BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Evan Reece Buxbaum, M.D.

Physician's and Surgeon's Certificate No. A 116531

Respondent.

Case No.: 800-2021-080549

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on <u>September 22, 2023</u>.

IT IS SO ORDERED: August 24, 2023.

MEDICAL BOARD OF CALIFORNIA

Laurie Rose Lubiano, J.D., Chair

Panel A

1	ROB BONTA Attorney General of California		
2	GREG W. CHAMBERS Supervising Deputy Attorney General		
3.	KENDRA S. RIVAS		
4	Deputy Attorney General State Bar No. 340217	÷	
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004		
6	Telephone: (415) 229-0112 Facsimile: (415) 703-5480		
7	Attorneys for Complainant		
8	BEFORE THE		
9	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS		
10	STATE OF CALIFORNIA		
11			
12	In the Matter of the Accusation Against:	Case No. 800-2021-080549	
13	EVAN REECE BUXBAUM, M.D.	STIPULATED SETTLEMENT AND	
14	7 Deye Lane Eastsound, WA 98245-8578	DISCIPLINARY ORDER	
15	Physician's and Surgeon's Certificate No. A 116531		
16	Respondent.		
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18	IM IG HEDEDY GTIDLII ATED AND ACD	EED by and between the narties to the above-	
19	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-		
20	entitled proceedings that the following matters are true:		
21	PARTIES SIL NOTE IN THE PARTIES		
22	1. Reji Varghese (Complainant) is the Executive Director of the Medical Board of		
23	California (Board). He brought this action solely in his official capacity and is represented in this		
24	matter by Rob Bonta, Attorney General of the Sta	ate of California, by Kendra S. Rivas, Deputy	
25	Attorney General.		
26	•	M.D. (Respondent) is represented in this	
27	proceeding by attorney Amelia F. Burroughs, whose address is: P.O. Box 1465		
28	Ferndale, CA 95536-1465.		
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3. On or about April 8, 2011, the Board issued Physician's and Surgeon's Certificate No. A 116531 to Evan Reece Buxbaum, M.D. (Respondent). The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2021-080549, and will expire on December 31, 2024, unless renewed.

JURISDICTION

4. Accusation No. 800-2021-080549 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on November 17, 2022. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 800-2021-080549 is attached as exhibit A.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2021-080549. Respondent has also carefully read, fully discussed with his counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

8. Respondent agrees that the charges and allegations in Accusation No. 800-2021-080549, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate.

- 9. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.
- 10. Respondent agrees that, at a hearing, Complainant could establish a prima facie case or factual basis for the charges in Accusation No. 800-2021-080549, a true and correct copy of which is attached hereto as Exhibit A. Respondent hereby gives up his right to contest those charges and does not contest that he has thereby subjected his Physician's and Surgeon's Certificate A 116531, to disciplinary action.
- 11. Respondent agrees that this Physician's and Surgeon's Certificate is subject to discipline, and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- 12. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 13. Respondent agrees that if he ever petitions for early termination or modification of probation, or if an accusation and/or petition to revoke probation is filed against him before the Board, all of the charges and allegations contained in Accusation No. 800-2021-080549 shall be deemed true, correct and fully admitted by Respondent for purposes of any such proceeding or any other licensing proceeding involving Respondent in the State of California.

14. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.

15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 116531 issued to Respondent Evan Reece Buxbaum, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for four (4) years on the following terms and conditions:

- 1. <u>EDUCATION COURSE</u>. Within 60 calendar days of the effective date of this Decision, and on an annual basis thereafter, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours per year, for each year of probation. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of CME of which 40 hours were in satisfaction of this condition.
- 2. <u>MEDICAL RECORD KEEPING COURSE</u>. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping approved in advance by the Board or its designee. Respondent shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing

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Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

3. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a professionalism program, that meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1. Respondent shall participate in and successfully complete that program. Respondent shall provide any information and documents that the program may deem pertinent. Respondent shall successfully complete the classroom component of the program not later than six (6) months after Respondent's initial enrollment, and the longitudinal component of the program not later than the time specified by the program, but no later than one (1) year after attending the classroom component. The professionalism program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A professionalism program taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the program or not later than 15 calendar days after the effective date of the Decision, whichever is later.

