BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Kenneth Randell Grossman, M.D.

Physician's & Surgeon's Certificate No. G 50711

Respondent.

Case No. 800-2020-065454

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on May 09, 2024.

IT IS SO ORDERED: April 08, 2024.

MEDICAL BOARD OF CALIFORNIA

Laurie Rose Lubiano, J.D., Chair

Panel A

- 11			
1	ROB BONTA		
2	Attorney General of California STEVE DIEHL		
3	Supervising Deputy Attorney General LYNETTE D. HECKER		
4	Deputy Attorney General State Bar No. 182198		
5	California Department of Justice 2550 Mariposa Mall, Room 5090		
6	Fresno, CA 93721 Telephone: (559) 705-2320		
7	Facsimile: (559) 445-5106 E-mail: Lynette.Hecker@doj.ca.gov		
8	Attorneys for Complainant		
9	DEFOD	r tur	
	BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
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12	Tail Mark Call Associated	Case No. 800-2020-065454	
13	In the Matter of the Accusation Against:		
14	KENNETH RANDELL GROSSMAN, M.D. 580 Collins Dr.	OAH No. 2023050760	
15	Merced, CA 95348	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER	
16	Physician's and Surgeon's Certificate No. G 50711		
17	Respondent.		
18	In the interest of a prompt and speedy settle	I ment of this matter consistent with the public	
19	In the interest of a prompt and speedy settlement of this matter, consistent with the public		
20	interest and the responsibility of the Medical Board of California of the Department of Consumer		
21	Affairs, the parties hereby agree to the following Stipulated Settlement and Disciplinary Order		
22	which will be submitted to the Board for approval and adoption as the final disposition of the		
23	Accusation.		
24	<u>PARTIES</u>		
25	1. Reji Varghese (Complainant) is the Executive Director of the Medical Board of		
26	California (Board). He brought this action solely in his official capacity and is represented in this		
27	matter by Rob Bonta, Attorney General of the State of California, by Lynette D. Hecker, Deputy		
28	Attorney General.	•	
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- 2. Kenneth Randell Grossman, M.D. (Respondent) is represented in this proceeding by attorney Dominique A. Pollara, whose address is: 100 Howe Avenue, Suite 165N, Sacramento, CA 95825-8202.
- 3. On or about July 18, 1983, the Board issued Physician's and Surgeon's Certificate No. G 50711 to Respondent. The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2020-065454, and will expire on July 31, 2025, unless renewed.

JURISDICTION

- 4. Accusation No. 800-2020-065454 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on March 2, 2023. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2020-065454 is attached as "Exhibit A" and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2020-065454. Respondent has also carefully read, fully discussed with his counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

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CULPABILITY

- 9. Respondent understands and agrees that the charges and allegations in Accusation No. 800-2020-065454, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate.
- 10. Respondent does not contest that, at an administrative hearing, Complainant could establish a *prima facie* case or factual basis with respect to the charges and allegations in Accusation No. 800-2020-065454, that he has thereby subjected his Physician's and Surgeon's Certificate, No. G 50711 to disciplinary action, and Respondent hereby gives up his right to contest those charges.
- 11. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

RESERVATION

12. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Medical Board of California or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

CONTINGENCY

13. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

- 14. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

A. PUBLIC REPRIMAND

IT IS HEREBY ORDERED that Respondent, KENNETH RANDELL GROSSMAN, M.D., Physician's and Surgeon's Certificate No. G 50711, shall be and is hereby Publicly Reprimanded pursuant to California Business and Professions Code section 2227, subdivision (a)(4). This Public Reprimand is issued in connection with Respondent's care and treatment of one patient, as set forth in Accusation No. 800-2020-065454, and Respondent is reprimanded as follows: you failed to participate in a pre-surgical timeout which resulted in your performing surgery on the wrong eye, and you failed to adequately document slit lamp findings.

B. EDUCATION COURSE

Within 60 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours. The educational program(s) or course(s) shall be aimed at patient safety training and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of CME of which 40 hours were in satisfaction of this condition.

C. MEDICAL RECORD KEEPING COURSE

Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping approved in advance by the Board or its designee. Respondent

shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

D. INVESTIGATION/ENFORCEMENT COST RECOVERY

Respondent is hereby ordered to reimburse the Board its costs of investigation and enforcement, including, but not limited to, expert review, amended accusations, legal reviews, investigation(s), and subpoena enforcement, as applicable, in the amount of \$20,000 (twenty thousand dollars). Costs shall be payable to the Medical Board of California. Failure to pay such costs shall be considered a violation of this stipulated order.

