

**BEFORE THE
MEDICAL BOARD OF CALIFORNIA
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA**

**In the Matter of the Accusation
Against:**

J. Eduardo Guzman, M.D.

**Physician's and Surgeon's Certificate
No. A 38124**

Case No.: 800-2022-094200

Respondent.

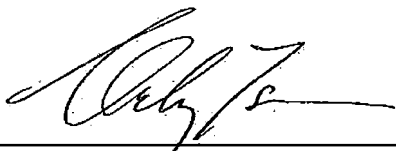
DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on February 4, 2026.

IT IS SO ORDERED: January 5, 2026.

MEDICAL BOARD OF CALIFORNIA

 **M.D.**

**Veling Tsai, M.D., Chair
Panel A**

1 ROB BONTA
Attorney General of California
2 EDWARD KIM
Supervising Deputy Attorney General
3 TRINA L. SAUNDERS
Deputy Attorney General
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7 *Attorneys for Complainant*

8 **BEFORE THE**
9 **MEDICAL BOARD OF CALIFORNIA**
10 **DEPARTMENT OF CONSUMER AFFAIRS**
11 **STATE OF CALIFORNIA**

12 In the Matter of the Accusation Against:

Case No. 800-2022-094200

13 **J. EDUARDO GUZMAN, M.D.**
201 S. Miller St., Ste. 103
Santa Maria, CA 93454-5248

OAH No. 2025020108

14 **Physician's and Surgeon's Certificate No.**
15 **A 38124**

**STIPULATED SETTLEMENT AND
DISCIPLINARY ORDER**

16 Respondent.

17 IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-
18 entitled proceedings that the following matters are true:

19 **PARTIES**

20 1. Reji Varghese (Complainant) is the Executive Director of the Medical Board of
21 California (Board). He brought this action solely in his official capacity and is represented in this
22 matter by Rob Bonta, Attorney General of the State of California, by Trina L. Saunders, Deputy
23 Attorney General.

24 2. Respondent J. Eduardo Guzman, M.D. is represented in this proceeding by attorney
25 Mark B. Connely, whose address is 444 Higuera Street, Third Floor, San Luis Obispo, CA
26 93401.

27 3. On or about March 8, 1982, the Board issued Physician's and Surgeon's Certificate
28 No. A 38124 to J. Eduardo Guzman, M.D. (Respondent). That Physician's and Surgeon's

1 Certificate was in full force and effect at all times relevant to the charges brought in Accusation
2 No. 800-2022-094200, and will expire on June 30, 2027, unless renewed.

3 **JURISDICTION**

4 4. Accusation No. 800-2022-094200 was filed before the Board, and is currently
5 pending against Respondent. The Accusation and all other statutorily required documents were
6 properly served on Respondent on December 6, 2024. Respondent timely filed his Notice of
7 Defense contesting the Accusation.

8 5. A copy of Accusation No. 800-2022-094200 is attached as exhibit A and incorporated
9 herein by reference.

10 **ADVISEMENT AND WAIVERS**

11 6. Respondent has carefully read, fully discussed with counsel, and understands the
12 charges and allegations in Accusation No. 800-2022-094200. Respondent has also carefully read,
13 fully discussed with his counsel, and understands the effects of this Stipulated Settlement and
14 Disciplinary Order.

15 7. Respondent is fully aware of his legal rights in this matter, including the right to a
16 hearing on the charges and allegations in the Accusation; the right to confront and cross-examine
17 the witnesses against him; the right to present evidence and to testify on his own behalf; the right
18 to the issuance of subpoenas to compel the attendance of witnesses and the production of
19 documents; the right to reconsideration and court review of an adverse decision; and all other
20 rights accorded by the California Administrative Procedure Act and other applicable laws.

21 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and
22 every right set forth above.

23 **CULPABILITY**

24 9. Respondent understands and agrees that the charges and allegations in Accusation
25 No. 800-2022-094200, if proven at a hearing, constitute cause for imposing discipline upon his
26 Physician's and Surgeon's Certificate.

27 10. Respondent agrees that, at a hearing, Complainant could establish a prima facie case
28 or factual basis for the charges in the Accusation, and that Respondent hereby gives up his right

1 to contest those charges.

2 11. Respondent does not contest that, at an administrative hearing, Complainant could
3 establish a prima facie case with respect to the charges and allegations in Accusation No. 800-
4 2022-094200, a true and correct copy of which is attached hereto as Exhibit A, and that he has
5 thereby subjected his Physician's and Surgeon's Certificate, No. A 38124 to disciplinary action.

