# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Application of:	File No. <b>800-2024-110201</b>
Sarah Joseph	
Y	
Applicant.	

# **DECISION AND ORDER**

The attached Stipulation for a Probationary License is hereby accepted and adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on <u>September 20, 2024</u>, although the probation will not commence until the applicant completes any remaining requirements for licensure and the license is issued.

ORDERED: September 6, 2024

MEDICAL BOARD OF CALIFORNIA

Bv:

Michelle A. Bholat, M.D., Interim Chair Panel A

Wichelle A. Bholat, MD

#### BEFORE THE

# MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Application of:	)	Case No. 800-2024-110201
SARAH JOSEPH	)	STIPULATION FOR A PROBATIONARY LICENSE
For a Physician's and Surgeon's License	) ) )	PRODATIONALLI EIGENGE

- 1) Sarah Joseph, applicant for a physician's and surgeon's license (hereinafter "applicant"), and Douglas Hock, Chief of Licensing of the Medical Board of California (Board), hereby stipulate as follows:
- 2) Applicant is eligible for medical licensure in California upon meeting all licensure requirements.
- 3) On August 28, 2023, the Board received an application for a Physician's and Surgeon's License. Applicant does not qualify for a full and unrestricted Physician's and Surgeon's License due to unusual circumstances that occurred during the training program relating to clinical competency concerns and unlicensed practice.
- 4) Section 2221(a) of the Business and Professions Code states the Board may deny a license to an applicant guilty of unprofessional conduct or of any cause that would subject a licensee to revocation or suspension of their license. Section 2234 of the Business and Professions Code states that the Board may take action for unprofessional conduct. Unprofessional conduct includes, but is not limited to, incompetence. Section 2052(a) of the Business and Professions Code states that the Board may take action against any person who practices without a valid certificate.

The above supports a conclusion that grounds exist for denial pursuant to sections 2221(a), 2234, 2234(d), and 2052(a) of the Business and Professions Code.

- 5) Pursuant to Section 2221 of the Business and Professions Code, the Board may deny a license to an applicant because of unprofessional conduct. Alternatively, the Board has the discretionary authority to issue a probationary license with terms and conditions.
- 6) Applicant acknowledges that the applicant has a right to request a Statement of Issues and a hearing upon denial of license for cause. Applicant waives notice of hearing and judicial review in favor of this Stipulation for a Probationary License, which is subject to approval by the Board. If not approved, this Stipulation is null and void and may not be used for any purpose.
- 7) Applicant understands and agrees that counsel for the staff of the Board may communicate directly with the Board regarding this proposed Stipulation, without notice to or participation by applicant or their counsel. By signing the Stipulation, applicant

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understands and agrees that they may not withdraw this agreement or seek to rescind the Stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this Stipulation, the offer of a Stipulation for a Probationary License shall be of no force or effect; except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

#### ORDER

IT IS ORDERED THAT SARAH JOSEPH, applicant, be issued a Physician's and Surgeon's License on a probation basis for a period of thirty-five (35) months, subject to the following terms and conditions. Probation shall begin on the date the applicant is issued a probationary license.

1) Successful Completion of Accreditation Council for Graduate Medical Education (ACGME)-Accredited Postgraduate Training and American Board of Medical Specialties (ABMS) Eligibility

Applicant is required to successfully complete an ACGME-accredited postgraduate training/residency program and become ABMS Member Board eligible prior to completion of probation. Upon successful completion of the program, the Program Director must provide a Certificate of Completion of ACGME/RCPSC/CFPC Postgraduate Training (Form PTA-PTB) to the Board for its review and approval. While on probation, applicant may not practice outside of an ACGME-accredited postgraduate training/residency program until they has received notice that the Board has reviewed and approved the PTA-PTB and determined that it meets the requirements of this term of probation. The residency or fellowship program must involve treating patients under the residency or fellowship guidelines, and not a research residency or research fellowship program. All expenses incurred by complying with this condition shall be borne by applicant. The residency or fellowship program will submit a report to the Board or its designee which unequivocally states whether applicant successfully completed all program obligations.

The Program Director is to provide copies of the applicant's ACGME-accredited postgraduate training/residency program evaluations to the Board quarterly. The Program Director must submit the Program Status Update/Change form (PSU) to the Board within thirty days of the action if: the applicant obtains partial or no credit for a period of postgraduate training and their postgraduate training period is extended; the resident takes a break or leave of absence from the postgraduate training program and is notified their postgraduate training period is extended; the applicant is terminated from the postgraduate training program; the applicant resigns, dies, or otherwise leaves the postgraduate training program.

If the Program Director answers any of the questions on the PTA-PTB form with "yes" regarding unusual circumstances, they must provide a signed and dated letter of explanation and official documentation.