4. <u>CLINICAL COMPETENCE ASSESSMENT PROGRAM</u>. Within 60 calendar days

of the effective date of this Decision, Respondent shall enroll in a clinical competence assessment program approved in advance by the Board or its designee. Respondent shall successfully complete the program not later than six (6) months after Respondent's initial enrollment unless the Board or its designee agrees in writing to an extension of that time.

The program shall consist of a comprehensive assessment of Respondent's physical and mental health and the six general domains of clinical competence as defined by the Accreditation Council on Graduate Medical Education and American Board of Medical Specialties pertaining to Respondent's current or intended area of practice. The program shall take into account data obtained from the pre-assessment, self-report forms and interview, and the Decision(s), Accusation(s), and any other information that the Board or its designee deems relevant. The program shall require Respondent's on-site participation for a minimum of three (3) and no more than five (5) days as determined by the program for the assessment and clinical education evaluation. Respondent shall pay all expenses associated with the clinical competence assessment program.

At the end of the evaluation, the program will submit a report to the Board or its designee which unequivocally states whether the Respondent has demonstrated the ability to practice safely and independently. Based on Respondent's performance on the clinical competence assessment, the program will advise the Board or its designee of its recommendation(s) for the scope and length of any additional educational or clinical training, evaluation or treatment for any medical condition or psychological condition, or anything else affecting Respondent's practice of medicine. Respondent shall comply with the program's recommendations.

Determination as to whether Respondent successfully completed the clinical competence assessment program is solely within the program's jurisdiction.

If Respondent fails to enroll, participate in, or successfully complete the clinical competence assessment program within the designated time period, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. The Respondent shall not resume the practice of medicine until enrollment or participation in the outstanding portions of the clinical competence assessment

program have been completed. If the Respondent did not successfully complete the clinical competence assessment program, the Respondent shall not resume the practice of medicine until a final decision has been rendered on the accusation and/or a petition to revoke probation. The cessation of practice shall not apply to the reduction of the probationary time period.

5. PROHIBITED PRACTICE. During probation, Respondent is prohibited from issuing medical vaccine exemptions to patients. After the effective date of this Decision, all patients being treated by the Respondent shall be notified that the Respondent is prohibited from issuing medical vaccine exemptions to patients. Any new patients must be provided this notification at the time of their initial appointment.

Respondent shall maintain a log of all patients to whom the required oral notification was made. The log shall contain the: 1) patient's name, address and phone number; 2) patient's medical record number, if available; 3) the full name of the person making the notification; 4) the date the notification was made; and 5) a description of the notification given. Respondent shall keep this log in a separate file or ledger, in chronological order, shall make the log available for immediate inspection and copying on the premises at all times during business hours by the Board or its designee, and shall retain the log for the entire term of probation.

6. <u>NOTIFICATION</u>. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

7. <u>SUPERVISION OF PHYSICIAN ASSISTANTS AND ADVANCED PRACTICE</u>

<u>NURSES.</u> During probation, Respondent is prohibited from supervising physician assistants and advanced practice nurses.

9. <u>INVESTIGATION/ENFORCEMENT COST RECOVERY</u>. Respondent is hereby ordered to reimburse the Board its costs of investigation and enforcement, including, but not limited to, expert review, amended accusations, legal reviews, investigation(s), and subpoena enforcement, as applicable, in the amount of \$ 30,663.75 (thirty thousand six hundred sixty three dollars and seventy five cents). Costs shall be payable to the Medical Board of California. Failure to pay such costs shall be considered a violation of probation.

Payment must be made in full within 30 calendar days of the effective date of the Order, or by a payment plan approved by the Medical Board of California. Any and all requests for a payment plan shall be submitted in writing by respondent to the Board. Failure to comply with the payment plan shall be considered a violation of probation.

