## **BEFORE THE** MEDICAL BOARD OF CALIFORNIA **DEPARTMENT OF CONSUMER AFFAIRS** STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Ronald P. Becker, M.D.

Physician's and Surgeon's Certificate No. G 58254

Respondent.

Case No.: 800-2021-080547

## **DECISION**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on February 2, 2024.

IT IS SO ORDERED: January 4, 2024.

MEDICAL BOARD OF CALIFORNIA

Laurie Rose Lubiano, J.D., Chair

Panel A

- 11					
1 2	ROB BONTA Attorney General of California EDWARD KIM				
3	Supervising Deputy Attorney General JONATHAN NGUYEN Deputy Attorney General State Bar No. 263420 Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013				
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7	Attorneys for Complainant				
8	BEFORE THE				
9	MEDICAL BOARD OF CALIFORNIA				
10	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA				
11	In the Matter of the Accusation Against:	Case No. 800-2021-080547			
12	RONALD P. BECKER, M.D.	OAH No. 2023010329			
13	24679 Monroe Avenue, Suite 102/103 Murrieta, CA 92562-9590	STIPULATED SETTLEMENT AND			
14	Physician's and Surgeon's Certificate	DISCIPLINARY ORDER			
15	No. G 58254				
16	Respondent.				
17					
18	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-				
19	entitled proceedings that the following matters are true:				
20	PARTIES				
21	1. Reji Varghese (Complainant) is the Executive Director of the Medical Board of				
22	California (Board). He brought this action solely in his official capacity and is represented in this				
23	matter by Rob Bonta, Attorney General of the State of California, by Jonathan Nguyen, Deputy				
24	Attorney General.				
25	2. Respondent Ronald P. Becker, M.D.	(Respondent) is represented in this proceeding			
26	by attorney Gary Wittenberg, whose address is: 1901 Avenue of the Stars, Suite 1750				
27	Los Angeles, CA 90067.				
28	3. On or about August 18, 1986, the Bo	ard issued Physician's and Surgeon's Certificate			

No. G 58254 to Respondent. The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2021-080547, and will expire on December 31, 2023, unless renewed.

## **JURISDICTION**

- 4. Accusation No. 800-2021-080547 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on August 24, 2022. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2021-080547 is attached as exhibit A and incorporated herein by reference.

## ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2021-080547. Respondent has also carefully read, fully discussed with his counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

## **CULPABILITY**

- 9. Respondent understands and agrees that the charges and allegations in Accusation No. 800-2021-080547, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate.
  - 10. Respondent does not contest that, at an administrative hearing, Complainant could

establish a prima facie case with respect to the charges and allegations in Accusation No. 800-2021-080547, a true and correct copy of which is attached hereto as Exhibit A, and that he has thereby subjected his Physician's and Surgeon's Certificate, No. G 58254 to disciplinary action.

11. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

## **CONTINGENCY**

- 12. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 13. Respondent agrees that he ever petitions for early termination or modification of probation, or if an accusation and/or petition to revoke probation is filed against him before the Board, all of the charges and allegations contained in Accusation No. 800-2021-080547 shall be deemed true, correct and fully admitted by Respondent for purposes of any such proceeding or any other licensing proceeding involving Respondent in the State of California.
- 14. This Stipulated Settlement and Disciplinary Order is intended by the parties herein to be an integrated writing representing the complete, final and exclusive embodiment of the agreement of the parties in this above entitled matter.
- 15. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.

16. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

## **DISCIPLINARY ORDER**

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G 58254 issued to Respondent RONALD P. BECKER, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for four (4) years on the following terms and conditions:

- 1. <u>EDUCATION COURSE</u>. Within 60 calendar days of the effective date of this Decision, and on an annual basis thereafter, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours per year, for each year of probation. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge (and will specifically include courses on the subjects of immunizations, vaccine policy, hesitant patients and vaccine standards of care), and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of CME of which 40 hours were in satisfaction of this condition.
- 2. <u>MEDICAL RECORD KEEPING COURSE</u>. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping approved in advance by the Board or its designee. Respondent shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

3. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a professionalism program, that meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1. Respondent shall participate in and successfully complete that program. Respondent shall provide any information and documents that the program may deem pertinent. Respondent shall successfully complete the classroom component of the program not later than six (6) months after Respondent's initial enrollment, and the longitudinal component of the program not later than the time specified by the program, but no later than one (1) year after attending the classroom component. The professionalism program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A professionalism program taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the program or not later than 15 calendar days after the effective date of the Decision, whichever is later.

