# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Second Amended Accusation Against:

Basil Colin Hamblin , M.D.

Physician's & Surgeon's Certificate No. A 76893

Respondent.

Case No. 800-2018-045329

## **DECISION**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on November 17, 2022.

IT IS SO ORDERED: October 18, 2022.

MEDICAL BOARD OF CALIFORNIA

Laurie Rose Lubiano, J.D., Chair

Panel A

1	ROB BONTA		
2	Attorney General of California  MARY CAIN-SIMON		
3	Supervising Deputy Attorney General GREG W. CHAMBERS		
4	Deputy Attorney General State Bar No. 237509		
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004	•	
6	Telephone: (415) 510-3382 Facsimile: (415) 703-5480		
7	Attorneys for Complainant		
8	REFOR	r Tur	
9	BEFORE THE MEDICAL BOARD OF CALIFORNIA		
10	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
11		•	
12	In the Matter of the Second Amended	Case No. 800-2018-045329	
13	Accusation Against:		
14	BASIL COLIN HAMBLIN, M.D. 11150 State Route One	STIPULATED SETTLEMENT AND	
15	P.O. Box 240 Point Reyes Station, CA 94956-0240	DISCIPLINARY ORDER	
16	Physician's and Surgeon's Certificate No. A 76893		
17	Respondent.		
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20	In the interest of a prompt and speedy settlement of this matter, consistent with the public		
21	interest and the responsibility of the Medical Board of California of the Department of Consumer		
22	Affairs, the parties hereby agree to the following Stipulated Settlement and Disciplinary Order		
23	which will be submitted to the Board for approval and adoption as the final disposition of the		
24	Accusation.		
25	<u>PARTIES</u>		
26	1. William Prasifka (Complainant) is the Executive Director of the Medical Board of		
27	California (Board). He brought this action solely in his official capacity and is represented in this		
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matter by Rob Bonta, Attorney General of the State of California, by Greg W. Chambers, Deputy Attorney General.

2. Respondent Basil Colin Hamblin, M.D. (Respondent) is represented in this proceeding by attorney Ronald P. Goldman, Esq., 55 Main Street, Tiburon, CA 94920.

#### **JURISDICTION**

- 3. On or about October 26, 2001, the Board issued Physician's and Surgeon's Certificate No. A 76893 to Basil Colin Hamblin, M.D. (Respondent). The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2018-045329, as wells as those charges brought in the subsequent First Amended Accusation and Second Amended Accusation, and will expire on August 31, 2023, unless renewed.
- 4. Accusation No. 800-2018-045329 was filed before the Board, and was properly served on Respondent, along with all other statutorily required documents. Respondent timely filed his Notice of Defense contesting the Accusation. The First Amended Accusation and the Second Amended Accusation were subsequently filed by the Board and the Second Amended Accusation is currently pending against Respondent.
- 5. A copy of Second Amended Accusation No. 800-2018-045329 is attached as exhibit A and incorporated herein by reference.

#### ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Second Amended Accusation No. 800-2018-045329. Respondent has also carefully read, fully discussed with his counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Second Amended Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision;

and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

#### **CULPABILITY**

- 9. Respondent understands and agrees that the charges and allegations in the Second Amended Accusation No. 800-2018-045329, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's certificate.
- 10. For the purpose of resolving the Second Amended Accusation without the expense and uncertainty of further proceedings, Respondent understands and agrees that, at a hearing, Complainant could establish a *prima facie* case for the charges and allegations in Second Amended Accusation No. 800-2018-045329, and that Respondent hereby gives up his right to contest those charges. Respondent further agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

#### **CONTINGENCY**

- 11. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.

13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

#### **DISCIPLINARY ORDER**

#### A. PUBLIC REPRIMAND

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 76893 issued to Respondent Basil Colin Hamblin, M.D. shall be and hereby is publicly reprimanded pursuant to California Business and Professions Code section 2227, subdivision (a)(4). This public reprimand is issued in connection with the allegations pertaining to patients P-3 and P-4 made in Second Amended Accusation No. 800-2018-045329, which concerned Respondent's record keeping and management of a patient with chronic pain who was prescribed controlled substances, and Respondent's record keeping and management of a patient with anxiety and chronic pain who was prescribed controlled substances.