The filing of bankruptcy by respondent shall not relieve respondent of the responsibility to repay investigation and enforcement costs, including expert review costs.

10. <u>QUARTERLY DECLARATIONS</u>. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

11. GENERAL PROBATION REQUIREMENTS.

Compliance with Probation Unit

Respondent shall comply with the Board's probation unit.

Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business

and Professions Code section 2021, subdivision (b).

Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

- 12. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- 13. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If Respondent resides in California and is considered to be in non-practice, Respondent shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve Respondent from complying with all the terms and conditions of

probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete the Federation of State Medical Boards' Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years. Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for a Respondent residing outside of California will relieve
Respondent of the responsibility to comply with the probationary terms and conditions with the
exception of this condition and the following terms and conditions of probation: Obey All Laws;
General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or
Controlled Substances; and Biological Fluid Testing..

- obligations (e.g., restitution, probation costs) no later than 120 calendar days prior to the completion of probation. This term does not include cost recovery, which is due within 30 calendar days of the effective date of the Order, or by a payment plan approved by the Medical Board and timely satisfied. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- 15. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until

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the matter is final.

- Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy the terms and conditions of probation, Respondent may request to surrender his or her license.

 The Board reserves the right to evaluate Respondent's request and to exercise its discretion in determining whether or not to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its designee and Respondent shall no longer practice medicine. Respondent will no longer be subject to the terms and conditions of probation. If Respondent re-applies for a medical license, the application shall be treated as a petition for reinstatement of a revoked certificate.
- 17. PROBATION MONITORING COSTS. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.
- 18. <u>FUTURE ADMISSIONS CLAUSE</u>. If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing action agency in the State of California, all of the charges and allegations contained in Accusation No. 800-2021-080549 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict license.

ACCEPTANCE I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully 2 discussed it with my attorney, Ameha F. Burroughs. I understand the stipulation and the effect it 3 will have on my Physician's and Surgeon's Certificate. Lenter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the 5 Decision and Order of the Medical Board of California. 6 7 S I have read and fully discussed with Respondent Evan Reece Buxbaum, M.D. the terms and 9 conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. 10 I approve its form and content. 11 12 13 DATED: AMELIA F. BURROUGHS Attorney for Respondent 14 15 **ENDORSEMENT** 16 The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully 17 submitted for consideration by the Medical Board of California. 18 19 DATED: 07/13/2023 Respectfully submitted. 20 ROB BONTA Attorney General of California 21 GREG W. CHAMBERS Supervising Deputy Attorney General 22 Kendra Rivas 23 KENDRA S. RIVAS 24 Deputy Attorney General 25 Attorneys for Complainant 26 Sf 2012460248 Buxbann Supulated Senlement docx 27 28

1 2 3 4	ROB BONTA Attorney General of California GREG W. CHAMBERS Supervising Deputy Attorney General KENDRA S. RIVAS Deputy Attorney General State Bar No. 340217		
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004		
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12	In the Matter of the Accusation Against:	Case No. 800-2021-080549	
13	EVAN REECE BUXBAUM, M.D. 3305 Renner Drive	ACCUSATION	
14	Fortuna, CA 95540		
15	Physician's and Surgeon's Certificate No. A 116531,		
16	Respondent.		
17			
18	PART	THES	
19 20	1. William Prasifka (Complainant) brings this Accusation solely in his official capacity		
21	as the Executive Director of the Medical Board of California, Department of Consumer Affairs		
22	(Board).		
23	2. On or about April 8, 2011, the Medica	al Board issued Physician's and Surgeon's	
24	Certificate Number A 116531 to Evan Reece Buxbaum, M.D. (Respondent). The Physician's and		
25	Surgeon's Certificate was in full force and effect at all times relevant to the charges brought		
26	herein and will expire on December 31, 2022, unless renewed.		
27	<i>///</i>		
28	<i>///</i>		

- This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise
 - Section 2234 of the Code, states in pertinent part:

The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- (a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
- (c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute
- (1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single
- (2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
- Section 2266 provides that failure to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.
 - Health & Safety Code section 120325, states in pertinent part:

In enacting this chapter, but excluding Section 120380, and in enacting Sections 120400, 120405, 120410, and 120415, it is the intent of the Legislature to provide:

- (a) A means for the eventual achievement of total immunization of appropriate age groups
 - (3) Haemophilus influenzae type b.