4. <u>PROHIBITED PRACTICE</u>. During probation, Respondent is prohibited from making or issuing any written exemption from immunization, or any other written statements

providing that any child is exempt from the requirements of Chapter 1 (commencing with Section 120325, but excluding Section 120380) and Sections 120400, 120405, 120410, and 120415 of the Health and Safety Code. After the effective date of this Decision, all patients being treated by the Respondent shall be notified that the Respondent is prohibited from making or issuing any written exemption from immunization. Any new patients must be provided this notification at the time of their initial appointment.

Respondent shall maintain a log of all patients to whom the required oral notification was made. The log shall contain the: 1) patient's name, address and phone number; 2) patient's medical record number, if available; 3) the full name of the person making the notification; 4) the date the notification was made; and 5) a description of the notification given. Respondent shall keep this log in a separate file or ledger, in chronological order, shall make the log available for immediate inspection and copying on the premises at all times during business hours by the Board or its designee, and shall retain the log for the entire term of probation.

- 5. <u>NOTIFICATION</u>. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days. This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.
- 6. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
- 7. <u>INVESTIGATION/ENFORCEMENT COST RECOVERY</u>. Respondent is hereby ordered to reimburse the Board its costs of investigation and enforcement, in the amount of \$41,000 (Forty-one thousand dollars). Costs shall be payable to the Medical Board of California.

Failure to pay such costs shall be considered a violation of probation.

Payment must be made in full within 30 calendar days of the effective date of the Order, or by a payment plan approved by the Medical Board of California. Any and all requests for a payment plan shall be submitted in writing by respondent to the Board. Failure to comply with the payment plan shall be considered a violation of probation.

The filing of bankruptcy by respondent shall not relieve respondent of the responsibility to repay investigation and enforcement costs.

8. QUARTERLY DECLARATIONS. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

## 9. GENERAL PROBATION REQUIREMENTS.

## Compliance with Probation Unit

Respondent shall comply with the Board's probation unit.

## Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021, subdivision (b).

#### Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

#### License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

## Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

- 10. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If Respondent resides in California and is considered to be in non-practice, Respondent shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve Respondent from complying with all the terms and conditions of probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete the Federation of State Medical Board's Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model

Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years.

Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for a Respondent residing outside of California will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or Controlled Substances; and Biological Fluid Testing.

- 12. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. This term does not include cost recovery, which is due within 30 calendar days of the effective date of the Order, or by a payment plan approved by the Medical Board and timely satisfied. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- 13. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 14. <u>LICENSE SURRENDER</u>. Following the effective date of this Decision, if
  Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy
  the terms and conditions of probation, Respondent may request to surrender his or her license.
  The Board reserves the right to evaluate Respondent's request and to exercise its discretion in
  determining whether or not to grant the request, or to take any other action deemed appropriate
  and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent
  shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its

designee and Respondent shall no longer practice medicine. Respondent will no longer be subject to the terms and conditions of probation. If Respondent re-applies for a medical license, the application shall be treated as a petition for reinstatement of a revoked certificate.

- 15. PROBATION MONITORING COSTS. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.
- 16. <u>FUTURE ADMISSIONS CLAUSE</u>. If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing action agency in the State of California, all of the charges and allegations contained in Accusation No. 800-2021-080547 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict license.

## **ACCEPTANCE**

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Gary Wittenberg. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

DATED:	12/1/23	RONALD P. BECKER, M.D. Respondent
		кегропает

I have read and fully discussed with Respondent Royald P. Becker, M.D. the terms and conditions and other matters contained in the above Stippflated Settlement and Disciplinary Order.

I approve its form and content.