Payment must be made in full within 30 calendar days of the effective date of the Order, or by a payment plan approved by the Medical Board of California. Any and all requests for a payment plan shall be submitted in writing by respondent to the Board. Failure to comply with the payment plan shall constitute unprofessional conduct and is grounds for further disciplinary action.

The filing of bankruptcy by respondent shall not relieve Respondent of the responsibility to repay investigation and enforcement costs, including expert review costs (if applicable).

E. FUTURE ADMISSIONS CLAUSE

If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing action agency in the State of California, all of the charges and allegations contained in Accusation No. 800-2020-065454 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict license.

F. ENFORCEMENT

Failure to timely complete the courses outlined above shall constitute unprofessional conduct and is grounds for further disciplinary action.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Dominique A. Pollara. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

Decision and Order of the Medical Boa	ard of California.			
DATED:	KENNETH RANDELL GROSSMAN, M.D. Respondent			
I have read and fully discussed v	with Respondent Kenneth Randell Grossman, M.D. the			
terms and conditions and other matters contained in the above Stipulated Settlement and				
Disciplinary Order. I approve its form	and content.			
DATED:	DOMINIQUE A. POLLARA Attorney for Respondent			
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STIPULATED SETTLEMENT (800-2020-065454)

E. <u>FUTURE ADMISSIONS CLAUSE</u>

If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing action agency in the State of California, all of the charges and allegations contained in Accusation No. 800-2020-965454 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict license.

F. ENFORCEMENT

Failure to timely complete the courses outlined above shall constitute unprofessional conduct and is grounds for further disciplinary action.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Dominique A. Pollara. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

DATED: 11923 WWW 25MAN, M.D.

KENNETH RANDELL GROSSMAN, M.D.

Respondent

I have read and fully discussed with Respondent Kenneth Randell Grossman, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED: 11/9/23

DOMINIQUE A. POLLARA

Attorney for Respondent

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ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California. DATED: 11/10/2023 Respectfully submitted, ROB BONTA Attorney General of California STEVE DIEHL Supervising Deputy Attorney General Deputy Attorney General Attorneys for Complainant FR2023300697 95529920.docx

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1	ROB BONTA		
2	Attorney General of California STEVE DIEHL		
3	Supervising Deputy Attorney General State Bar No. 235250		
4	California Department of Justice 2550 Mariposa Mall, Room 5090		
5	Fresno, CA 93721 Telephone: (559) 705-2313		
6	Facsimile: (559) 445-5106 Attorneys for Complainant		
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8	BEFORE THE MEDICAL BOARD OF CALIFORNIA		
9	DEPARTMENT OF CONSUMER AFFAIRS		
10	STATE OF CA	ALIFORNIA	
11			
12	In the Matter of the Accusation Against:	Case No. 800-2020-065454	
13	Kenneth Randell Grossman, M.D. 580 Collins Dr.	ACCUSATION	
14	Merced, CA 95348	·	
1.5	Physician's and Surgeon's Certificate No. G 50711,		
16	Respondent,		
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18	_		
19	PART	TIES	
20	1. Reji Varghese (Complainant) brings this Accusation solely in his official capacity as		
21	the Interim Executive Director of the Medical Bo	ard of California, Department of Consumer	
22	Affairs (Board).		
23	2. On or about July 18, 1983, the Medic	al Board issued Physician's and Surgeon's	
24	Certificate Number G 50711 to Kenneth Randell Grossman, M.D. (Respondent). The Physician's		
25	and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought		
26	herein and will expire on July 31, 2023, unless renewed.		
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(KENNETH RANDELL GROSSMAN, M.D.) ACCUSATION NO. 800-2020-065454

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JURISDICTION

- 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
 - 4. Section 2004 of the Code states:

The board shall have the responsibility for the following:

- (a) The enforcement of the disciplinary and criminal provisions of the Medical Practice Act.
 - (b) The administration and hearing of disciplinary actions.
- (c) Carrying out disciplinary actions appropriate to findings made by a panel or an administrative law judge.
- (d) Suspending, revoking, or otherwise limiting certificates after the conclusion of disciplinary actions.
- (e) Reviewing the quality of medical practice carried out by physician and surgeon certificate holders under the jurisdiction of the board.
 - (f) Approving undergraduate and graduate medical education programs.
- (g) Approving clinical clerkship and special programs and hospitals for the programs in subdivision (f).
 - (h) Issuing licenses and certificates under the board's jurisdiction.
 - (i) Administering the board's continuing medical education program.
- 5. Section 2220 of the Code states:

Except as otherwise provided by law, the board may take action against all persons guilty of violating this chapter. The board shall enforce and administer this article as to physician and surgeon certificate holders, including those who hold certificates that do not permit them to practice medicine, such as, but not limited to, retired, inactive, or disabled status certificate holders, and the board shall have all the powers granted in this chapter for these purposes including, but not limited to:

- (a) Investigating complaints from the public, from other licensees, from health care facilities, or from the board that a physician and surgeon may be guilty of unprofessional conduct. The board shall investigate the circumstances underlying a report received pursuant to Section 805 or 805.01 within 30 days to determine if an interim suspension order or temporary restraining order should be issued. The board shall otherwise provide timely disposition of the reports received pursuant to Section 805 and Section 805.01.
- (b) Investigating the circumstances of practice of any physician and surgeon where there have been any judgments, settlements, or arbitration awards requiring the physician and surgeon or his or her professional liability insurer to pay an amount in

COST RECOVERY

8. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licensee found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case, with failure of the licensee to comply subjecting the license to not being renewed or reinstated. If a case settles, recovery of investigation and enforcement costs may be included in a stipulated settlement.

FACTUAL ALLEGATIONS

- 9. On or about February 28, 2020, Respondent performed a penetrating keratoplasty (full thickness corneal transplant) on Patient A.¹ The surgery was to be performed on the right eye, but Respondent inadvertently performed the surgery on the left eye, which did not need a cornea transplant.
- 10. The informed consent, pre-operative orders, and surgery schedule correctly identified the right eye as the operative eye. The right eye was marked in the pre-operative area by a nurse. Patient A was brought into the operating room and the right eye was prepped with Betadine solution by the circulating nurse. However, the left eye was draped by the surgical technician. A surgical timeout was conducted by the operating room team and identified the right eye as the operative eye. Respondent was not present in the operating room for the surgical timeout. Respondent then performed the penetrating keratoplasty on the draped left eye. At the conclusion of the case, after Respondent removed the drapes, he saw the mark on the right eye and immediately knew he had operated on the incorrect eye. Unfortunately, the mark had not been visible under the opaque surgical paper drape. Respondent immediately informed Patient A's family of the error.
- 11. The majority of Respondent's post-operative notes between February 28, 2020, and February 16, 2021, do not describe or comment on slit lamp findings regarding the penetrating keratoplasty graft in the left eye.

¹ The patient's name is redacted to protect privacy.

FIRST CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

- 12. Respondent Kenneth Randell Grossman, M.D. is subject to disciplinary action under section 2234, subdivision (c), in that he committed repeated acts of negligence in his care and treatment of Patient A. The circumstances are set forth in paragraphs 9 through 11, above, which are incorporated here by reference as if fully set forth. Additional circumstances are as follows:
- 13. The standard of care is for a surgeon to personally participate in a surgical timeout, wherein the patient and procedure are verified by the operating room team in order to confirm the surgical plan, particularly in procedures involving laterality where wrong site surgery is possible. Respondent's failure to personally participate in the surgical timeout immediately prior to Patient A's surgery constitutes negligence.
- 14. The standard of care is to document accurate notes that describe physical examination findings. Respondent's failure to document slit lamp findings describing the penetrating keratoplasty graft in Patient A's left eye constitutes negligence.

SECOND CAUSE FOR DISCIPLINE

(Failure to Maintain Adequate and Accurate Records)

15. Respondent Kenneth Randell Grossman, M.D. is subject to disciplinary action under section 2266, in that he failed to maintain adequate and accurate records of his care and treatment of Patient A. The circumstances are set forth in paragraphs 9 through 14, above which are incorporated here by reference as if fully set forth.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate Number G 50711, issued to Kenneth Randell Grossman, M.D.;
- 2. Revoking, suspending or denying approval of Kenneth Randell Grossman, M.D.'s authority to supervise physician assistants and advanced practice nurses;