6 12. Respondent agrees that his Physician's and Surgeon's Certificate is subject to
7 discipline and he agrees to be bound by the Board's probationary terms as set forth in the
8 Disciplinary Order below.

9 **CONTINGENCY**

10 13. This stipulation shall be subject to approval by the Medical Board of California.
11 Respondent understands and agrees that counsel for Complainant and the staff of the Medical
12 Board of California may communicate directly with the Board regarding this stipulation and
13 settlement, without notice to or participation by Respondent or his counsel. By signing the
14 stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek
15 to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails
16 to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary
17 Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal
18 action between the parties, and the Board shall not be disqualified from further action by having
19 considered this matter.

20 14. This Stipulated Settlement and Disciplinary Order is intended by the parties herein to
21 be an integrated writing representing the complete, final and exclusive embodiment of the
22 agreement of the parties in this above entitled matter.

23 15. Respondent agrees that if he ever petitions for early termination or modification of
24 probation, or if an accusation and/or petition to revoke probation is filed against him before the
25 Board, all of the charges and allegations contained in Accusation No. 800-2022-094200 shall be
26 deemed true, correct and fully admitted by Respondent for purposes of any such proceeding or
27 any other licensing proceeding involving Respondent in the State of California.

28 16. The parties understand and agree that Portable Document Format (PDF) and facsimile

copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.

17. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 38124 issued to Respondent J. Eduardo Guzman, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions:

1. **PRESCRIBING PRACTICES COURSE.** Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in prescribing practices approved in advance by the Board or its designee. Respondent shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The prescribing practices course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A prescribing practices course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

2. **MEDICAL RECORD KEEPING COURSE.** Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping approved in

1 advance by the Board or its designee. Respondent shall provide the approved course provider
2 with any information and documents that the approved course provider may deem pertinent.
3 Respondent shall participate in and successfully complete the classroom component of the course
4 not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully
5 complete any other component of the course within one (1) year of enrollment. The medical
6 record keeping course shall be at Respondent's expense and shall be in addition to the Continuing
7 Medical Education (CME) requirements for renewal of licensure.

8 A medical record keeping course taken after the acts that gave rise to the charges in the
9 Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board
10 or its designee, be accepted towards the fulfillment of this condition if the course would have
11 been approved by the Board or its designee had the course been taken after the effective date of
12 this Decision.

13 Respondent shall submit a certification of successful completion to the Board or its
14 designee not later than 15 calendar days after successfully completing the course, or not later than
15 15 calendar days after the effective date of the Decision, whichever is later.

16 3. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within 60 calendar days of
17 the effective date of this Decision, Respondent shall enroll in a professionalism program, that
18 meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1.
19 Respondent shall participate in and successfully complete that program. Respondent shall
20 provide any information and documents that the program may deem pertinent. Respondent shall
21 successfully complete the classroom component of the program not later than six (6) months after
22 Respondent's initial enrollment, and the longitudinal component of the program not later than the
23 time specified by the program, but no later than one (1) year after attending the classroom
24 component. The professionalism program shall be at Respondent's expense and shall be in
25 addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

26 A professionalism program taken after the acts that gave rise to the charges in the
27 Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board
28 or its designee, be accepted towards the fulfillment of this condition if the program would have

1 been approved by the Board or its designee had the program been taken after the effective date of
2 this Decision.

3 Respondent shall submit a certification of successful completion to the Board or its
4 designee not later than 15 calendar days after successfully completing the program or not later
5 than 15 calendar days after the effective date of the Decision, whichever is later.

6 4. NOTIFICATION. Within seven (7) days of the effective date of this Decision, the
7 Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the
8 Chief Executive Officer at every hospital where privileges or membership are extended to
9 Respondent, at any other facility where Respondent engages in the practice of medicine,
10 including all physician and locum tenens registries or other similar agencies, and to the Chief
11 Executive Officer at every insurance carrier which extends malpractice insurance coverage to
12 Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15
13 calendar days.

14 This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

15 5. OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, all rules
16 governing the practice of medicine in California and remain in full compliance with any court
17 ordered criminal probation, payments, and other orders.

18 6. INVESTIGATION/ENFORCEMENT COST RECOVERY. Respondent is hereby
19 ordered to reimburse the Board its costs of investigation and enforcement, including, but not
20 limited to, expert review, legal reviews, investigation(s), and subpoena enforcement, as
21 applicable, in the amount of \$25,394.00 (twenty-five thousand three hundred ninety-four dollars
22 and zero cents). Costs shall be payable to the Medical Board of California. Failure to pay such
23 costs shall be considered a violation of probation.

