# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

Case No.: 800-2020-065009

In the Matter of the Accusation Against:

Emil A. Saify, M.D.

Physician's and Surgeon's Certificate No. A 92733

Respondent.

#### **DECISION**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on June 24, 2022.

IT IS SO ORDERED: May 25, 2022.

MEDICAL BOARD OF CALIFORNIA

Richard E. Thorp, M.D., Chair

Panel B

- 11		,
1	ROB BONTA	
2	Attorney General of California JUDITH T. ALVARADO	
3	Supervising Deputy Attorney General REBECCA L. SMITH	
4	Deputy Attorney General State Bar No. 179733	
5	300 South Spring Street, Suite 1702 Los Angeles, CA 90013	
	Telephone: (213) 269-6475	
6	Facsimile: (916) 731-2117 Attorneys for Complainant	
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8	BEFORE THE MEDICAL BOARD OF CALIFORNIA	
9	DEPARTMENT OF CONSUMER AFFAIRS	
10	STATE OF C	ALIFORNIA
11		1
12	In the Matter of the Accusation Against:	Case No. 800-2020-065009
13	EMIL A. SAIFY, M.D. 6038 Carlton Way, Number 404	OAH No. 2022010692
14	Hollywood, CA 90028	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER
15	Physician's and Surgeon's Certificate No. A 92733,	DISCIPLINARY ORDER
16	Respondent.	
17		J
18		
19		REED by and between the parties to the above-
20	entitled proceedings that the following matters as	re true:
21	PAR	<u>TIES</u>
22	1. William Prasifka (Complainant) is the	ne Executive Director of the Medical Board of
23	California (Board). He brought this action solely	y in his official capacity and is represented in this
24	matter by Rob Bonta, Attorney General of the St	ate of California, by Rebecca L. Smith, Deputy
25	Attorney General.	
26	2. Respondent Emil A. Saify, M.D. (Re	espondent) is represented in this proceeding by
27	attorney Thomas F. McAndrews, whose address	is 1230 Rosecrans Avenue, Suite 450, Manhattar
28	Beach, California 90266.	
. 20		1
		STIPULATED SETTLEMENT (800-2020-065009)

3. On or about September 2, 2005, the Board issued Physician's and Surgeon's Certificate No. A 92733 to Respondent. That license was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2020-065009, and will expire on September 30, 2023, unless renewed.

#### **JURISDICTION**

- 4. Accusation No. 800-2020-065009 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on December 17, 2021. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2020-065009 is attached as Exhibit A and incorporated herein by reference.

#### ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2020-065009. Respondent has also carefully read, fully discussed with his counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

#### **CULPABILITY**

9. Respondent understands and agrees that the charges and allegations in Accusation No. 800-2020-065009, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate.

- 10. Respondent does not contest that, at an administrative hearing, Complainant could establish a prima facie case with respect to the charges and allegations in Accusation No. 800-2020-065009, a true and correct copy of which is attached hereto as Exhibit A, and that he has thereby subjected his Physician's and Surgeon's Certificate, No. A 92733 to disciplinary action.
- 11. <u>ACKNOWLEDGMENT</u>. Respondent acknowledges the Disciplinary Order below, requiring the disclosure of probation pursuant to Business and Professions Code section 2228.1, serves to protect the public interest.
- 12. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

#### **CONTINGENCY**

- 13. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 14. Respondent agrees that if he ever petitions for early termination or modification of probation, or if an accusation and/or petition to revoke probation is filed against him before the Board, all of the charges and allegations contained in Accusation No. 800-2020-065009 shall be deemed true, correct and fully admitted by Respondent for purposes of any such proceeding or any other licensing proceeding involving Respondent in the State of California.
- 15. This Stipulated Settlement and Disciplinary Order is intended by the parties herein to be an integrated writing representing the complete, final and exclusive embodiment of the

agreement of the parties in this above entitled matter.