DATED: 12 - 1 - 2

GARY WITTENBERG Attorney for Kespondent

# **ENDORSEMENT** The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California. DATED: \_\_\_\_12/1/2023 Respectfully submitted, ROB BONTA Attorney General of California EDWARD KIM Supervising Deputy Attorney General Jonathan Nguyen Deputy Attorney General Attorneys for Complainant LA2022600102 66417051.docx

STIPULATED SETTLEMENT (800-2021-080547)

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1				
2	Attorney General of California JUDITH T. ALVARADO			
3	Supervising Deputy Attorney General CHRISTINE R. FRIAR			
4	Deputy Attorney General State Bar No. 228421			
	300 So. Spring Street, Suite 1702			
5	Los Angeles, CA 90013 Telephone: (213) 269-6472 Facsimile: (916) 731-2117			
6	Attorneys for Complainant			
7	7			
8	BEFORE THE			
9	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS			
10	0 STATE OF CALIFORNIA	STATE OF CALIFORNIA		
11	1			
12	2 In the Matter of the Accusation Against: Case No. 800-2021-080547			
13	RONALD P. BECKER, M.D. ACCUSATION			
14	24679 Monroe Avenue, Suite 102/103 Murrieta, CA 92562			
15	Physician's and Surgeon's Certificate No. G 58254,			
16	Respondent.			
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21	as the Executive Director of the Medical Board of California, Department of Co	as the Executive Director of the Medical Board of California, Department of Consumer Affairs		
22	(Board).			
23	2. On or about August 18, 1986, the Board issued Physician's and Surgeon's Certificate			
24	Number G 58254 to Ronald P. Becker, M.D. (Respondent). The Physician's an	d Surgeon's		
25	Garden and affect at all times relevant to the charges broug	tht herein and will		
26	Dozombor 21, 2023, unless renewed			
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	1			
	(RONALD P. BECKER, M.D.) ACCUSATION N	O. 800-2021-080547		

#### **JURISDICTION**

- 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
- 4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
  - 5. Section 2234 of the Code, states:

The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- (a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - (b) Gross negligence.
- (c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- (1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- (2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
  - (d) Incompetence.
- 6. Section 2266 of the Code states: "The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct."

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(a) As used in this chapter, 'governing authority' means the governing board of each school district or the authority of each other private or public institution responsible for the operation and control of the institution or the principal or administrator of each school or institution.

Section 120335 of the Health and Safety Code, states:

- (b) The governing authority shall not unconditionally admit any person as a pupil of any private or public elementary or secondary school, child care center, day nursery, nursery school, family day care home, or development center, unless, prior to his or her first admission to that institution, he or she has been fully immunized. The following are the diseases for which immunizations shall be documented:
  - (1) Diphtheria.
  - (2) Haemophilus influenzae type b.
  - (3) Measles.
  - (4) Mumps.
  - (5) Pertussis (whooping cough).
  - (6) Poliomyelitis.
  - (7) Rubella.
  - (8) Tetanus.
  - (9) Hepatitis B.
  - (10) Varicella (chickenpox).
  - (11) Any other disease deemed appropriate by the department, taking into consideration the recommendations of the Advisory Committee on Immunization Practices of the United States Department of Health and Human Services, the American Academy of Pediatrics, and the American Academy of Family Physicians.
- (c) Notwithstanding subdivision (b), full immunization against hepatitis B shall not be a condition by which the governing authority shall admit or advance any pupil to the 7th grade level of any private or public elementary or secondary school.
- (d) The governing authority shall not unconditionally admit or advance any pupil to the 7th grade level of any private or public elementary or secondary school unless the pupil has been fully immunized against pertussis, including all pertussis boosters appropriate for the pupil's age.
- (e) The department may specify the immunizing agents that may be utilized and the manner in which immunizations are administered.

- (g)(1) A pupil who, prior to January 1, 2016, submitted a letter or affidavit on file at a private or public elementary or secondary school, child day care center, day nursery, nursery school, family day care home, or development center stating beliefs opposed to immunization shall be allowed enrollment to any private or public elementary or secondary school, child day care center, day nursery, nursery school, family day care home, or development center within the state until the pupil enrolls in the next grade span.
  - (2) For purposes of this subdivision, 'grade span' means each of the following:
  - (A) Birth to preschool.
- (B) Kindergarten and grades 1 to 6, inclusive, including transitional kindergarten.
  - (C) Grades 7 to 12, inclusive.
- (3) Except as provided in this subdivision, on and after July 1, 2016, the governing authority shall not unconditionally admit to any of those institutions specified in this subdivision for the first time, or admit or advance any pupil to 7th grade level, unless the pupil has been immunized for his or her age as required by this section.
- 8. Section 120370 of the Health and Safety Code, states:1
- (a) (1) Prior to January 1, 2021, if the parent or guardian files with the governing authority a written statement by a licensed physician and surgeon to the effect that the physical condition of the child is such, or medical circumstances relating to the child are such, that immunization is not considered safe, indicating the specific nature and probable duration of the medical condition or circumstances, including, but not limited to, family medical history, for which the physician and surgeon does not recommend immunization, that child shall be exempt from the requirements of this chapter, except for Section 120380, and exempt from Sections 120400, 120405, 120410, and 120415 to the extent indicated by the physician and surgeon's statement.
- (2) Commencing January 1, 2020, a child who has a medical exemption issued before January 1, 2020, shall be allowed continued enrollment to any public or private elementary or secondary school, child care center, day nursery, nursery school, family day care home, or developmental center within the state until the child enrolls in the next grade span.