24 Payment must be made in full within 30 calendar days of the effective date of the Order, or
25 by a payment plan approved by the Medical Board of California. Any and all requests for a
26 payment plan shall be submitted in writing by Respondent to the Board. Failure to comply with
27 the payment plan shall be considered a violation of probation.

28 The filing of bankruptcy by respondent shall not relieve respondent of the responsibility to

1 repay investigation and enforcement costs, including expert review costs.

2 7. QUARTERLY DECLARATIONS. Respondent shall submit quarterly declarations
3 under penalty of perjury on forms provided by the Board, stating whether there has been
4 compliance with all the conditions of probation.

5 Respondent shall submit quarterly declarations not later than 10 calendar days after the end
6 of the preceding quarter.

7 8. GENERAL PROBATION REQUIREMENTS.

8 Compliance with Probation Unit

9 Respondent shall comply with the Board's probation unit.

10 Address Changes

11 Respondent shall, at all times, keep the Board informed of Respondent's business and
12 residence addresses, email address (if available), and telephone number. Changes of such
13 addresses shall be immediately communicated in writing to the Board or its designee. Under no
14 circumstances shall a post office box serve as an address of record, except as allowed by Business
15 and Professions Code section 2021, subdivision (b).

16 Place of Practice

17 Respondent shall not engage in the practice of medicine in Respondent's or patient's place
18 of residence, unless the patient resides in a skilled nursing facility or other similar licensed
19 facility.

20 License Renewal

21 Respondent shall maintain a current and renewed California physician's and surgeon's
22 license.

23 Travel or Residence Outside California

24 Respondent shall immediately inform the Board or its designee, in writing, of travel to any
25 areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty
26 (30) calendar days.

27 In the event Respondent should leave the State of California to reside or to practice
28 Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of

1 departure and return.

2 9. INTERVIEW WITH THE BOARD OR ITS DESIGNEE. Respondent shall be
3 available in person upon request for interviews either at Respondent's place of business or at the
4 probation unit office, with or without prior notice throughout the term of probation.

5 10. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or
6 its designee in writing within 15 calendar days of any periods of non-practice lasting more than
7 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is
8 defined as any period of time Respondent is not practicing medicine as defined in Business and
9 Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct
10 patient care, clinical activity or teaching, or other activity as approved by the Board. If
11 Respondent resides in California and is considered to be in non-practice, Respondent shall
12 comply with all terms and conditions of probation. All time spent in an intensive training
13 program which has been approved by the Board or its designee shall not be considered non-
14 practice and does not relieve Respondent from complying with all the terms and conditions of
15 probation. Practicing medicine in another state of the United States or Federal jurisdiction while
16 on probation with the medical licensing authority of that state or jurisdiction shall not be
17 considered non-practice. A Board-ordered suspension of practice shall not be considered as a
18 period of non-practice.

19 In the event Respondent's period of non-practice while on probation exceeds 18 calendar
20 months, Respondent shall successfully complete the Federation of State Medical Boards' Special
21 Purpose Examination, or, at the Board's discretion, a clinical competence assessment program
22 that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model
23 Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

24 Respondent's period of non-practice while on probation shall not exceed two (2) years.

25 Periods of non-practice will not apply to the reduction of the probationary term.

26 Periods of non-practice for a Respondent residing outside of California will relieve
27 Respondent of the responsibility to comply with the probationary terms and conditions with the
28 exception of this condition and the following terms and conditions of probation: Obey All Laws;

1 General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or
2 Controlled Substances; and Biological Fluid Testing.

3 11. COMPLETION OF PROBATION. Respondent shall comply with all financial
4 obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the
5 completion of probation. This term does not include cost recovery, which is due within 30
6 calendar days of the effective date of the Order, or by a payment plan approved by the Medical
7 Board and timely satisfied. Upon successful completion of probation, Respondent's certificate
8 shall be fully restored.

9 12. VIOLATION OF PROBATION. Failure to fully comply with any term or condition
10 of probation is a violation of probation. If Respondent violates probation in any respect, the
11 Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and
12 carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation,
13 or an Interim Suspension Order is filed against Respondent during probation, the Board shall have
14 continuing jurisdiction until the matter is final, and the period of probation shall be extended until
15 the matter is final.

