BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

Case No.: 800-2019-056058

In the Matter of the First Amended Accusation Against:

Alvaro Rojas, M.D.

Physician's and Surgeon's Certificate No. A 26089

Respondent.

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on July 24, 2023.

IT IS SO ORDERED: June 23, 2023.

MEDICAL BOARD OF CALIFORNIA

Richard E. Thorp, M.D., Chair

Panel B

1	ROB BONTA Attorney General of California		
2	ROBERT MCKIM BELL Supervising Deputy Attorney General WENDY WIDLUS Deputy Attorney General State Bar No. 82958 California Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 269-6457 Facsimile: (916) 731-2117 E-mail: Wendy.Widlus@doj.ca.gov Attorneys for Complainant		
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8	Anorneys for Complainani-		
9	BEFORE THE		
10	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
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13	In the Matter of the Accusation Against:	Case No. 800-2019-056058	
14	ALVARO ROJAS, M.D. 2912 Queensbury Drive	OAH No. 2022070331	
15	Los Angeles, CA 90064	STIPULATED SETTLEMENT AND	
16	Physician's and Surgeon's Certificate No. A 26089,	DISCIPLINARY ORDER	
17	Respondent.		
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19	,		
20	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-		
21	entitled proceedings that the following matters are	e true:	
22	<u>PARTIES</u>		
23	1. Reji Varghese (Complainant) is the Deputy Director of the Medical Board of		
24	California (Board). He brought this action solely in his official capacity and is represented in this		
25	matter by Rob Bonta, Attorney General of the State of California, by Wendy Widlus, Deputy		
26	Attorney General.		
27	2. Respondent Alvaro Rojas, M.D. (Respondent) is represented in this proceeding by		
28	attorney Hagop Kuyumjian, whose address is: 515 S. Flower Street, Suite 1900, Los Angeles,		
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CA 90071, and attorney Joseph Albert Gutierrez, whose address is: 1150 S. Olive Street, Suite 600, Los Angeles, CA 90015-1486.

3. On or about July 15, 1974, the Board issued Physician's and Surgeon's Certificate No. A 26089 to Alvaro Rojas, M.D. (Respondent). The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in First Amended Accusation No. 800-2019-056058, and will expire on September 30, 2022, unless renewed.

JURISDICTION

- 4. First Amended Accusation No. 800-2019-056058 was filed before the Board, and is currently pending against Respondent. The First Amended Accusation and all other statutorily required documents were properly served on Respondent on May 3, 2022. Respondent timely filed his Notice of Defense contesting the First Amended Accusation.
- 5. A copy of First Amended Accusation No. 800-2019-056058 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in First Amended Accusation No. 800-2019-056058. Respondent has also carefully read, fully discussed with his counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the First Amended Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. Respondent understands and agrees that the charges and allegations in First Amended Accusation No. 800-2019-056058, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate Number A 26089.
- 10. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.
- 11. For the purpose of resolving the First Amended Accusation No. 800-2019-056058 without the expense and uncertainty of further proceedings, Respondent does not contest that, at an administrative hearing, complainant could establish a *prima facie* case with respect to the charges and allegations contained in First Amended Accusation No. 800-2019-056058.
- 12. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- 13. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 14. Respondent agrees that if he ever petitions for early termination or modification of probation, or if an First Amended Accusation and/or petition to revoke probation is filed against him before the Board, all of the charges and allegations contained in First Amended Accusation

No. 800-2019-056058 shall be deemed true, correct and fully admitted by Respondent for purposes of any such proceeding or any other licensing proceeding involving Respondent in the State of California.

- 15. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order shall have the same force and effect as the originals.
- 16. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 26089 issued to Respondent ALVARO ROJAS, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for five (5) years on the following terms and conditions:

- 1. <u>EDUCATION COURSE</u>. Within 60 calendar days of the effective date of this Decision, and on an annual basis thereafter, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours per year, for each year of probation. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge, which shall include practice management, and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of CME of which 40 hours were in satisfaction of this condition.
- 2. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a professionalism program, that meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1.

 Respondent shall participate in and successfully complete that program. Respondent shall

provide any information and documents that the program may deem pertinent. Respondent shall successfully complete the classroom component of the program not later than six (6) months after Respondent's initial enrollment, and the longitudinal component of the program not later than the time specified by the program, but no later than one (1) year after attending the classroom component. The professionalism program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A professionalism program taken after the acts that gave rise to the charges in the First Amended Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the program or not later than 15 calendar days after the effective date of the Decision, whichever is later.

3. MONITORING - PRACTICE. Within 30 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for prior approval as a practice monitor, the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision and First Amended Accusation, and a proposed monitoring plan. Within 15 calendar days of receipt of the Decision and First Amended Accusation, and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision and First Amended Accusation, fully understands the role of a monitor, and agrees or disagrees with the proposed

monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement for approval by the Board or its designee.