B. RECORD KEEPING COURSE. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping approved in advance by the Board or its designee. Respondent shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its

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1	I have read and fully discussed with Re	espondent Basil Colin Hamblin, M.D. the terms and
2	conditions and other matters contained in the	above Stipulated Settlement and Disciplinary Order
3	I approve its form and content.	
4	DATED: 9/22/2022 (.	-analal V. Kaldy
5	RON Atto	NALD P. GOLDMAN, ESQ. rney for Respondent
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7	ENDORSEMENT	
8	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully	
9	submitted for consideration by the Medical Board of California.	
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11	DATED: September 22, 2022	Respectfully submitted,
12		ROB BONTA
13		Attorney General of California MARY CAIN-SIMON
14		Supervising Deputy Attorney General
15	·	Greg W. Chambers
16		GREG W. CHAMBERS Deputy Attorney General
17		Attorneys for Complainant
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# Exhibit A

Second Amended Accusation No. 800-2018-045329

1	ROB BONTA		
2	Attorney General of California MARY CAIN-SIMON		
3	Supervising Deputy Attorney General GREG W. CHAMBERS	•	
4	Deputy Attorney General State Bar No. 237509		
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004		
6	Telephone: (415) 510-3382 Facsimile: (415) 703-5480		
7	Attorneys for Complainant	·	
8	BEFORE THE		
9	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS		
10	STATE OF CALIFORNIA		
11			
12	In the Matter of the Second Amended	Case No. 800-2018-045329	
13	Accusation Against:	SECOND AMENDED ACCUSATION	
14	Basil Colin Hamblin, M.D. 11150 State Route One		
15	P.O. Box 240 Point Reyes Station, CA 94956-0240		
16	Physician's and Surgeon's Certificate No. A 76893,		
17	Respondent.		
18			
19			
20	PART		
21	1. William Prasifka (Complainant) brings this Second Amended Accusation solely in his		
22	official capacity as the Executive Director of the Medical Board of California, Department of		
23	Consumer Affairs (Board).		
24	2. On October 26, 2001, the Medical Board issued Physician's and Surgeon's Certificate		
25	Number A 76893 to Basil Colin Hamblin, M.D. (Respondent). The Physician's and Surgeon's		
26	Certificate was in full force and effect at all times relevant to the charges brought herein and will		
27	expire on August 31, 2023, unless renewed.		
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#### **JURISDICTION**

- 3. This Second Amended Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
  - 4. Section 125.3 of the Code states that:
- (a) Except as otherwise provided by law, in any order issued in resolution of a disciplinary proceeding before any board within the department or before the Osteopathic Medical Board upon request of the entity bringing the proceeding, the administrative law judge may direct a licensee found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.
- (b) In the case of a disciplined licentiate that is a corporation or a partnership, the order may be made against the licensed corporate entity or licensed partnership.
- (c) A certified copy of the actual costs, or a good faith estimate of costs where actual costs are not available, signed by the entity bringing the proceeding or its designated representative shall be prima facie evidence of reasonable costs of investigation and prosecution of the case. The costs shall include the amount of investigative and enforcement costs up to the date of the hearing, including, but not limited to, charges imposed by the Attorney General.
- (d) The administrative law judge shall make a proposed finding of the amount of reasonable costs of investigation and prosecution of the case when requested pursuant to subdivision (a). The finding of the administrative law judge with regard to costs shall not be reviewable by the board to increase the cost award. The board may reduce or eliminate the cost award, or remand to the administrative law judge if the proposed decision fails to make a finding on costs requested pursuant to subdivision (a).
- (e) If an order for recovery of costs is made and timely payment is not made as directed in the board's decision, the board may enforce the order for repayment in any appropriate court. This right of enforcement shall be in addition to any other rights the board may have as to any licensee to pay costs.
  - (f) In any action for recovery of costs, proof of the board's decision shall be conclusive

proof of the validity of the order of payment and the terms for payment.

- (g)(1) Except as provided in paragraph (2), the board shall not renew or reinstate the license of any licensee who has failed to pay all of the costs ordered under this section.
- (2) Notwithstanding paragraph (1), the board may, in its discretion, conditionally renew or reinstate for a maximum of one year the license of any licensee who demonstrates financial hardship and who enters into a formal agreement with the board to reimburse the board within that one-year period for the unpaid costs.
- (h) All costs recovered under this section shall be considered a reimbursement for costs incurred and shall be deposited in the fund of the board recovering the costs to be available upon appropriation by the Legislature.
- (i) Nothing in this section shall preclude a board from including the recovery of the costs of investigation and enforcement of a case in any stipulated settlement.
- (j) This section does not apply to any board if a specific statutory provision in that board's licensing act provides for recovery of costs in an administrative disciplinary proceeding.
- 5. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
  - 6. Section 2234 of the Code, states:

The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- (a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - (b) Gross negligence.
- (c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.

- (1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- (2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
  - (d) Incompetence.
- (e) The commission of any act involving dishonesty or corruption that is substantially related to the qualifications, functions, or duties of a physician and surgeon.
  - (f) Any action or conduct that would have warranted the denial of a certificate.
- (g) The failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board.
- 7. Section 2266 of the Code states: The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.