16 13. LICENSE SURRENDER. Following the effective date of this Decision, if
17 Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy
18 the terms and conditions of probation, Respondent may request to surrender his or her license.
19 The Board reserves the right to evaluate Respondent's request and to exercise its discretion in
20 determining whether or not to grant the request, or to take any other action deemed appropriate
21 and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent
22 shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its
23 designee and Respondent shall no longer practice medicine. Respondent will no longer be subject
24 to the terms and conditions of probation. If Respondent re-applies for a medical license, the
25 application shall be treated as a petition for reinstatement of a revoked certificate.

26 14. PROBATION MONITORING COSTS. Respondent shall pay the costs associated
27 with probation monitoring each and every year of probation, as designated by the Board, which
28 may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of

1 California and delivered to the Board or its designee no later than January 31 of each calendar
2 year.

3 15. FUTURE ADMISSIONS CLAUSE. If Respondent should ever apply or reapply for
4 a new license or certification, or petition for reinstatement of a license, by any other health care
5 licensing action agency in the State of California, all of the charges and allegations contained in
6 Accusation No. 800-2022-094200 shall be deemed to be true, correct, and admitted by
7 Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or
8 restrict license.

9 ACCEPTANCE

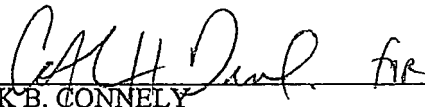
10 I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully
11 discussed it with my attorney, Mark B. Connely. I understand the stipulation and the effect it will
12 have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and
13 Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the
14 Decision and Order of the Medical Board of California.

15
16 DATED: 8-20-25


J. EDUARDO GUZMAN, M.D.
Respondent

17
18
19 I have read and fully discussed with Respondent J. Eduardo Guzman, M.D. the terms and
20 conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.
21 I approve its form and content.

22
23 DATED: 08/20/2025


MARK B. CONNELLY
Attorney for Respondent

24
25
26 ///

27 ///

28 ///

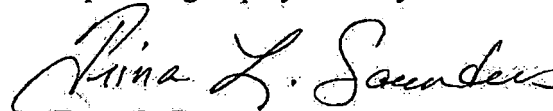
ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California.

DATED: August 21, 2025

Respectfully submitted,

ROB BONTA
Attorney General of California
EDWARD KIM
Supervising Deputy Attorney General


TRINA L. SAUNDERS
Deputy Attorney General
Attorneys for Complainant

LA2024603697
Stip Settlement and Disc Order - MBC-Osteopathic.docx

Exhibit A:

Accusation No.: 800-2022-094200

1 ROB BONTA
Attorney General of California
2 EDWARD KIM
Supervising Deputy Attorney General
3 TRINA L. SAUNDERS
Deputy Attorney General
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12 In the Matter of the Accusation Against:

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13 **J. Eduardo Guzman, M.D.**
201 S. Miller St., Ste. 103
Santa Maria, CA 93454-5248

A C C U S A T I O N

14 **Physician's and Surgeon's Certificate**
15 **No. A 38124,**

Respondent.

16
17
18 **PARTIES**

19 1. Reji Varghese (Complainant) brings this Accusation solely in his official capacity as
20 the Executive Director of the Medical Board of California, Department of Consumer Affairs
21 (Board).

22 2. On or about March 8, 1982, the Board issued Physician's and Surgeon's Certificate
23 Number A 38124 to J. Eduardo Guzman, M.D. (Respondent). The Physician's and Surgeon's
24 Certificate was in full force and effect at all times relevant to the charges brought herein and will
25 expire on June 30, 2025, unless renewed.

26 **JURISDICTION**

27 3. This Accusation is brought before the Board, under the authority of the following
28 laws. All section references are to the Business and Professions Code (Code) unless otherwise

1 indicated.

2 STATUTORY PROVISIONS

3 4. Section 2004 of the Code states:

4 The board shall have the responsibility for the following:

5 (a) The enforcement of the disciplinary and criminal provisions of the Medical
6 Practice Act.

7 (b) The administration and hearing of disciplinary actions.

8 (c) Carrying out disciplinary actions appropriate to findings made by a panel or
an administrative law judge.

9 (d) Suspending, revoking, or otherwise limiting certificates after the conclusion
10 of disciplinary actions.

11 (e) Reviewing the quality of medical practice carried out by physician and
surgeon certificate holders under the jurisdiction of the board.

12 (f) Approving undergraduate and graduate medical education programs.

13 (g) Approving clinical clerkship and special programs and hospitals for the
14 programs in subdivision (f).

15 (h) Issuing licenses and certificates under the board's jurisdiction.

16 (i) Administering the board's continuing medical education program.