Within 60 calendar days of the effective date of this Decision, and continuing throughout probation, Respondent's practice shall be monitored by the approved monitor. Respondent shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

If Respondent fails to obtain approval of a monitor within 60 calendar days of the effective date of this Decision, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a monitor is approved to provide monitoring responsibility.

The monitor(s) shall submit a quarterly written report to the Board or its designee which includes an evaluation of Respondent's performance, indicating whether Respondent's practices are within the standards of practice of medicine, and whether Respondent is practicing medicine safely, billing appropriately or both. It shall be the sole responsibility of Respondent to ensure that the monitor submits the quarterly written reports to the Board or its designee within 10 calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, Respondent shall, within 5 calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within 15 calendar days. If Respondent fails to obtain approval of a replacement monitor within 60 calendar days of the resignation or unavailability of the monitor, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

In lieu of a monitor, Respondent may participate in a professional enhancement program approved in advance by the Board or its designee that includes, at minimum, quarterly chart

review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of probation.

STANDARD CONDITIONS

4. <u>NOTIFICATION</u>. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and First Amended Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 5. <u>SUPERVISION OF PHYSICIAN ASSISTANTS AND ADVANCED PRACTICE</u>

 <u>NURSES.</u> During probation, Respondent is prohibited from supervising physician assistants and advanced practice nurses.
- 6. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
- 7. <u>INVESTIGATION/ENFORCEMENT COST RECOVERY</u>. Respondent is hereby ordered to reimburse the Board its costs of investigation and enforcement, including, but not limited to, expert review, amended First Amended Accusations, legal reviews, investigation(s), and subpoena enforcement, as applicable, in the amount of \$25,985.00 (twenty-five thousand dollars and no cents). Costs shall be payable to the Medical Board of California. Failure to pay such costs shall be considered a violation of probation.

Payment must be made in full within 30 calendar days of the effective date of the Order, or by a payment plan approved by the Medical Board of California. Any and all requests for a payment plan shall be submitted in writing by respondent to the Board. Failure to comply with

the payment plan shall be considered a violation of probation.

The filing of bankruptcy by respondent shall not relieve respondent of the responsibility to repay investigation and enforcement costs, including expert review costs (if applicable).

8. <u>QUARTERLY DECLARATIONS</u>. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

9. GENERAL PROBATION REQUIREMENTS.

Compliance with Probation Unit

Respondent shall comply with the Board's probation unit.

Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021, subdivision (b).

Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

- 10. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If Respondent resides in California and is considered to be in non-practice, Respondent shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve Respondent from complying with all the terms and conditions of probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete the Federation of State Medical Boards's Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years.

Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for a Respondent residing outside of California will relieve

Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or Controlled Substances; and Biological Fluid Testing..

- 12. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. This term does not include cost recovery, which is due within 30 calendar days of the effective date of the Order, or by a payment plan approved by the Medical Board and timely satisfied. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- 13. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an First Amended Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 14. <u>LICENSE SURRENDER</u>. Following the effective date of this Decision, if
 Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy
 the terms and conditions of probation, Respondent may request to surrender his or her license.
 The Board reserves the right to evaluate Respondent's request and to exercise its discretion in
 determining whether or not to grant the request, or to take any other action deemed appropriate
 and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent
 shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its
 designee and Respondent shall no longer practice medicine. Respondent will no longer be subject
 to the terms and conditions of probation. If Respondent re-applies for a medical license, the
 application shall be treated as a petition for reinstatement of a revoked certificate.
 - 15. PROBATION MONITORING COSTS. Respondent shall pay the costs associated

with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

16. FUTURE ADMISSIONS CLAUSE. If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing action agency in the State of California, all of the charges and allegations contained in First Amended Accusation No. 800-2019-056058 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict license.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorneys, Hagop Kuyumjian and Joseph Gutierrez. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

Respondent

I have read and fully discussed with Respondent Alvaro Rojas, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED: 4/5/2023

JOSEPH ALBERT GUYTERI Antorney for Respondent

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California.

