BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Carl R. Ahroon, M.D.

Physician's & Surgeon's Certificate No. G 13598

Case No. 800-2019-056375

Respondent.

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on August 4, 2023.

IT IS SO ORDERED: July 7, 2023.

MEDICAL BOARD OF CALIFORNIA

Laurie Rose Lubiano, J.D., Chair

Panel A

1	Rob Bonta		
$\begin{bmatrix} 1 \\ 2 \end{bmatrix}$	Attorney General of California ALEXANDRA M. ALVAREZ Supervising Deputy Attorney General AARON L. LENT		
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4	Deputy Attorney General State Bar No. 256857		
5	1300 I Street, Suite 125 P.O. Box 944255		
6	Sacramento, CA 94244-2550 Telephone: (916) 210-7545		
7	Facsimile: (916) 327-2247		
8	Attorneys for Complainant		
9	12mon negation Compraints		
10	BEFORE THE MEDICAL BOARD OF CALIFORNIA		
11	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
12	STATE OF CA	ALIFUKNIA	
13	In the Matter of the Accusation Against:	Case No. 800-2019-056375	
14	CARL R. AHROON, M.D.	OAH No. 2022100576	
15	724 Medical Center Dr. East, Ste 101 Clovis, CA 93611	STIPULATED SETTLEMENT AND	
16	Physician's and Surgeon's Certificate	DISCIPLINARY ORDER	
17	No. G 13598		
18	Respondent.		
19		-	
20	IT IS HEREBY STIPULATED AND AGR	EED by and between the parties to the above-	
21	entitled proceedings that the following matters are true:		
22	PARTIES		
23	1. Reji Varghese (Complainant) is the Interim Executive Director of the Medical Board		
24	of California (Board). William Prasifka was the Executive Director of the Board when this action		
25	was brought in his official capacity, and both are represented in this matter by Rob Bonta,		
26	Attorney General of the State of California, by Aaron L. Lent, Deputy Attorney General.		
27	2. Respondent Carl R. Ahroon, M.D. (Respondent) is represented in this proceeding by		
28	attorney Michael F. Ball, whose address is: 7647 North Fresno Street Fresno, CA 93720-8912.		
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3. On or about July 28, 1967, the Board issued Physician's and Surgeon's Certificate No. G 13598 to Carl R. Ahroon, M.D. (Respondent). The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2019-056375, and will expire on June 30, 2024, unless renewed.

JURISDICTION

- 4. Accusation No. 800-2019-056375 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on June 2, 2022. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2019-056375 is attached as Exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2019-056375. Respondent has also carefully read, fully discussed with his counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

9. Respondent understands and agrees that the charges and allegations in Accusation No. 800-2019-056375, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate.

- 10. Respondent agrees that, at a hearing, Complainant could establish a *prima facie* case or factual basis for the charges in the Accusation, and that Respondent hereby gives up his right to contest those charges.
- 11. Respondent does not contest that, at an administrative hearing, complainant could establish a *prima facie* case with respect to the charges and allegations in Accusation No. 800-2019-056375, a true and correct copy of which is attached hereto as Exhibit A, and that he has thereby subjected his Physician's and Surgeon's Certificate, No. G 13598 to disciplinary action.
- 12. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

CONTINGENCY

- 13. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 14. Respondent agrees that if he ever petitions for early termination or modification of probation, or if an accusation and/or petition to revoke probation is filed against him before the Board, all of the charges and allegations contained in Accusation No. 800-2019-056375 shall be deemed true, correct and fully admitted by respondent for purposes of any such proceeding or any other licensing proceeding involving Respondent in the State of California.

- 15. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 16. The parties agree that copies of this Stipulated Settlement and Disciplinary Order, including copies of the signatures of the parties, may be used in lieu of original documents and signatures and, further, that such copies shall have the same force and effect as originals.
- 17. This Stipulated Settlement and Disciplinary Order is intended by the parties herein to be an integrated writing representing the complete, final, and exclusive embodiment of the agreements of the parties in the above-entitled matter.
- 18. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G 13598 issued to Respondent Carl R. Ahroon, M.D. shall be and is hereby publicly reprimanded pursuant to California Business and Professions Code, section 2227, subdivision (a) (4). This public reprimand, which is issued in connection with Respondent's failure to document Patient 1's medical records as set forth in Accusation No. 800-2019-056375, is as follows:

"You failed to properly document a physical examination, a review of systems, and a treatment plan. Thereafter, you also failed to document a medical reason for prescribing the patient a controlled substance."

1. PRESCRIBING PRACTICES COURSE. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in prescribing practices approved in advance by the Board or its designee. Respondent shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The prescribing

practices course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A prescribing practices course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later. Any violation of this condition or failure to complete the program and program recommendations shall be considered unprofessional conduct and grounds for further disciplinary action.

2. <u>MEDICAL RECORD KEEPING COURSE</u>. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping approved in advance by the Board or its designee. Respondent shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than

15 calendar days after the effective date of the Decision, whichever is later. Any violation of this condition or failure to complete the program and program recommendations shall be considered unprofessional conduct and grounds for further disciplinary action.