For purposes of this subdivision, "grade span" means each of the following:

(A) Birth to preschool, inclusive.

<sup>&</sup>lt;sup>1</sup> Effective January 1, 2016, through December 31, 2019, Health and Safety Code section 120370, subdivision (a), stated: "If the parent or guardian files with the governing authority a written statement by a licensed physician to the effect that the physical condition of the child is such, or medical circumstances relating to the child are such, that immunization is not considered safe, indicating the specific nature and probable duration of the medical condition or circumstances, including, but not limited to, family medical history, for which the physician does not recommend immunization, that child shall be exempt from the requirements of Chapter 1 (commencing with Section 120325, but excluding Section 120380) and Sections 120400, 120405, 120410, and 120415 to the extent indicated by the physician's statement."

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- 14. At Patient 1's next visit, on January 12, 2016, Respondent documented that Patient 1 had no immunization reactions from the vaccines administrated at the visit on December 29, 2015. Respondent also documented that Patient 1 was due for HBV #2 and PCV (pneumococcal conjugate vaccine) #1. Respondent noted that only one vaccine would be administered at a time and Patient 1 was given PCV #1.
  - 15. On January 19, 2016, Respondent administered HBV #2 to Patient 1.
- 16. Between February 10, 2016, and March 14, 2016, Patient 1 was seen by Respondent several times for upper respiratory symptoms and treated for croup.
- 17. On March 29, 2016, Patient 1 had his five (5)-month-old well-child visit with Respondent. Respondent administered Pentacel #2 and PCV #2.
  - 18. On May 31, 2016, Respondent administered Pentacel #3 and PCV #3 to Patient 1.
- 19. At Patient 1's eight (8)-month-old visit on June 30, 2016, Respondent documented that Patient 1 had a fever of 103 degrees Fahrenheit after receiving his last round of vaccines on May 31, 2016. Patient 1 was also worked up for continued wheezing and screening for low hemoglobin with a chest x-ray and lab work. Respondent also administered HBV #3.
- 20. At Patient 1's well-child assessment at twelve (12)-months of age, on November 2, 2016, Respondent documented that Patient 1 was due for MMR (measles, mumps, and rubella) #1 and VZV (Varicella-zoster virus) #1 and administered both.
- 21. On November 17, 2016, Patient 1 returned to Respondent with his mother reporting an "allergic reaction" to his left thigh from the immunizations. Respondent documented the injection site to be indurated, but it was unclear if it was infected. Patient 1 was treated with antibiotics.
  - 22. On December 5, 2016, Respondent administered Hepatitis A #1 to Patient 1.
- 23. At Patient 1's eighteen (18)-month-old visit, on May 22, 2017, Respondent administered DTaP (diphtheria, tetanus, and whooping cough) #4 and Hib (Haemophilus influenzae type B) #4.
  - 24. On July 24, 2017, Respondent administered PCV #4 and Hepatitis A #2 to Patient 1.