DATED: April 6, 2023

Stipulated Settlement and Discipline Order thirdRojas.docx

Respectfully submitted,

ROB BONTA Attorney General of California ROBERT MCKIM BELL Supervising Deputy Attorney General

Wendy Widlus

WENDY WIDLUS Deputy Attorney General Attorneys for Complainant

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LA2020603385

Exhibit A

First Amended Accusation No. 800-2019-056058

1	ROB BONTA		
2	Attorney General of California ROBERT MCKIM BELL	 9?	
3	Supervising Deputy Attorney General WENDY WIDLUS		
4	Deputy Attorney General State Bar No. 82958	•	
	California Department of Justice		
5	300 So. Spring Street, Suite 1702 Los Angeles, California 90013	•	
6	Telephone: (213) 269-6457 Facsimile: (916) 731-2117	·	
7	E-mail: Wendy.Widlus@doj.ca.gov Attorneys for Complainant		
8	BEFORE THE MEDICAL BOARD OF CALIFORNIA		
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12	In the Matter of the Accusation Against:	Case No. 800-2019-056058	
13	ALVARO ROJAS, M.D. 2912 Queensbury Drive	FIRST AMENDED ACCUSATION	
14	Los Angeles, California 90064	<u>'</u> ''	
15	Physician's and Surgeon's Certificate A 26089,		
16	Respondent.		
17			
18		•	
19	PARTIES		
20	1. William Prasifka (Complainant) bring	s this Accusation solely in his official capacity	
21	as the Executive Director of the Medical Board of California, Department of Consumer Affairs		
22	(Board).		
23	2. On July 15, 1974, the Board issued Pl	nysician's and Surgeon's Certificate Number A	
24	26089 to Alvaro Rojas, M.D. (Respondent). That license was in full force and effect at all times		
25	relevant to the charges brought herein and will expire on September 30, 2022, unless renewed.		
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JURISDICTION

- 3. This Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
 - 4. Section 2001.1 of the Code states:

Protection of the public shall be the highest priority for the Medical Board of California in exercising its licensing, regulatory, and disciplinary functions. Whenever the protection of the public is inconsistent with other interests sought to be promoted, the protection of the public shall be paramount.

5. Section 2004 of the Code states:

The board shall have the responsibility for the following:

- (a) The enforcement of the disciplinary and criminal provisions of the Medical Practice Act.
 - (b) The administration and hearing of disciplinary actions.
- (c) Carrying out disciplinary actions appropriate to findings made by a panel or an administrative law judge.
- (d) Suspending, revoking, or otherwise limiting certificates after the conclusion of disciplinary actions.
- (e) Reviewing the quality of medical practice carried out by physician and surgeon certificate holders under the jurisdiction of the board.
 - (f) Approving undergraduate and graduate medical education programs.
- (g) Approving clinical clerkship and special programs and hospitals for the programs in subdivision (f).
 - (h) Issuing licenses and certificates under the board's jurisdiction.
 - (i) Administering the board's continuing medical education program.
- 6. Section 2227 of the Code states:
- (a) A licensee whose matter has been heard by an administrative law judge of the Medical Quality Hearing Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found guilty, or who has entered into a stipulation for disciplinary action with the board, may, in accordance with the provisions of this chapter:
 - (1) Have his or her license revoked upon order of the board.
 - (2) Have his or her right to practice suspended for a period not to exceed one

year upon order of the board.

- (3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
- (4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
- (5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
- (b) Any matter heard pursuant to subdivision (a), except for warning letters," medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1.

7. Section 2266 of the Code states:

The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct

8. Section 2234 of the Code, states:

The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- (a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - (b) Gross negligence.
- (c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- (1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- (2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
 - (d) Incompetence.
 - (e) The commission of any act involving dishonesty or corruption that is

that, surgical technology is not regulated in the State of California.

- 12. In California, the only educational requirement for a surgical technician is that the person possess a high school diploma or equivalent. Completing a surgical technology training program is not mandatory to work as a surgical technician within the State of California.
- 13. In California, it is not permissible under any circumstance for a physician to allow a surgical technician to provide outpatient or clinical care in a physician's office, even if the surgical technician is supervised.
- 14. Necrosis is defined as the death of body tissue. It occurs when too little blood flows to the tissue. This can result from injury, radiation, or chemicals. Necrosis cannot be reversed.

 When large areas of tissue die due to a lack of blood supply, the condition is called gangrene.

FACTUAL SUMMARY

- 15. Respondent is a practicing plastic surgeon.
- 16. Mario Sanchez [Sanchez] is not licensed to practice medicine in the State of California. Respondent occasionally employed Sanchez as a surgical technician.
- 17. Patient 1 (P-1)² was referred to Sanchez by a friend of hers and consulted with Sanchez for liposuction and breast augmentation surgery at Respondent's clinic, Clinica Integral de Salud y Belleza (CISB), located at 11605 Valley Blvd., #201, El Monte, California 91732.
- During the consultation Sanchez informed P-1 that he was not a licensed doctor in California but was licensed in Mexico. Sanchez also informed P-1 that he could perform the surgeries in California or in Mexico. According to Sanchez, the surgeries would cost less if performed in Mexico.
- 19. In or about February 2017, Sanchez performed breast augmentation and liposuction on P-1 in Tijuana, Mexico. After the surgery, Sanchez conducted post-operative appointments at CISB, which included removing sutures from both of the breasts and reapplying the sutures.