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1	(4) Measles.	
2	(5) Mumps.	
3	(6) Pertussis (whooping cough).	
4	(7) Poliomyelitis.	
5	(8) Rubella.	
6	(9) Tetanus.	
7	(10) Varicella (chickenpox).	
. 8	(11) Any other disease deemed appropriate by the department, taking into	
9	consideration the recommendations of the Advisory Committee on Immunization Practices of the United States Department of Health and Human Services, the	
10	American Academy of Pediatrics, and the American Academy of Family Physicians.	
11	(b) That the persons required to be immunized be allowed to obtain immunizations from	
12	whatever medical source they so desire, subject only to the condition that the immunization be performed in accordance with the regulations of the department and that a record of the immunization is made in accordance with the regulations.	
13	(c) Exemptions from immunization for medical reasons.	
14 15	(d) For the keeping of adequate records of immunization so that health departments, schools, and other institutions, parents or guardians, and the persons immunized will be able to ascertain that a child is fully or only partially immunized, and so that appropriate	
16	schools or other institutions.	
17 18	(e) Incentives to public health authorities to design innovative and creative programs that will promote and achieve full and timely immunization of children.	
19	7. Healthy and Safety Code Section 120370 states:	
20	(a)(1) Prior to January 1, 2021, if the parent or guardian files with the governing authority	
21	written statement by a licensed physician and surgeon to the effect that the physical condition of the child is such, or medical circumstances relating to the child are such, that	
22	immunization is not considered safe, indicating the specific nature and probable duration of the medical condition or circumstances, including, but not limited to, family medical	
23	history, for which the physician and surgeon does not recommend immunization, that child shall be exempt from the requirements of this chapter, except for Section 120380, and	
24	exempt from Sections 120400, 120405, 120410, and 120415 to the extent indicated by the physician and surgeon's statement.	
25	(2) Commencing January 1, 2020, a child who has a medical exemption issued before	
26	January 1, 2020, shall be allowed continued enrollment to any public or private elementa or secondary school, child care center, day nursery, nursery school, family day care home	
27	or developmental center within the state until the child enrolls in the next grade span.	
20	For purposes of this subdivision, "grade span" means each of the following:	

- (A) Birth to preschool, inclusive.
- (B) Kindergarten and grades 1 to 6, inclusive, including transitional kindergarten.
- (C) Grades 7 to 12, inclusive.
- (3) Except as provided in this subdivision, on and after July 1, 2021, the governing authority shall not unconditionally admit or readmit to any of those institutions specified in this subdivision, or admit or advance any pupil to 7th grade level, unless the pupil has been immunized pursuant to Section 120335 or the parent or guardian files a medical exemption form that complies with Section 120372.
- (b) If there is good cause to believe that a child has been exposed to a disease listed in subdivision (b) of Section 120335 and the child's documentary proof of immunization status does not show proof of immunization against that disease, that child may be temporarily excluded from the school or institution until the local health officer is satisfied that the child is no longer at risk of developing or transmitting the disease.
- 8. Healthy and Safety Code Section 120372, provides, in pertinent part:

Medical exemptions that do not comply with the Center for Disease Control and Prevention's (CDC) Advisory Committee on Immunization Practices' (ACIP) recommendations and guidelines and the American Academic of Pediatrics' guidelines on pediatric immunizations and immunization practices are subject to review and rejection by the California Department of Public Health. Further, the section provides that CDPH is to report to the Board any physician the department identifies as having written five or more rejected exemptions.