- 16. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 17. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

#### **DISCIPLINARY ORDER**

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 92733 issued to Respondent Emil A. Saify, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for two (2) years on the following terms and conditions:

1. MEDICAL RECORD KEEPING COURSE – Condition Satisfied. Within sixty (60) calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping approved in advance by the Board or its designee. Respondent shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than fifteen (15) calendar days after successfully completing the course, or not later than fifteen (15) calendar days after the effective date of the Decision, whichever is later.

2. <u>CLINICAL COMPETENCE ASSESSMENT PROGRAM</u>. Within sixty (60)\_ calendar days of the effective date of this Decision, Respondent shall enroll in a clinical competence assessment program approved in advance by the Board or its designee. Respondent shall successfully complete the program not later than six (6) months after Respondent's initial enrollment unless the Board or its designee agrees in writing to an extension of that time.

The program shall consist of a comprehensive assessment of Respondent's physical and mental health and the six general domains of clinical competence as defined by the Accreditation Council on Graduate Medical Education and American Board of Medical Specialties pertaining to Respondent's current or intended area of practice. The program shall take into account data obtained from the pre-assessment, self-report forms and interview, and the Decision(s), Accusation(s), and any other information that the Board or its designee deems relevant. The program shall require Respondent's on-site participation for a minimum of three (3) and no more than five (5) days as determined by the program for the assessment and clinical education evaluation. Respondent shall pay all expenses associated with the clinical competence assessment program.

At the end of the evaluation, the program will submit a report to the Board or its designee which unequivocally states whether the Respondent has demonstrated the ability to practice safely and independently. Based on Respondent's performance on the clinical competence assessment, the program will advise the Board or its designee of its recommendation(s) for the scope and length of any additional educational or clinical training, evaluation or treatment for any medical condition or psychological condition, or anything else affecting Respondent's practice of medicine. Respondent shall comply with the program's recommendations.

Determination as to whether Respondent successfully completed the clinical competence assessment program is solely within the program's jurisdiction.

If Respondent fails to enroll, participate in, or successfully complete the clinical competence assessment program within the designated time period, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall not resume the practice of medicine until

enrollment or participation in the outstanding portions of the clinical competence assessment program have been completed. If Respondent did not successfully complete the clinical competence assessment program, Respondent shall not resume the practice of medicine until a final decision has been rendered on the accusation and/or a petition to revoke probation. The cessation of practice shall not apply to the reduction of the probationary time period.

3. <u>NOTIFICATION</u>. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within fifteen (15) calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 4. <u>SUPERVISION OF PHYSICIAN ASSISTANTS AND ADVANCED PRACTICE</u>

  <u>NURSES.</u> During probation, Respondent is prohibited from supervising physician assistants and advanced practice nurses.
- 5. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
- 6. <u>INVESTIGATION/ENFORCEMENT COST RECOVERY</u>. Respondent is hereby ordered to reimburse the Board its costs of investigation and enforcement, in the amount of \$2,465.00 (two thousand four hundred sixty-five dollars and no cents). Costs shall be payable to the Medical Board of California. Failure to pay such costs shall be considered a violation of probation.

Any and all requests for a payment plan shall be submitted in writing by Respondent to the Board.

The filing of bankruptcy by Respondent shall not relieve Respondent of the responsibility

to repay investigation and enforcement costs.

7. <u>QUARTERLY DECLARATIONS</u>. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been , compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than ten (10) calendar days after the end of the preceding quarter.

## 8. GENERAL PROBATION REQUIREMENTS.

#### Compliance with Probation Unit

Respondent shall comply with the Board's probation unit.

#### Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021, subdivision (b).

#### Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

#### License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

### Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice Respondent shall notify the Board or its designee in writing thirty (30) calendar days prior to the

dates of departure and return.

- 9. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- 10. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within fifteen (15) calendar days of any periods of non-practice lasting more than 30 calendar days and within fifteen (15) calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least forty (40) hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If Respondent resides in California and is considered to be in non-practice, Respondent shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve Respondent from complying with all the terms and conditions of probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete the Federation of State Medical Boards' Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years. Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for a Respondent residing outside of California will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws;

General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or Controlled Substances; and Biological Fluid Testing.