17 5. Section 2227 of the Code states:

18 (a) A licensee whose matter has been heard by an administrative law judge of
19 the Medical Quality Hearing Panel as designated in Section 11371 of the Government
20 Code, or whose default has been entered, and who is found guilty, or who has entered
into a stipulation for disciplinary action with the board, may, in accordance with the
provisions of this chapter:

21 (1) Have his or her license revoked upon order of the board.

22 (2) Have his or her right to practice suspended for a period not to exceed one
23 year upon order of the board.

24 (3) Be placed on probation and be required to pay the costs of probation
monitoring upon order of the board.

25 (4) Be publicly reprimanded by the board. The public reprimand may include a
26 requirement that the licensee complete relevant educational courses approved by the
board.

27 (5) Have any other action taken in relation to discipline as part of an order of
28 probation, as the board or an administrative law judge may deem proper.

1 (b) Any matter heard pursuant to subdivision (a), except for warning letters,
2 medical review or advisory conferences, professional competency examinations,
3 continuing education activities, and cost reimbursement associated therewith that are
4 agreed to with the board and successfully completed by the licensee, or other matters
5 made confidential or privileged by existing law, is deemed public, and shall be made
6 available to the public by the board pursuant to Section 803.1.

7 STATUTORY PROVISIONS

8 6. Section 2234 of the Code states:

9 The board shall take action against any licensee who is charged with
10 unprofessional conduct. In addition to other provisions of this article, unprofessional
11 conduct includes, but is not limited to, the following:

12 (a) Violating or attempting to violate, directly or indirectly, assisting in or
13 abetting the violation of, or conspiring to violate any provision of this chapter.

14 (b) Gross negligence.

15 (c) Repeated negligent acts. To be repeated, there must be two or more
16 negligent acts or omissions. An initial negligent act or omission followed by a
17 separate and distinct departure from the applicable standard of care shall constitute
18 repeated negligent acts.

19 (1) An initial negligent diagnosis followed by an act or omission medically
20 appropriate for that negligent diagnosis of the patient shall constitute a single
21 negligent act.

22 (2) When the standard of care requires a change in the diagnosis, act, or
23 omission that constitutes the negligent act described in paragraph (1), including, but
24 not limited to, a reevaluation of the diagnosis or a change in treatment, and the
25 licensee's conduct departs from the applicable standard of care, each departure
26 constitutes a separate and distinct breach of the standard of care.

27 (d) Incompetence.

28 (e) The commission of any act involving dishonesty or corruption that is
substantially related to the qualifications, functions, or duties of a physician and
surgeon.

(f) Any action or conduct that would have warranted the denial of a certificate.

(g) The failure by a certificate holder, in the absence of good cause, to attend
and participate in an interview by the board no later than 30 calendar days after being
notified by the board. This subdivision shall only apply to a certificate holder who is
the subject of an investigation by the board.

(h) Any action of the licensee, or another person acting on behalf of the
licensee, intended to cause their patient or their patient's authorized representative to
rescind consent to release the patient's medical records to the board or the
Department of Consumer Affairs, Health Quality Investigation Unit.

(i) Dissuading, intimidating, or tampering with a patient, witness, or any person
in an attempt to prevent them from reporting or testifying about a licensee.

7. Section 2266 of the Code states:

The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.

8. Unprofessional conduct is conduct which breaches rules or ethical codes of a profession or conduct which is unbecoming a member in good standing of a profession. (*Shea v. Board of Medical Examiners* (1978) 81 Cal.App.3rd 564, 575.).

COST RECOVERY

9. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licensee found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case, with failure of the licensee to comply subjecting the license to not being renewed or reinstated. If a case settles, recovery of investigation and enforcement costs may be included in a stipulated settlement.

DEFINITIONS

10. As used herein, the terms below will have the following meanings:

“Adderall” is a brand name for a combination medication which contains mixed amphetamine salts (the mixture is composed of equal parts racemic amphetamine and dextroamphetamine). It is generally used to treat attention deficit hyperactivity disorder, but also has a high potential for abuse. It is a Schedule II controlled substance pursuant to Health and Safety Code section 11055, subdivision (d)(1), and a dangerous drug as defined in Code section 4022.

“ADHD” means attention deficit hyperactivity disorder.

“Amphetamine” is a strong central nervous system stimulant that is used in the treatment of attention deficit hyperactivity disorder, narcolepsy, and obesity. It is also commonly used as a recreational drug. It is a dangerous drug as defined in Code section 4022. It is a Schedule II controlled substance, as designated by Health and Safety Code section 11055, subdivision (d)(1). “Depakote” is a brand name for sodium valproate or divalproex sodium which is an anticonvulsant mood stabilizer drug that can be used to treat bipolar disorder and seizures. It can also help prevent migraine headaches. It can cause serious liver and pancreas problems, birth defects, and suicidal thoughts. It is a dangerous drug as defined in Code section 4022.