- 25. Over the next several years, Patient 1 had multiple visits with Respondent. He was treated for viral syndromes, otitis media, and reactive airway disease. Respondent documented that Patient 1 was up to date on his vaccines and that Patient 1 had a local reaction to one vaccine. Patient 1 received influenza vaccine on October 5, 2018, at three (3)-years of age, and on November 8, 2019, at four (4)-years of age.
- 26. In June 2020, Patient 1 was referred to a cardiologist for a murmur, chest discomfort and palpitation. The cardiology consult diagnosed a normal functional murmur and did not recommend further work up or restriction.
- 27. On February 26, 2021, at his 5-year-old well-child assessment, Respondent documented that Patient 1's mother wanted to "delay vaccines, if possible." Respondent also documented that Patient 1 was due for DTaP #5, IPV (polio) #4, MMR and VZV #2. Respondent only administered DTaP at this visit.
- 28. On May 20, 2021, Respondent documented that Patient 1's mother had a new diagnosis of an autoimmune disease, +ANA (antinuclear antibody), and that Patient 1's sibling had been diagnosed with IDDM (insulin-dependent diabetes mellitus). Blood work performed that same day showed that Patient 1 had positive titers for the presence of rubella, measles, mumps and varicella antibodies (IgG immune).
- 29. Effective June 2, 2021, Respondent provided a permanent medical exemption for Patient 1 for the DTaP, Hepatitis B, IPV, MMR, Tdap and Varicella vaccines. The documented grounds for the exemption was "at risk for vaccine injury," based on "maternal and sibling severe autoimmune disease. MMRV IgG immune. History of severe local reactions."
- 30. When interviewed by the Board about his care and treatment of Patient 1, Respondent reiterated that he provided Patient 1 with the permanent medical exemption to vaccination based on Patient 1's mother's new autoimmune condition coupled with Patient 1's sibling's new diagnosis of insulin dependent diabetes. Respondent felt Patient 1 was at risk for possible "issues with autoimmune" and of having "medical complications from the vaccines." Respondent admitted that he had "no proof" that a maternal history of autoimmune disease is a risk factor for vaccine injury, but stated that based on "reading" he has done that "there is some concern for

maternal autoimmune disease and sibling with autoimmune disease and we just proceed with caution."

- 31. On September 16, 2021, Respondent documented that Patient 1 was still due for MMR and VZV #2 and that his mother wanted to "discuss vaccines personally." Respondent did not document anything further about any discussion with Patient 1's mother, nor did he administer any vaccines to Patient 1 that day.
- 32. The standard of care in the medical community requires pediatricians to provide complete preventative care as well as acute care for their patients. This includes providing appropriate anticipatory guidance and administering childhood vaccines to prevent vaccine preventable disease, as well as communicating accurate and evidence-based information regarding the safety of vaccines. The standard of care requires that a pediatrician administering vaccinations understand the appropriate reasons for providing a medical exemption for vaccination, and to communicate with scientific accuracy the true risks and benefits for the recommended vaccinations.
- when he provided a permanent vaccine exemption for Patient 1. Patient 1's positive titers for rubella, measles, mumps and varicella were only evidence that Patient 1 did not require additional vaccinations for these specific pathogens and did not qualify Patient 1 for a medical exception from all vaccinations. Based on Patient 1's medical records, there was insufficient basis for other vaccine exemptions, including the permanent exemptions Respondent provided for DTaP, HBV, IPV and Tdap. Respondent justified these exemptions based on a family history of autoimmune conditions, which is outside the standard of care. Respondent's failure to vaccinate a healthy child placed not only that child at risk for acquiring a vaccine preventable disease, a risk that includes both significant morbidity and mortality for that individual child, but also placed the community at risk, as when immunity drops, infectious diseases circulate. Patient I had several documented episodes of reactive airway disease as well as otitis media, conditions that, although common, pose risk and may be indications for additional vaccines, including seasonal influenza and COVID-19.

34. Respondent committed gross negligence in violation of Code section 2234, subdivision (b), as set forth in paragraphs 11 through 33, inclusive above, whether proven individually, jointly, or in any combination thereof, when he provided Patient 1 with a permanent vaccine exemption without adequate justification. As such, cause for discipline exists.

#### SECOND CAUSE FOR DISCIPLINE

## (Repeated Negligent Acts)

- 35. Respondent Ronald P. Becker, M.D. is subject to disciplinary action under Code section 2234, subdivision (c), in that he committed repeated negligent acts in his care and treatment of Patients 1, 2, 3 and 4. The circumstances are as follows:
- 36. The allegations contained in the First Cause for Discipline herein are incorporated by reference as if fully set forth.