COST RECOVERY

9. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licensee found to have violated the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case. If the licensee fails to comply, the license will not be renewed or reinstated. If a case settles, recovery of investigation and enforcement costs may be included in a stipulated settlement.

FACTUAL ALLEGATIONS

10. Effective January 1, 2021, the California Department of Public Health (CDPH) shall notify the Board of any physician and surgeon who have five (5) or more medical exemptions in calendar years that are revoked. CDPH must annually review immunization reports from all schools and institutions to identify medical exemptions submitted to the department. A clinically trained immunization department staff member, who is either a physician and surgeon or a

registered nurse, must review medical exemptions from physician's and surgeon's who submitted five or more medical exemptions in a calendar year beginning January 1, 2020, schools or institutions subject to Section 120375 with an overall immunization rate of less than 95 percent, and school or institutions subject to Section 120375 that fail to provide vaccination rates reports to CDPH. Further, if CDPH determines that a physician's and surgeon's practice is contributing to a public health risk, CDPH shall report the physician and surgeon to the Board. CDPH must not accept medical exemptions from the physician and surgeon until he or she demonstrates to CDPH a public health risk no longer exists. If the Board has a pending accusation against a physician and surgeon relating to immunization standards of care, CDPH shall not accept a medical exemption from the physician and surgeon unless and until the accusation is resolved in favor of the physician and surgeon. ¹

11. Respondent is a physician with a specialty in pediatrics. The standard of care for pediatricians is to follow the Center for Disease Control and Prevention's (CDC) Advisory Committee on Immunization Practices' (ACIP) recommendations and guidelines, as well as the American Academic of Pediatrics' guidelines on pediatric immunizations and immunization practices. On August 10, 2021, pursuant to Health & Safety Code section 120372, the CDPH reported to the Board that CDPH identified Respondent as a physician who wrote five or more rejected vaccine exemptions for seven of Respondent's pediatric patients. Below is summary of the factual allegations for each patient.

PATIENTS 1 and 2

12. On June 23, 2021, Respondent saw Patient 1² and his younger sibling, Patient 2³, to discuss immunization exemptions with their mother. Patient 1 was 15-years-old when Respondent provided the vaccine exemption. Patient's 1 and 2's mother reported that Patient 1 and his older sibling experienced significant sequalae after early childhood immunizations. The mother stated

¹ CAIR-ME, the California Immunization Registry Medical Exemption web site is a secure site for physicians to issue and manage standardized medical exemptions for children in school or childcare. Parents use the same site to request medical exemptions from vaccination for their children. Schools and childcare facilities can monitor and get updates for medical exemptions issued for children in attendance.

The patient is identified as "Patient 1" in this Accusation to protect his privacy.

The patient is identified as "Patient 2" in this Accusation to protect his privacy.

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that after the immunizations, Patient 1's older sibling developed learning disabilities and experienced a seizure. The mother said that Patient 1 developed a tympanic membrane collapse requiring several sets of tubes after his last immunization shots, and experienced what she describes as a seizure. Respondent noted that he understood the mother's hesitancy because while he was not caring for the family during that period, the story their mother told was concerning for adverse immunization reaction. However, the adverse reactions were not documented in detail or in correlation to a specific vaccine. Respondent did not verify if the older sibling and Patient 1 had in fact experienced these severe reactions.

- 13. On June 29, 2021, Respondent submitted a vaccine exemption to CAIR-ME for Patient 1. The exemption shows Respondent began treating Patient 1 on August 17, 2017. The exemption states the medical basis for the exemption was that Patient 1 experienced a "seizure following vaccines by report. Not sure which vaccines." Respondent exempted Patient 1 from "MMR, Tdap, VAR/VZV."
- 14. Patient 2 was 4-years-old when Respondent issued the vaccine exemption. Patient 2 is the youngest of the three siblings. Respondent noted the same concerns the mother expressed regarding the older siblings in Patient 2's medical records and once again indicated that Respondent understood the mother's hesitation to consent to vaccinate Patient 2. Patient 2 has no recorded history of ever receiving immunizations.
- 15. On June 29, 2021, Respondent submitted a vaccine exemption to CAIR-ME for Patient 2. The exemption states that the medical basis for exemption was that the parent reported that both of the older siblings experienced seizures after their vaccinations, and that Patient 2 had not received any vaccines. Respondent wrote the exemption due to the "...higher than average risk for seizures given the family history..." Respondent exempted Patient 2 from DTaP, HepB, Hib, IPV, MMR, Tdap, VAR/VZV. However, Respondent did not verify the medical information and it is unclear which vaccine is thought to have caused the sequalae in the older sibling. Further, Respondent did not document a discussion regarding the benefits and risks for vaccine administration.