“Including” means including, without limitation.

“Lamotrigine” is an anticonvulsant medication used to treat seizures and bipolar disorder. It is sold under various brand names, including Lamictal XR, Lamictal ODT, Lamictal Starter (Orange) Kit. It is a dangerous drug as defined in Code section 4022.

1 "Latuda" is a brand name for lurasidone, which is an antipsychotic
2 medication used to treat schizophrenia and bipolar disorder. It is a dangerous drug
3 pursuant to Code section 4022.

4 "Luvox" is a brand name for "fluvoxamine" which is an SSRI used to treat
5 OCD) in adults and children. It is a dangerous drug pursuant to Code section 4022.

6 "OCD" means obsessive-compulsive disorder.

7 "Prozac" is a brand name for fluoxetine, a medication used to treat
8 depression, OCD, bulimia nervosa, and panic disorder. It is also an SSRI. It is
9 dangerous drug as defined in Code section 4022.

10 "SSRI" means selective serotonin reuptake inhibitors.

11 "Vyvanse" is a brand name for lisdexamfetamine, which is a stimulant used
12 as part of a treatment program to control symptoms of ADHD (e.g., more difficulty
13 focusing, controlling actions, and remaining still or quiet than other people who are
14 the same age) in adults and children. It is a psychostimulant prodrug of the
15 phenethylamine and amphetamine chemical classes. It is a dangerous drug as
16 defined in Code section 4022.

17 "Wellbutrin®" is a brand name for bupropion, which is an antidepressant
18 medication used to treat major depression and to assist with smoking cessation. It is
19 also sold under various brand names including, Zyban, Voxra, Aplenzin and
20 Budeprion, among others. It is a dangerous drug as defined in Code section 4022.
21 It is also thought to work in the brain and nerves on the chemical messengers
22 norepinephrine and dopamine. Wellbutrin XL is used to treat major depressive
23 disorder.

24 FIRST CAUSE FOR DISCIPLINE

25 (Gross Negligence)

26 11. Respondent J. Eduardo Guzman, M.D. is subject to disciplinary action under section
27 2234 (b) of the code in that he was grossly negligent in the care and treatment of Patient A¹. The
28 circumstances are as follows:

29 12. On or about June 6, 2018, Patient A, a then 12 -year-old, female, presented to
30 Respondent for a psychiatric evaluation, and for Respondent to assume the management of her
31 psychotropic medications, which had been previously managed by another provider (Doc2).
32 Respondent documented a psychiatric evaluation or "Clinical Assessment" which consisted of a
33 three-page document containing primarily checked boxes. Respondent documented that
34 Patient A was taking Vyvanse and Adderall prescribed by a different physician from Doc2

35 ¹ For privacy reasons, the patient in this pleading is identified as Patient A. The patient's
36 full name will be disclosed upon a timely request for discovery pursuant to Government Code
37 section 11507.6.

1 (Doc3), and that Patient A's last physical examination was a year before this visit and conducted
2 by a physician other than himself. Respondent documented that Patient A was a victim of abuse,
3 specifically that she had been fondled by a friend of her mother. No contact information was
4 documented for any of the previous physician(s) who prescribed medications to Patient A (i.e.,
5 Doc2 and Doc 3) or conducted her physical examination. Respondent's medical records for
6 Patient A did not contain a documented medical history, including any cardiac history or any
7 family medical or psychiatric history. Respondent's medical records for Patient A did not include
8 any rating scale for ADHD, any authorization to disclose and exchange information with the most
9 recent prescribing physician, the primary care provider, or the school, or any collateral history
10 from outside sources. Respondent failed to adequately document Patient A's vital signs.
11 Respondent diagnosed Patient A with ADHD, predominately inattentive presentation, Depressive
12 Disorder not otherwise specified, Post Traumatic Stress Disorder (PTSD), and one medical
13 condition, chronic fatigue. However, he failed to adequately document any rationale
14 substantiating the diagnoses. Respondent failed to obtain any outside records to substantiate
15 these diagnoses. Respondent only documented attention/concentration problems to support his
16 ADHD diagnosis. Additionally, Respondent only documented "hypervigilance" to support his
17 PTSD diagnosis. Respondent's documented treatment plan was to "improve" "poor concentration
18 difficulty" with an intervention of medications and psychotherapy, and improve depressed mood
19 and PTSD by the same intervention. Respondent's evaluation notes for this visit concluded with
20 a statement that the patient is "already in therapy." However, Respondent failed to document the
21 therapist's name, contact information, release of information to speak with the therapist to obtain
22 collateral information and coordinate care, or a stated plan to do so.