#### Patient 2

- 37. Patient 2 first presented to Respondent on March 10, 2017, when he was almost five (5) months of age. Respondent documented that the examination was normal, but that Patient 2 was losing weight. His mother was supplementing breast milk with goat milk. Patient 2 was 5.6 kilograms, which is below the 5<sup>th</sup> percentile for his age. Respondent did not document Patient 2's vaccination status or that any vaccines were administered at that visit.
- 38. Respondent saw Patient 2 again on March 17, 2017, and March 31, 2017, and did not document Patient 2's vaccination status or that any vaccines were administered at either of those visits.
- 39. On April 24, 2017, Respondent saw Patient 2 for his six (6)-month-old well-child assessment. Respondent documented that Patient 2 had atopic dermatitis and that Patient 2's mother was "holding off on vaccines." Respondent did not document any specific concerns Patient 2's parents had regarding vaccines or any discussion about the risks of not vaccinating a six (6)-month-old infant. A follow-up was planned for three (3) months later.
- 40. Respondent did not see Patient 2 again until December 10, 2018, when Patient 2 was twenty-six (26) months old. Respondent did not document whether or not Patient 2 was up to date on his immunizations. Respondent did not document any discussion with Patient 2's mother

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regarding vaccinations. Patient 2 was treated for fever and diagnosed with a viral syndrome.

- 41. Respondent next saw Patient 2 on July 22, 2019, after Patient 2 had an allergic reaction to peanut butter. Respondent again did not document anything about Patient 2's vaccination status.
- 42. Respondent next saw Patient 2 on February 3, 2021, for a well-child assessment. Patient 2 was four (4) years old. Respondent documented "parental concerns" as "starting vaccines" and "2 shots ok." Respondent administered IPV. Respondent did not document any discussion of the risks associated with incomplete or delayed vaccinations, including but, not limited to, MMR, VZV, Pneumococcal, HiB, or influenza.
- 43. On June 30, 2021, Patient 2 presented with his mother needing either a vaccine schedule or medical exemption. Respondent documented as part of his plan that he would provide Patient 2 a "Temp ME (12 mos.)" Respondent did not document any rationale for the temporary vaccine exemption. Respondent documented administering DTaP #1. Patient 2 was referred to a pediatric allergist to manage his dairy, egg and peanut allergies.
- 44. On or about July 5, 2021, Respondent provided Patient 2 with a temporary medical exemption, which included the following vaccines: DTaP, HBV, IPV, MMR and VZV. The temporary exemption had an expiration date of July 5, 2022. The rationale for each exemption was "at risk for adverse vaccine reaction."
- 45. When interviewed by the Board about the care and treatment he provided to Patient 2, Respondent stated that at the time of the June 30, 2021, visit, Patient 2's parents were planning to start Patient 2 in school, but he had received no vaccinations. The plan was to administer one vaccine a month over the course of the next twelve (12) months. Respondent provided the temporary exemption to Patient 2 so he could complete the vaccines required for school over the next twelve (12) months, instead of all at once.
- 46. Respondent further stated at the interview that Patient 2 was "at risk for adverse vaccine reaction" because Patient 2 had severe food allergies. Additionally, Patient 2 had a sibling on the Autism spectrum.

- 47. Patient 2's next visit with Respondent was on August 18, 2021. Patient 2's mother reported that Patient 2 had a local skin reaction to the DTaP vaccine administered at the last visit and had diarrhea for three (3) days. Respondent administered HBV #1. Respondent did not document why only one vaccine was administered at that visit, why HBV #1, specifically, was administered, or any discussion of the risks of incomplete or delayed vaccination.
- 48. Respondent departed from the applicable standard of care and treatment in the medical community when he provided Patient 2 with a temporary medical exemption for numerous vaccinations without adequate justification. The prolonged timeframe (all vaccines over twelve (12) months) placed Patient 2 at risk for acquiring vaccine preventable diseases while Patient 2 waited to get vaccinated. Further, Respondent failed to adequately document conversations with Patient 2's parents regarding accelerating the vaccination catch up schedule, or reassuring or informing Patient 2's parents of the true risks and benefits of vaccinations, as well as the risks of vaccine delays. In addition to placing Patient 2 at risk for preventable diseases that cause morbidity and mortality, Respondent also potentially exposed the community to infectious diseases by condoning a delayed vaccination schedule.