16. On June 25, 2021, Respondent treated Patient 3⁴, who was then 5-years-old, for a routine annual checkup. During the course of that examination, Patient 3 did not receive any vaccinations. Patient 3 has high functioning autism and stopped vaccinating after diagnosis so has most of the early shots.

- 17. On July 1, 2021, the mother called Respondent to discuss immunizations.

 Respondent noted that the mother would like an exemption for her child as he "started losing milestones and falling behind on development and eventually was diagnosed as autistic.

 Symptoms started before 2 and Mom feels he had high fevers and some neurological regressions after [vaccine] shots."
- 18. On July 2, 2021, Respondent issued a permanent exemption that would expire "at the end of the selected grade span." Respondent submitted a vaccine exemption to CAIR-ME for the following vaccines: DTap, HepB, Hib, IPV, MMR, Tdap, and VAR.VZV.

 The medical basis for the exemption was "autism, neurological regression following

The medical basis for the exemption was "autism, neurological regression following immunization."

19. On August 16, 2021, the CDPH required additional documentation to complete an independent review of the vaccine exemption.

PATIENTS 4, 5, and 6

20. On August 9, 2021, Respondent submitted three requests to CAIR-ME to exempt Patients 4, 5, and 6 from the varicella vaccine. The exemption for Patient 4⁵, who was then 4-years-old, was a permanent vaccine exemption that expired "at the end of the selected grade span." Respondent wrote, "Child had chicken pox, verified. Should be exempt from Varicella vaccine only." There is no detailed description of the varicella infection documented or officially diagnosed in the medical records, nor is there laboratory evidence to show that Patient 4 had the viral infection. Respondent noted that Patient 4 is varicella "immune" based on anecdotal history of varicella infection by the patient's mother. Respondent stated that he only exempted Patient 4

⁴ The patient is identified as "Patient 3" in this Accusation to protect his privacy.

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for the varicella immunization and that Patient 4 was up-to-date on all remaining immunization. According to the records, Patient 4 had not received rotavirus, two DTaP, one Hib, four pneumococcal, yearly influenza, and two hepatitis A immunizations.

- 21. The VAR/VZV exemption for Patient 5⁶ was "permanent, expiring at the end of the selected grade span," and noted that the "Child had confirmed chicken pox. Exempt from Varicella vaccine." Patient 5 was 5-years-old at the time of the exemption and a note in the records stated that the "family is following alternate vaccine schedule." There is no detailed description of the varicella infection documented or officially diagnosed in the medical records, nor is there laboratory evidence to show that Patient 5 had the viral infection. Respondent noted that Patient 5 is varicella "immune" based on anecdotal history of varicella infection by the patient's mother. According to the records, Patient 5 had not received the rotavirus vaccine series, two DTaP vaccines, one Hib vaccine, the pneumococcal series, yearly influenza immunizations, and the hepatitis A vaccine series.
- 22. Patient 6⁷ was an 8-years-old male when Respondent provided the permanent vaccine exemption for VAR/VZV that was to expire "at the end of the selected grade span." Respondent noted that Patient 6 "had confirmed chicken pox. Exempt from Varicella vaccine." There is no detailed description of the varicella infection documented or officially diagnosed in the medical records, nor is there laboratory evidence to show that Patient 6 had the viral infection. Respondent noted that Patient 6 varicella "immune" based on anecdotal history of varicella infection by the patient's mother. According to the records, Patient 6 had not received one MMR vaccine and one varicella vaccine.
- 23. On December 17, 2021, during an investigative interview, Respondent stated the entire family had chicken pox and the parents did not want their children, Patient 4, Patient 5, and Patient 6, to receive the varicella vaccine.