### 2) Monitoring - Practice

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Within 30 calendar days of the effective date of this Decision, applicant shall submit to the Board or its designee for prior approval as a practice monitor(s), the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably ABMS certified. A monitor shall have no prior or current business or personal relationship with applicant, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in applicant's field of practice, and must agree to serve as applicant's monitor. Applicant shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision(s), and a proposed monitoring plan. Within 15 calendar days of receipt of the Decision(s), and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision(s), fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement for approval by the Board or its designee.

Within 30 calendar days of the effective date of this Decision, and continuing throughout probation, applicant's practice shall be monitored by the approved monitor. Applicant shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

If applicant fails to obtain approval of a monitor within 30 calendar days of the effective date of this Decision, applicant shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Applicant shall cease the practice of medicine until a monitor is approved to provide monitoring responsibility.

The monitor(s) shall submit a quarterly written report to the Board or its designee which includes an evaluation of applicant's performance, indicating whether applicant's practices are within the standards of practice of medicine and whether applicant is practicing medicine safely. It shall be the sole responsibility of the applicant to ensure that the monitor submits the quarterly written reports to the Board or its designee within 10 calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, applicant shall, within 5 calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within 15 calendar days. If applicant fails to obtain approval of a replacement monitor within 30 calendar days of the resignation or unavailability of the monitor, applicant shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Applicant shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

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In lieu of a monitor, applicant may participate in a professional enhancement program approved in advance by the Board or its designee, that includes, at minimum, quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Applicant shall participate in the professional enhancement program at applicant's expense during the term of probation.

# 3) <u>Notification</u>

Within seven (7) days of the effective date of this Decision, the applicant shall provide a true copy of this Decision to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to applicant, at any other facility where applicant engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to applicant. Applicant shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

4) <u>Supervision of Physician Assistants and Advanced Practice Nurses</u>
During probation, applicant is prohibited from supervising physician assistants and advanced practice nurses.

# 5) Obey All Laws

Applicant shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.

# 6) Quarterly Declarations

Applicant shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation. Applicant shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

# 7) General Probation Requirements

 a) Compliance with Probation Unit Applicant shall comply with the Board's probation.

# b) Address Changes

Applicant shall, at all times, keep the Board informed of applicant's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

c) Place of Practice
Applicant shall not engage in the practice of medicine in applicant's or patient's place of residence, unless the patient resides in a skilled nursing facility or other

similar licensed facility.

- d) License Renewal Applicant shall maintain a current and renewed California physician's and surgeon's license.
- e) Travel or Residence Outside California
  Applicant shall immediately inform the Board or its designee, in writing, of travel
  to any areas outside the jurisdiction of California which lasts, or is contemplated
  to last, more than thirty (30) calendar days.

In the event applicant should leave the State of California to reside or to practice applicant shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

8) <u>Interview with the Board or its Designee</u>
Applicant shall be available in person upon request for interviews either at applicant's place of business or at the probation unit office, with or without prior notice throughout the term of probation.

9) Non-practice While on Probation

Applicant shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of applicant's return to practice. Non-practice is defined as any period of time applicant is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If applicant resides in California and is considered to be in non-practice, applicant shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve applicant from complying with all the terms and conditions of probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event applicant's period of non-practice while on probation exceeds 18 calendar months, applicant shall successfully complete the Federation of State Medical Board's Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Applicant's period of non-practice while on probation shall not exceed two (2) years.

Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for an applicant residing outside of California, will relieve applicant

of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or Controlled Substances; and Biological Fluid Testing.

## 10) Completion of Probation

Applicant shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, applicant's license shall be unrestricted.

# 11) <u>Violation of Probation</u>

Failure to fully comply with any term or condition of probation is a violation of probation. If applicant violates probation in any respect, the Board, after giving applicant notice and the opportunity to be heard, may petition to revoke probation and take appropriate action. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against applicant during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.

# 12) License Surrender

Following the effective date of this Decision, if applicant ceases practicing due to retirement or health reasons or is otherwise unable to satisfy the terms and conditions of probation, applicant may request to surrender their license. The Board reserves the right to evaluate applicant request and to exercise its discretion in determining whether or not to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender, applicant shall within 15 calendar days deliver applicant's wallet and wall certificate to the Board or its designee and applicant shall no longer practice medicine. Applicant will no longer be subject to the terms and conditions of probation. If applicant re-applies for a medical license, the application shall be treated as a petition for reinstatement of a revoked certificate.

#### 13) Probation Monitoring Costs

Applicant shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

Applicant agrees to comply with the terms and conditions of the above Order.

	08/06/24	
Sarah Joseph, Applicant	Date	· · · · · · · · · · · · · · · · · · ·
Douglas Hock	8/7/2024	
Douglas Hock Chief of Licensing	Date	