#### Patient 3

- 49. Patient 3 is the brother of Patient 2.
- 50. Patient 3 was first treated by Respondent on January 19, 2017, when he was two (2) years of age. Patient 3 presented with his mother for his two (2)-year well-child assessment. His mother expressed concern that he was "not talking much." Patient 3's examination was normal and Respondent did not document any delayed or incomplete vaccinations, but that the "flu vaccine would be held."
- 51. Patient 3's next visit with Respondent was on December 10, 2017, when he was three (3) years old. He was seen for febrile illness and he was diagnosed with a viral syndrome.

  Respondent did not document Patient 3's vaccination status.
- 52. Patient 3 did not see Respondent again until February 3, 2021, when he was six (6) years old. Respondent documented that two (2) vaccines were "ok." Respondent administered VZV and MMR vaccines to Patient 3.

- 53. On June 24, 2021, Patient 3 presented to Respondent and Respondent administered HBV #1.
- 54. On July 26, 2021, Patient 3 presented to Respondent and Respondent administered DTaP and IPV. The following month, Respondent administered HBV #2.
- 55. Prior to commencing treatment with Respondent, Patient 3 received care and treatment from another provider located in North Carolina. That provider documented completing the primary series for DTaP, including the eighteen (18)-month booster, HiB vaccine #1-#3, annual influenza (2015 and 2016), pneumococcal conjugate vaccine primary series, as well as polio and rotavirus vaccines. There is no record of Patient 3 receiving the Hepatitis A vaccine.
- 56. On or about August 1, 2021, Respondent provided Patient 3 with a temporary medical exemption, which included the following vaccines: Hepatitis B, MMR and Varicella. The temporary exemption had an expiration date of December 5, 2021. The rationale for each exemption was "at risk for adverse vaccine reaction."
- 57. When interviewed by the Board about the care and treatment he provided to Patient 3, Respondent stated that he provided the temporary exemption to Patient 3 due to an autoimmune condition that put him "at risk for adverse reaction." Patient 3 needed four (4) shots to start school and Respondent's plan was to administer one shot a month for four (4) months. Respondent stated that he wanted to "proceed with caution."
- 58. Respondent departed from the applicable standard of care and treatment in the medical community when he provided Patient 3 with a temporary medical exemption for numerous vaccinations without adequate justification. The exemption placed Patient 3 at risk for acquiring vaccine preventable diseases while Patient 3 waited to get vaccinated. Further, Respondent failed to adequately document conversations with Patient 3's parents regarding accelerating the vaccination catch up schedule, or reassuring or informing Patient 3's parents of the true risks and benefits of vaccinations, as well as the risks of vaccine delays. In addition to placing Patient 3 at risk for preventable diseases that cause morbidity and mortality, Respondent also potentially exposed the community to infectious diseases by condoning a delayed vaccination schedule.

Patient 4

- 59. On April 14, 2021, Patient 4 presented to Respondent with his mother for his 4-5-year-old well-child assessment. Patient 4 was four and half (4.5) years old.
- 60. According to Patient 4's immunization record, Patient 4 had already received his primary vaccine series for DTaP, polio, MMR and VZV, and had completed the vaccine series for HiB, PCV, and Hepatitis A and B, with other providers.
- 61. At the April 14, 2021, visit, Respondent documented that Patient 4 was due for MMR #2, VZV #2, DTaP #5 and IPV #4. These vaccines were required for Patient 4 to be able to start kindergarten. Respondent documented parental concerns to include "discuss vaccines." Respondent's plan included administering one vaccine per month.
  - 62. On June 14, 2021, Respondent administered IPV #4 to Patient 4.
- 63. On or about June 23, 2021, Respondent provided Patient 4 with a temporary medical exemption, which included the following vaccines: DTaP, IPV, MMR, Tdap, and Varicella. The temporary exemption had an expiration date of June 22, 2022. The rationale for each exemption was "at risk for adverse vaccine reaction."
- 64. When interviewed by the Board about the care and treatment he provided to Patient 4, Respondent stated that his plan was to administer Patient 4, the four (4) vaccines he needed for kindergarten on a one vaccine per month schedule, instead of all on one day. Respondent stated that his reasoning for this schedule and providing the temporary exemption to Patient 4 was that Patient 4's family requested to spread the vaccines out and not to do them all on one day. The exemption was provided so that Patient 4 could start school in September with incomplete vaccinations.
- 65. Respondent departed from the applicable standard of care and treatment in the medical community when he provided Patient 4 with a temporary medical exemption for numerous vaccinations without adequate justification. The prolonged timeframe placed Patient 4 at risk for acquiring vaccine preventable diseases while Patient 4 waited to get vaccinated. Further, Respondent failed to adequately document conversations with Patient 4's parents regarding accelerating the vaccination catch up schedule, or reassuring or informing Patient 4's