⁶ The patient is identified as "Patient 5" in this Accusation to protect her privacy.

PATIENT 7

- 24. Patient 7⁸ was 6-years-old when Respondent provided her a vaccine exemption for HepB on July 15, 2021. The exemption was "Permanent, expiring at the end of the selected grade span." Respondent wrote that "After Hepatitis B vaccine extreme fatigue for over a month with hair loss and Mental Status changes."
- 25. On February 27, 2020, Patient 7 received two vaccines. One of the vaccines was the first dose of Hepatitis B. Respondent did not document an adverse reaction at the time.
- 26. Medical records dated May 10, 2021, indicate that Patient 7 had a bad reaction to a vaccination. The adverse reaction to the Hepatitis B vaccine is noted to include fatigue and mental status changes where Patient 7 "was out of it, foggy and with new onset bedwetting." Patient 7 is also explained to have severe hair loss, localized inflammation, and pain at the injection site. The severe hair loss is not described in detail and from medical record review seems self-limited and resolved. The minor reactions of inflammation and pain at the injection site are self-limited and not indicated for vaccine exemption.
- 27. On December 17, 2021, Respondent indicated during his interview, that he only provided an exemption for the Hepatitis B vaccine and that the parents consented to all other recommended vaccines.

FIRST CAUSE FOR DISCIPLINE

(Unprofessional Conduct, Gross Negligence, and Repeated Negligent Acts)

- 28. Paragraphs 10 through 27 are incorporated by reference as if fully set forth
- 29. Respondent is subject to disciplinary action under section 2234, subdivisions (b), (c), and/or (d), because Respondent engaged in unprofessional conduct and/or gross negligence and/or repeated negligent acts and/or incompetence in his care and treatment of Patients 1 through 7, including but not limited to:
- 30. Respondent issued vaccine exemptions to Patients 1 through 7, inclusive, who did not have any medical condition that constituted a contraindication based on the CDC, ACIP, and/or AAP guidelines;

⁸ The patient is identified as "Patient 7" in this Accusation to protect her privacy.

- 31. Respondent issued vaccine exemptions to Patients 1 through 7, inclusive, based upon medically irrelevant and uncorroborated family and personal histories, which were not consistent with contraindications to vaccination recognized by or consistent with the immunization guidelines by the CDC, ACIP, and/or AAP guidelines;
- 32. Respondent inappropriately issued vaccine exemptions to Patients 1 through 7, inclusive, which were not based on bona fide medical conditions, but instead were intended to accommodate parental vaccine hesitancy and/or to enable the child to attend school without receiving compulsory immunizations.

SECOND CAUSE FOR DISCIPLINE

(Inadequate and Inaccurate Medical Record Keeping)

- 33. Paragraphs 10 through 27 are incorporated by reference as it fully set forth
- 34. Respondent is subject disciplinary action under Code section 2266 in that he failed to maintain accurate and adequate documentation, in that Respondent failed to maintain adequate and accurate medical records for Patients 4, 5, 6, and 7.

<u>PRAYER</u>

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate Number A 116531, issued to Evan Reece Buxbaum, M.D.;
- 2. Revoking, suspending or denying approval of Evan Reece Buxbaum, M.D.'s authority to supervise physician assistants and advanced practice nurses;
- 3. Ordering Evan Reece Buxbaum, M.D., to pay the Board the costs of the investigation and enforcement of this case, and if placed on probation, the costs of probation monitoring; and
 - 4. Taking such other and further action as deemed necessary and proper.

DATED: NOV 1 7 2022

WILLIAM PRASIFICA
Executive Director

Medical Board of California

Department of Consumer Affairs

State of California Complainant