary Public 7057255

My commission expires: June 30th, 2022