3. <u>INVESTIGATION/ENFORCEMENT COST RECOVERY</u>. Respondent is hereby ordered to reimburse the Board its costs of investigation and enforcement, including, but not limited to, expert review, amended accusations, legal reviews, investigation(s), and subpoena enforcement, as applicable, in the amount of \$15,000.00 (fifteen thousand dollars). Costs shall be payable to the Medical Board of California.

Payment must be made in full within 30 calendar days of the effective date of the Order, or by a payment plan approved by the Medical Board of California. Any and all requests for a payment plan shall be submitted in writing by respondent to the Board. Failure to fully reimburse the Board the total amount of costs within twelve (12) months of the effective date of this Decision, unless the Board or its designee agrees in writing to an extension of that time, shall constitute general unprofessional conduct and may serve as the grounds for further disciplinary action.

The filing of bankruptcy by respondent shall not relieve respondent of the responsibility to repay investigation and enforcement costs, including expert review costs.

4. <u>FUTURE ADMISSIONS CLAUSE</u>. If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing action agency in the State of California, all of the charges and allegations contained in Accusation No. 800-2019-056375 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict license.

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ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Michael F. Ball. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

DATED: 5.8.23 (G) Clow UP

CARL R. AHROON, M.D.

Respondent

I have read and fully discussed with Respondent Carl R. Ahroon, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.

I approve its form and content.

DATED: 5/8/2023

MICHAEL F. BALL
Attorney for Respondent

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California.

DATED: May 8, 2023

Respectfully submitted,

ROB BONTA
Attorney General of California
ALEXANDRA M. ALVAREZ
Supervising Deputy Attorney General

AARON L. LENT Deputy Attorney General Attorneys for Complainant

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Exhibit A

Accusation No. 800-2019-056375

1				
1	ROB BONTA			
2	Attorney General of California STEVE DIEHL Supervising Deputy Attorney General State Bar No. 235250			
3				
4	California Department of Justice 2550 Mariposa Mall, Room 5090			
5	Fresno, CA 93721 Telephone: (559) 705-2313			
6	Facsimile: (559) 445-5106 Attorneys for Complainant			
7				
8	BEFORE THE MEDICAL BOARD OF CALIFORNIA			
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA			
10	STATE OF CALLS			
11	In the Matter of the Accusation Against: Case No. 800-2019-056375			
12	A C C I S A T I O N			
13	Carl R. Ahroon, M.D. 724 Medical Center Dr. East, Ste 101 Clovis, CA 93611			
14	Physician's and Surgeon's Certificate			
15	No. G 13598,			
16	Respondent.			
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18	TO A TOMOTORY			
19	PARTIES A secretion solely in his official capacity			
20	1. William Prasifka (Complainant) brings this Accusation solely in his official capacity			
21	as the Executive Director of the Medical Board of California, Department of Consumer Affairs			
22	(Board).			
23	2. On or about July 28, 1967, the Medical Board issued Physician's and Surgeon's			
24	Certificate Number G 13598 to Carl R. Ahroon, M.D. (Respondent). The Physician's and			
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26	the standard on June 30, 2024 unless renewed.			
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	(CARL R. AHROON, M.D.) ACCUSATION NO. 800-2019-056375			

laws. All section references are to the Business and Professions Code (Code) unless otherwise

This Accusation is brought before the Board, under the authority of the following

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indicated.

4. Section 2227 of the Code states:

(a) A licensee whose matter has been heard by an administrative law judge of the Medical Quality Hearing Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found guilty, or who has entered into a stipulation for disciplinary action with the board, may, in accordance with the provisions of this chapter:

- (1) Have his or her license revoked upon order of the board.
- (2) Have his or her right to practice suspended for a period not to exceed one year upon order of the board.
- (3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
- (4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
- (5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
- (b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1.

5. Section 2228.1 of the Code states:

- (a) On and after July 1, 2019, except as otherwise provided in subdivision (c), the board and the Podiatric Medical Board of California shall require a licensee to provide a separate disclosure that includes the licensee's probation status, the length of the probation, the probation end date, all practice restrictions placed on the licensee by the board, the board's telephone number, and an explanation of how the patient can find further information on the licensee's probation on the licensee's profile page on the board's online license information internet website, to a patient or the patient's guardian or health care surrogate before the patient's first visit following the probationary order while the licensee is on probation pursuant to a probationary order made on and after July 1, 2019, in any of the following circumstances:
- (1) A final adjudication by the board following an administrative hearing or admitted findings or prima facie showing in a stipulated settlement establishing any of the following:

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for which the patient took 25 mcg levothyroxine; anxiety, related to which the patient had an echocardiogram performed; a prior breast augmentation, which was later reduced; and endometriosis, which was being treated by her gynecologist. Related to the endometriosis, the patient reported depression associated with menstruation, for which she was prescribed antidepressants that she did not take. Respondent stated in an investigative interview that he performed a physical examination, but no such examination is documented in the patient's chart, other than height, weight, and blood pressure. Respondent stated in the interview that he "looked at" the patient's breasts and that she "showed" him her breasts, but Respondent did not document any breast examination. Respondent ordered lab work for the patient.