23 13. Between 2018, and Patient A's last visit, on or about December 16, 2022, Respondent
24 documented fifty-three visits with Patient A. Patient A's father was present during these visits,
25 with the exceptions of the last six to eight appointments in 2022, and one appointment on or about
26 August 16, 2019. During the time period Respondent treated Patient A, he was responsible for
27 Patient A's medication management. Respondent prescribed the following medications to Patient
28 A during his course of treating Patient A: Adderall, Depakote, Latuda, Luvox, Prozac, Vyvanse,

1 and Wellbutrin.

2 14. Respondent's medical records for Patient A contain lab results dated December 27,
3 2018. They include results from a comprehensive metabolic panel, including liver function tests
4 and valproic acid levels.

5 15. On or about January 30, 2019, Respondent documented that unspecified lab results
6 for Patient A were within normal limits. This note appears to refer to the December 27, 2018
7 labs, which are the only lab results contained in Respondent's medical records for Patient A.
8 However, Respondent did not document the valproic acid level, which was high at 119, based on
9 a range for seizure control, but appropriate for mania control- a symptom of bipolar disorder
10 never elicited or documented in Respondent's medical records for Patient A.

11 16. On or about August 16, 2019, approximately one week before Patient A's fourteenth
12 birthday, Respondent saw Patient A alone. Respondent documented that Patient A came to the
13 visit without her father and noted that Patient A had begun to text and send sexual pictures of
14 herself to strangers.

15 17. On or about June 21, 2019, Respondent increased Patient A's dose of Depakote.

16 18. On or about September 13, 2019, Respondent discontinued Patient A's Depakote,
17 due to weight gain, which Respondent indicated was a known adverse effect. Respondent initiated
18 a trial of lamotrigine at an appropriate initial dose of 25mg/day for four weeks. However,
19 Respondent prescribed 120 tablets, instead of 30 tablets, which indicates an abrupt increase in
20 titration from 25mg to 200mg/day.

21 19. On or about October 11, 2019, Respondent increased Patient A's Lamotrigine dose
22 further to 300mg/day.

23 20. On or about October 28, 2022, Patient A presented to Respondent alone; she was not
24 accompanied by an adult. At this visit, Patient A was still a minor. Respondent documented the
25 following at that visit: Patient A was very upset with her diagnosis of bipolar disorder, but her
26 mood and affect was appropriate; Patient A's condition was stable; and Patient A's treatment plan
27 included Latuda 20mg at bedtime, and Vyvanse 36mg in the morning. Respondent failed to
28 document any history for Patient A at this visit. Subsequently, Patient A reported to third parties

1 that during this visit, Respondent asked her if she had ever given a blow job or knew what an
2 orgasm felt like. Patient A also alleged that Respondent asked her if she found him attractive.

3 21. On or about October 28, and November 4, 2022, Respondent prescribed Latuda to
4 Patient A.

5 22. On or about August 25, 2023, as part of the investigation into the care and treatment
6 Respondent provided to Patient A, Respondent was interviewed by Board representatives.
7 During this interview, Respondent explained that Patient A's ADHD was diagnosed by "some
8 other psychologist, I'm assuming." Respondent further reported that according to her father,
9 Patient A was diagnosed at school, and that Respondent relied upon this reported diagnosis and
10 continued her medication. Respondent determined that Patient A looked sluggish and therefore
11 identified Patient A as having chronic fatigue. During Respondent's interview, he also reported
12 that he did not provide psychotherapy to Patient A.

13 23. Respondent committed the following instances of gross negligence in the care and
14 treatment of Patient A in that Respondent:

- 15 A. Completed a psychiatric evaluation of Patient A without taking and/or documenting, an
16 adequate history, or making any attempt to obtain collateral information, including
17 medical records from her previous providers;
- 18 B. Made psychiatric diagnoses without adequately substantiating them, including with
19 criteria outlined in the DSM-5, and based the diagnoses solely on Patient A's
20 appearance on a single visit;
- 21 C. Failed to adequately obtain and/or document, an informed consent for the prescribing of
22 any psychotropic medications to Patient A;
- 23 D. Prescribed the psychostimulant medications, including Vyvanse and Adderall, to
24 Patient A without obtaining any history or objective evidence to ensure Patient A could
25 safely take the medications;
- 26 E. Prescribed antipsychotic medications, including Latuda, to Patient A without
27 following consensus guidelines for safe initiation and monitoring for adverse effects;
- 28 F. Rapidly increased Patient A's lamotrigine, which increases the risk of inducing life-

1 threatening Stevens-Johnson Syndrome, and failed to obtain informed consent for this
2 increase in dosage.