#### **COST RECOVERY**

8. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licensee found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case, with failure of the licensee to comply subjecting the license to not being renewed or reinstated. If a case settles, recovery of investigation and enforcement costs may be included in a stipulated settlement.

#### FACTUAL ALLEGATIONS

9. At all times relevant to this matter, Respondent was licensed and practicing medicine in California.

## PATIENT P-31

- 10. Patient P-3<sup>2</sup>, a male born in 1972, first saw Respondent on or about March 22, 2016 for chronic back pain due to a high fall, resulting in spinal injuries. P-3 reported he was homeless. In addition to pain treatment, P-3 was also under the care of a psychiatrist for treatment for anxiety. A review of CURES showed P-3 was prescribed Adderall<sup>3</sup>, Xanax, Valium<sup>4</sup>, Dilaudid<sup>5</sup>, and oxycodone.
- 11. On September 12, 2017, P-3 tested positive for amphetamine, benzodiazepines, opiates hydromorphone, and marijuana.
- 12. On February 21, 2018, P-3 told Respondent that he was unable to get an epidural injection, which was recommended by orthopedics for his back pain. Respondent did not document the status of P-3's back pain or anxiety. Respondent did not follow-up on the missed epidural treatment.
- 13. On August 13, 2018, P-3 reported some of P-3's medications were denied by his insurance and P-3 was having increased pain due to "medication changes." There was no documentation regarding what medications were being taken by P-3 and which medications were discontinued or reduced due to insurance coverage denial.
- 14. On August 14, 2018, P-3 tested positive for his prescription drugs, but also tested positive for both marijuana and alcohol.

<sup>&</sup>lt;sup>1</sup> The patients are designated in this document as Patients P-3, and P-4 to protect their privacy. Respondent knows the names of the patients and can confirm their identities through discovery.

<sup>&</sup>lt;sup>2</sup> Patients P-1 and P-2 have been omitted from this Second Amended Accusation. The identities and numbering system for Patients P-3 and P-4 remain the same for the sake of preserving consistency.

<sup>&</sup>lt;sup>3</sup> Adderall is the trade name for amphetamine-dextroamphetamine, a Schedule II controlled substance primarily used to treat attention deficit disorder.

<sup>&</sup>lt;sup>4</sup> Valium, a trade name for diazepam, is a psychotropic drug of the benzodiazepine class that is used for the management of anxiety disorders or for the short-term relief of the symptoms of anxiety. It is a Schedule IV controlled substance as defined by section 11057 of the Health and Safety Code and by section 1308.14 of Title 21 of the Code of Federal Regulations, and is a dangerous drug as defined in Code section 4022. Diazepam can produce psychological and physical dependence and it should be prescribed with caution particularly to addiction-prone individuals (such as drug addicts and alcoholics) because of the pre-disposition of such patients to habituation and dependence.

<sup>&</sup>lt;sup>5</sup> Dilaudid is a trade name for hydromorphone and is an opioid analgesic used to treat moderate to severe pain. It is a Schedule II controlled substance and a dangerous drug as defined in section 4022. Dilaudid has a central nervous system depressant effect. It can produce drug dependence and, therefore, has the potential for being abused.

15. On October 31, 2018, Respondent renewed P-3's prescriptions for Xanax, Valium, oxycodone, and Dilaudid. Respondent noted that P-3 was undergoing a "forced drug reduction trial" but did not clarify exactly which medications and at what dosages and frequencies P-3 was taking the prescribed drugs. Respondent did not discuss P-3's alcohol use, or the dangers of mixing alcohol with concurrent, multiple benzodiazepines and opioids.

#### FIRST CAUSE FOR DISCIPLINE

# (Unprofessional Conduct: Repeated Negligent Acts and/or Failure to Maintain Adequate Records)

- 16. Respondent Basil Colin Hamblin, M.D. is subject to disciplinary action under section 2234, subdivision (c) (repeated negligent acts) and/or 2266 (inadequate records) of the Code in that Respondent engaged in the conduct described in paragraphs 10-15, above, including, but not limited to, the following:
- A. Respondent failed to document discussions with P-3 regarding what medications P-3 was taking and what medications were discontinued due to insurance coverage denial.
- B. Respondent failed to properly respond to the positive drug screening test results, showing P-3 was using both marijuana and alcohol, along with opiates and concurrent use of two benzodiazepines.
- C. Respondent failed to discuss or document any discussion with P-3 regarding P-3's alcohol use, or the dangers of mixing alcohol with concurrent, multiple benzodiazepines and opioids.
- D. Respondent failed to follow-up on P-3's missed appointment for his epidural steroid injection, as recommended by orthopedics for P-3's back pain.
- E. Respondent failed to refer P-3 to a pain management specialist, and/or failed to consult with a pain management specialist.
- F. Respondent's records are incomplete and inadequate and fail to document how P-3 was using his medications.