- 11. On or about November 15, 2018, the patient next presented to Respondent.

 Respondent did not document a chief complaint or reason for the visit. Respondent documented "irregular menses" but did not document a history or treatment plan related to this complaint.

 Respondent documented the results of the patient's lab work. In the section of his note for "Review of Symptoms," Respondent drew a line and made an illegible mark.
- Respondent noted "depression, anxiety," and complaints of sore throat and trouble breathing.

 Respondent prescribed the antidepressant sertraline and the benzodiazepine Xanax for anxiety.

 Respondent also prescribed 30 tabs of the opioid Tramadol, but did not document a reason for this prescription other than "need." Xanax and Tramadol are both controlled substances. Respondent did not document a treatment plan. Respondent made a diagnosis of "hoarseness" and counseled the patient on respiratory health. Under "Review of Symptoms," Respondent again drew a line and made an illegible mark. Respondent made a requisition for a stat chest x-ray, but did not document a reason for this referral or any subsequent review of a chest x-ray.
- 13. On or about June 4, 2019, the patient presented to Respondent for the fourth and final time. The patient complained of earache and gastrointestinal distress. Respondent examined the patient's ear and noted no inflammation and good hearing. Under "Review of Symptoms," Respondent again drew a line and made an illegible mark. The following day, the patient filed a complaint with the Board.

14. On or about February 25, 2020, Respondent sent a letter to the patient which read, "I feel we would both be best served if you found another doctor. The termination of our physician/patient relationship will be effective immediately. I will be available for emergent care only for 30 days." Respondent did not document a reason for terminating the physician-patient relationship. In an investigative interview, Respondent stated that he terminated the relationship because the patient was "not completely stable," but Respondent did not document that concern in his chart.

FIRST CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

- 15. Respondent Carl R. Ahroon, M.D. is subject to disciplinary action under section 2234, subdivision (c), in that he engaged in repeated acts of negligence. The circumstances are set forth in paragraphs 10 through 14, above, which are incorporated here by reference. Additional circumstances are as follows:
- 16. The standard of care requires that a primary care physician document a chief complaint or reason for a patient visit, a patient history, a review of systems, relevant past medical treatment, an assessment or diagnosis, and a treatment plan. Respondent's repeated failures to fully document each patient visit, and his failure to document a reason for terminating the physician-patient relationship, individually and collectively, constitute negligence.
- 17. The standard of care for prescribing controlled substances requires that the prescribing physician document a reason for the prescription, a diagnosis or assessment, and a treatment plan. The standard of care requires that the prescribing physician check CURES² when prescribing a controlled substance. Beginning January 1, 2019, when prescribing an opioid and benzodiazepine concurrently, a physician must educate the patient regarding the use of naloxone hydrochloride or another similar drug for the complete or partial reversal of opioid depression. Respondent prescribed the opioid Tramadol and the benzodiazepine Xanax simultaneously, but

² CURES (Controlled Substance Utilization Review and Evaluation System) is a database of Schedule II, Schedule IV and Schedule V controlled substance prescriptions dispensed in California serving the public health, regulatory oversight agencies, and law enforcement.

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1	failed to document a treatment plan or to educate the patient regarding the use of naloxone		
2	hydrochloride or another similar drug, failed to check CURES, and failed to document a medical		
3	reason for prescribing Tramadol. These failures, individually and collectively, constitute		
4	negligence.		
5	SECOND CAUSE FOR DISCIPLINE		
6	(Recordkeeping)		
7.	18. Respondent Carl R. Ahroon, M.D. is subject to disciplinary action under section 2266		
8	in that he failed to maintain adequate and accurate records related to Patient 1. The circumstances		
9	are set forth in paragraphs 10 through 17, above, which are incorporated here by reference.		
10	PRAYER		
11	WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,		
12	and that following the hearing, the Medical Board of California issue a decision:	}	
13	1. Revoking or suspending Physician's and Surgeon's Certificate Number G 13598,		
14	issued to Carl R. Ahroon, M.D.;		
15	2. Revoking, suspending or denying approval of Carl R. Ahroon, M.D.'s authority to		
16	supervise physician assistants and advanced practice nurses;		
17	3. Ordering Carl R. Ahroon, M.D., to pay the Board the costs of the investigation and		
18	enforcement of this case, and if placed on probation, the costs of probation monitoring;		
19	4. Ordering Respondent Carl R. Ahroon, M.D., if placed on probation, to provide patier	it	
20	notification in accordance with Business and Professions Code section 2228.1; and		
21	5. Taking such other and further action as deemed necessary and proper.		
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23	DATED: JUN 0 2 2022 WILLIAM PRASIYKA		
24	Executive Director Medical Board of California		
25	Department of Consumer Affairs State of California		
26	A must meet		
27	FR2022302103		
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