parents of the true risks and benefits of vaccinations, as well as the risks of vaccine delays. In addition to placing Patient 4 at risk for preventable diseases that cause morbidity and mortality, Respondent also potentially exposed the community to infectious diseases by condoning a delayed vaccination schedule.

66. Respondent committed repeated negligent acts in violation of Code section 2234, subdivision (c), as set forth in paragraphs 36 through 65, inclusive above, whether proven individually, jointly, or in any combination thereof, in his care and treatment of Patients 1, 2, 3 and 4, when he provided those patients with vaccination exemptions without adequate justification. As such, cause for discipline exists.

## THIRD CAUSE FOR DISCIPLINE

## (Inadequate Record Keeping)

- 67. Respondent Ronald P. Becker, M.D. is subject to disciplinary action under Code section 2266, in that Respondent maintained inadequate records for Patients 1, 2, 3 and 4. The circumstances are as follows:
- 68. The allegations contained in the First and Second Causes for Discipline herein are incorporated by reference as if fully set forth.
- 69. The American Academy of Pediatrics (AAP) recommends that physicians who do not vaccinate due to parental refusal have the parents complete a refusal to vaccinate form found on the AAP's website. There is nothing in the Patients' records reflecting a discussion of this form.
- 70. Respondent maintained inadequate records in his care and treatment of Patients 1, 2, 3 and 4, in violation of Code section 2266, as set forth in paragraphs 68 and 69, inclusive above, whether proven individually, jointly, or in any combination thereof. As such, cause for discipline exists.

## FOURTH CAUSE FOR DISCIPLINE

#### (Lack of Knowledge)

71. Respondent Ronald P. Becker, M.D. is subject to disciplinary action under Code section 2234, subdivision (d), in that he demonstrated a lack of knowledge, or incompetence, in his care and treatment of Patients 1, 2, 3 and 4. The circumstances are as follows:

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- 72. The allegations contained in the First, Second and Third Causes for Discipline herein are incorporated by reference as if fully set forth.
- 73. Respondent's care and treatment of Patients 1, 2, 3, and 4, in addition to statements made during his interview with the Board, demonstrate that Respondent does not understand valid and evidence-based vaccine contraindications or precautions. Respondent consistently made plans with the families of Patients 1, 2, 3, and 4, to extend the time to administer vaccinations, up to a year in one case, and/or providing one vaccine a month. Such scheduling did a disservice to the Patients, leaving them vulnerable to vaccine preventable disease while they slowly receive vaccines one-by-one, or in the case of Patient 1, not at all. Further, through the exemptions he provided, Respondent facilitated the attendance of unvaccinated children at school under false medical exemption rationale.
- 74. During his interview with the Board, Respondent shared his concern regarding a link between Autism and vaccines. This hypothesis arose in the 1990s based on a fraudulent student's research paper in the United Kingdom. The research upon which the paper endorsing a connection between Autism and vaccines was subsequently retracted and the author of the study's medical license was revoked. When asked during his interview with the Board if "Autism is a contraindication for vaccines," Respondent stated that "depending if the symptoms develop immediately immediately after the vaccine or that that it it could be linked..." Respondent stated that Autism is multifactorial and "not just the vaccines." Respondent's misunderstanding that there is any link between Autism and vaccines, and failure to dispel this myth and correct misinformation that the families of Patients 1, 2, 3, and 4 received, demonstrates a lack of knowledge.
- 75. Respondent obliged Patients 1, 2, 3 and 4's parents' requests to exempt their children from vaccines, reinforced misinformation about a link between vaccines and Autism, and placed Patients 1, 2, 3, and 4 at risk for potentially fatal diseases. His rationales behind Patient 1's permanent exemption and Patients 2, 3 and 4's delayed vaccination schedule demonstrates a lack of knowledge and incompetence.