3 **SECOND CAUSE FOR DISCIPLINE**

4 **(Repeated Negligent Acts)**

5 24. Respondent J. Eduardo Guzman, M.D. is subject to disciplinary action under section
6 2234 (c) of the code, in that Respondent was repeatedly negligent in the care and treatment of
7 Patient A. The circumstances are as follows:

8 25. The facts and allegations set forth in the First Cause for Discipline are incorporated
9 by reference as if fully set forth.

10 26. The standard of care applicable to the initiation of psychiatric services with Patient A
11 begins with a psychiatric evaluation that may take place over several appointments and a
12 sufficient, yet appropriate, period of time. The purpose of the psychiatric evaluation is to make a
13 working diagnosis of the patient, formulate the case, and develop a recommended treatment plan
14 to be discussed with the patient and agreed to by the patient. Diagnoses may be added or
15 discontinued over the course of treatment with adequate formulation and explanation reviewed
16 with the patient and documented in the medical record. Respondent committed negligence when
17 he failed to perform and/or document a substantive formulation in connection with his psychiatric
18 evaluation for Patient A.

19 27. Respondent committed negligence when he documented a treatment plan for Patient
20 A on or about June 6, 2018 that included psychotherapy, but subsequently failed to provide
21 psychotherapy to Patient A or coordinate a treatment plan with a concurrent treating
22 psychotherapist.

23 28. Respondent committed negligence when he failed to obtain and/or document, a
24 developmentally-appropriate medical, personal, and social sexual history for Patient A relevant to
25 the diagnosis, formulation, and treatment planning, including psychotropic medication while
26 including only a trauma/sexual abuse history for Patient A.

27 29. Respondent also negligently failed to adequately document an elicited menstrual
28 history from Patient A when prescribing psychotropic medications that could adversely affect a

1 pregnancy.

2 30. Respondent's acts and/or omissions constitute repeated negligent acts under the Code,
3 and therefore subject Respondent's medical license to discipline.

4 **THIRD CAUSE FOR DISCIPLINE**

5 **(Failure to Maintain Adequate and Accurate Records)**

6 31. Respondent J. Eduardo Guzman, M.D. is subject to disciplinary action under section
7 2266 of the code in that Respondent failed to maintain adequate and accurate records related to
8 the care and treatment of Patient A. The circumstances are as follows:

9 32. The facts and allegations set forth in the First and Second Causes for Discipline are
10 incorporated by reference as if fully set forth.

11 **DISCIPLINARY CONSIDERATIONS**

12 33. To determine the degree of discipline, if any, to be imposed on Respondent J.
13 Eduardo Guzman, M.D., Complainant alleges that on or about May 17, 2019, in a prior
14 disciplinary action titled *In the Matter of the Accusation Against J. Eduardo Guzman, M.D.*
15 before the Medical Board of California, in Case Number 800-2016-027559, Respondent's license
16 was revoked, revocation stayed, and placed on probation for three years, with various terms and
17 conditions for the below standard of care treatment he rendered to two patients in regards to the
18 prescribing of medications. That decision is now final and is incorporated by reference as if fully
19 set forth herein.

20 **PRAYER**

21 WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,
22 and that following the hearing, the Medical Board of California issue a decision:

23 1. Revoking or suspending Physician's and Surgeon's Certificate Number A 38124,
24 issued to Respondent J. Eduardo Guzman, M.D.;

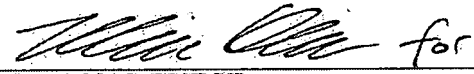
25 2. Revoking, suspending or denying approval of Respondent J. Eduardo Guzman,
26 M.D.'s authority to supervise physician assistants and advanced practice nurses;

27 3. Ordering Respondent J. Eduardo Guzman, M.D., to pay the Board the costs of the
28 investigation and enforcement of this case, and if placed on probation, the costs of probation

1 monitoring; and

2 4. Taking such other and further action as deemed necessary and proper.

3
4
5 DATED: DEC 06 2024

 for

6 REJI VARGHESE
7 Executive Director
8 Medical Board of California
9 Department of Consumer Affairs
10 State of California
11 *Complainant*

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