#### PATIENT P-4

17. Patient P-4, a female born in 1960, first saw Respondent on or about October 16, 2014. P-4 reported chronic pain due to irritable bowel syndrome, arthritis, and degenerative joint

disease in her low back. P-4's pain medication, which she had been on for years, was Dilaudid, which she takes four times a day. She had also been taking Ativan for her anxiety and Wellbutrin<sup>6</sup> for depression. Respondent continued P-4 on these medications.

- 18. On April 8, 2015, P-4 saw Respondent and reported her anxiety had worsened due to her efforts to quit smoking. Respondent doubled her Ativan medication. Respondent did not document how P-4's worsening anxiety was specifically manifesting itself or why such a significant increase in medication was indicated. There was no assessment of functional decline related to anxiety.
- 19. On November 21, 2017, P-4 reported more low back pain as well as pain in her hands and knees. There were no additional work ups for the new pain to P-4's hands and knees. Respondent added methadone 10 mg/twice daily and renewed Dilaudid, 4 mg/four times daily. This represents an increase from 64 daily morphine milligram equivalency (MME) to a total of 144 daily MME. Respondent did not consider non-addictive treatment options such as steroid injections to the knee bursa or knee joint and did not refer P-4 to physical medicine, orthopedics, or pain management.

#### SECOND CAUSE FOR DISCIPLINE

# (Unprofessional Conduct: Repeated Negligent Acts and/or Failure to Maintain Adequate Records)

20. Respondent Basil Colin Hamblin, M.D. is subject to disciplinary action under section 2234, subdivision (c) (repeated negligent acts) and/or 2266 (inadequate records) of the Code in that Respondent engaged in the conduct described in paragraphs 17-19, above, including, but not limited to, the following:

<sup>&</sup>lt;sup>6</sup> Wellbutrin is the trade name for buproprion, a non-controlled, anti-depressant medication.

<sup>&</sup>lt;sup>7</sup> Methadone is an opioid used to treat pain and as maintenance therapy or to help with tapering in people with opioid dependence. It is a dangerous drug as defined in Code section 4022 and a Schedule II controlled substance. Methadone also causes cardiac conduction defects, arrhythmia and can cause respiratory depression and death.

<sup>&</sup>lt;sup>8</sup> MME stands for morphine milligram equivalency. This is used to convert the many different opioids into one standard value based on morphine and its potency. Higher dosages of opioids are associated with higher risk of overdose and death. The CDC guidelines recommend precautions when prescribing at 50 MME per day and suggest avoidance or careful justification of increasing dosages to 90 MME per day. <a href="https://www.cdc.gov/drugoverdose/pdf/calculating\_total\_daily\_dose-a.pdf">https://www.cdc.gov/drugoverdose/pdf/calculating\_total\_daily\_dose-a.pdf</a>. The pain management guidelines provided by the Medical Board of California, revised in November 2014, recommend caution when prescribing MME above 80 mg per day. <a href="https://www.mbc.ca.gov/Download/Publications/pain-guidelines.pdf">https://www.mbc.ca.gov/Download/Publications/pain-guidelines.pdf</a>.

- A. Respondent failed to properly evaluate and manage P-4's anxiety.
- B. Respondent failed to assess P-4's functional decline related to anxiety and did not document how worsening anxiety was manifesting itself during the time period that P-4 was attempting to quit smoking.
- C. Respondent failed to conduct an evaluation to determine the cause and possible treatment options regarding P-4's reported pain in the knees and hands.
- D. Respondent failed to consider non-addictive treatment options for P-4's knee and hand pain, such as steroid injections to the knee bursa or knee joint or referral to physical medicine, orthopedics, or pain management.

## **PRAYER**

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate Number A 76893, issued to Basil Colin Hamblin, M.D.;
- 2. Revoking, suspending or denying approval of Basil Colin Hamblin, M.D.'s authority to supervise physician assistants and advanced practice nurses;
- 3. Ordering Basil Colin Hamblin, M.D., to pay the Board the costs of the investigation and enforcement of this case, and if placed on probation, the costs of probation monitoring; and
  - 4. Taking such other and further action as deemed necessary and proper.

DATED: SEP 0 9 2022

WILLIAM PRASIFY
Executive Director

Medical Board of California

Department of Consumer Affairs

State of California

Complainant