² For the purpose of privacy, the patient in this Accusation is referred to as Patient 1. Respondent is aware of the identity of Patient 1.

- 20. After this surgery, P-1 noticed one of her breasts was lower than the other. P-1 decided to undergo an additional surgery to correct the abnormality. On or about September 6, 2017, P-1 underwent a second breast augmentation and liposuction surgery in Tijuana, Mexico.
- 21. On or about September 7, 2017, Sanchez treated P-1 at her home in La Habra, California, by examining the surgical site and changing her surgery dressing. P-1 scheduled another post-operative appointment with Sanchez at Respondent's office on September 14, 2017.
- 22. On or about September 13, 2017, P-1 noticed that one of her areolas had turned black. On September 14, 2017, P-1 attended a post-operative appointment with Sanchez at a medical office in Paramount, California, with which Respondent had no connection. However, Respondent was present during that appointment and assisted during the post-operative appointment.
- 23. During this appointment, Sanchez told P-1 to buy surgical soap and to clean her areola with warm water. Sanchez also advised P-1 that she needed a skin graft.
- 24. On or about September 16, 2017, P-1 went to an emergency room for the black areola and she was informed that her areola was necrotic.
- 25. On or about September 20, 2017, Sanchez contacted P-1 and instructed her to go to the medical office in Paramount, California, where Respondent would evaluate her and go over treatment options.
- 26. Thereafter P-1 consulted with a different surgeon and ultimately had her implant removed and her necrosis treated.
- 27. After September 16, 2017, P-1 repeatedly attempted to contact Sanchez to obtain her medical records. In due course, one of Respondent's office staff told P-1 that Respondent did not have a copy of her medical records because Sanchez took all of his medical records with him when he stopped working at Respondent's practice.

FIRST CAUSE FOR DISCIPLINE

(Gross Negligence)

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28. Respondent is subject to disciplinary action under Code section 2234, subdivision (b), in that he was grossly negligent in his care and treatment of Patient 1. The circumstances and

allegations set forth in Paragraphs 11 through 27, above, are incorporated as if fully set forth herein and as follows:

- A. In California, it is not permissible under any circumstance for a physician to allow a surgical technician to provide outpatient or clinical care in a physician's office, even if the surgical technician is supervised. Respondent repeatedly permitted Sanchez to provide post-operative care to P-1.
- B. It is the standard of care for a physician to create clearly understandable procedure notes and to document the results of treatment in follow-up progress notes:
- C. It is the standard of care for a physician to keep thorough medical records including documentation of patient consultations, photographs, decision making and goals for treatment, and patient consents. Respondent failed to keep accurate and adequate medical records of the treatment he and Sanchez provided to P-1 on September 20, 2017.

SECOND CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

29. Respondent is subject to disciplinary action under Code section 2234, subdivision (c), in that he engaged in repeated negligent acts in his care and treatment of P-1. The circumstances and allegations set forth in Paragraphs 11 through 28, above, are incorporated by reference and re-alleged as if fully set forth herein.

THIRD CAUSE FOR DISCIPLINE

(Aiding and Abetting the Unlicensed Practice of Medicine)

- 30. By reason of the facts set forth in paragraphs 11 through 23, inclusive, Respondent is subject to disciplinary action under section 2052, subdivision (b), of the Code, in that he aided and abetted the unlicensed practice of medicine by Mario Sanchez.
- 31. Respondent's acts and/or omissions as set forth in paragraphs 11 through 29, inclusive, whether individually, jointly, or in any combination thereof, constitute aiding and abetting the practice of medicine without a license. Therefore, cause for discipline exists.

FOURTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct)

32. By reason of the facts set forth in paragraphs 11 through 31, inclusive, Respondent is subject to disciplinary action under section 2234, subdivision (a), of the Code, in that he has engaged in unprofessional conduct when he violated section 2052, subdivision (b), of the Code by aiding and abetting the practice of medicine without a license.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate Number A 26089, issued to Respondent;
- 2. Revoking, suspending or denying approval of Respondent Alvaro Rojas, M.D.'s authority to supervise physician assistants and advanced practice nurses;
- 3. If placed on probation, ordering Respondent Alvaro Rojas to pay the Board reasonable costs of investigation and prosecution incurred after January 1, 2022;
- 4 If placed on probation, ordering Respondent Alvaro Rojas to pay the Board the costs of probation monitoring; and
 - 5. Taking such other and further action as deemed necessary and proper.

DATED: MAY 0 3 2022

Executive Director/
Medical Board of California
Department of Consumer Affairs

State of California

Complainant

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