- 11. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than one hundred twenty (120) calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- 12. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 13. <u>LICENSE SURRENDER</u>. Following the effective date of this Decision, if
  Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy
  the terms and conditions of probation, Respondent may request to surrender his or her license.
  The Board reserves the right to evaluate Respondent's request and to exercise its discretion in
  determining whether or not to grant the request, or to take any other action deemed appropriate
  and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent
  shall within fifteen (15) calendar days deliver Respondent's wallet and wall certificate to the
  Board or its designee and Respondent shall no longer practice medicine. Respondent will no
  longer be subject to the terms and conditions of probation. If Respondent re-applies for a medical
  license, the application shall be treated as a petition for reinstatement of a revoked certificate.
- 14. <u>PROBATION MONITORING COSTS</u>. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

1	15. <u>FUTURE ADMISSIONS CLAUSE</u> . If Respondent should ever apply or reapply for	
2	a new license or certification, or petition for reinstatement of a license, by any other health care	
3	licensing action agency in the State of California, all of the charges and allegations contained in	
4	Accusation No. 800-2020-065009 shall be deemed to be true, correct, and admitted by	
5	Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or	
6	restrict license.	
7	ACCEPTANCE	
8	I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully	
9:	discussed it with my attorney, Thomas F. McAndrews. I understand the stipulation and the effect.	
10	it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement	
1.1	and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the	
12	Decision and Order of the Medical Board of California.	
13		
14	DATED: 4-15-22 EMILA. SAIFY, M.D.	
15	Respondent	
16	I have read and fully discussed with Respondent Emil A. Saify, M.D. the terms and	
17	conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.	
18	I approve its form and content.	
19		
20	DATED: 4/15/22 Thomas McAndrews THOMAS F. MCANDREWS	
21	Attorney for Respondent	
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	STIPULATED SETTLEMENT (800-2020-065009)	

# **ENDORSEMENT** The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California. Respectfully submitted, ROB BONTA Attorney General of California JUDITH T. ALVARADO Supervising Deputy Attorney General Deputy Attorney General Attorneys for Complainant LA2021604228 65044409.docx

# Exhibit A

Accusation No. 800-2020-065009

	·		
1	ROB BONTA		
2	Attorney General of California JUDITH T. ALVARADO		
3	Supervising Deputy Attorney General REBECCA L. SMITH		
4	Deputy Attorney General State Bar No. 179733		
5	300 South Spring Street, Suite 1702 Los Angeles, CA 90013		
6	Telephone: (213) 269-6475 Facsimile: (916) 731-2117		
7	Attorneys for Complainant		
	DEBOD		
8	BEFORE THE MEDICAL BOARD OF CALIFORNIA		
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10		,	
11	In the Matter of the Accusation Against:	Case No. 800-2020-065009	
12		ACCUSATION	
13 14	EMIL A. SAIFY, M.D. 6038 Carlton Way, Number 404 Hollywood, CA 90028	ACCUSATION	
15	Physician's and Surgeon's Certificate No. A 92733,		
16	Respondent.		
17			
18			
19	PART	CIES	
20	William Prasifka (Complainant) bring	s this Accusation solely in his official capacity	
21	as the Executive Director of the Board of Californ	nia, Department of Consumer Affairs (Board).	
22	2. On or about September 2, 2005, the B	oard issued Physician's and Surgeon's	
23	Certificate Number A 92733 to Emil A. Saify, M.D. (Respondent). That license was in full force		
24	and effect at all times relevant to the charges brou	ight herein and will expire on September 30,	
25	2023, unless renewed.		
26	JURISD	ICTION	
27	3. This Accusation is brought before the	Board under the authority of the following	
28	provisions of the California Business and Profess	ions Code ("Code") unless otherwise indicated.	
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#### FIRST CAUSE FOR DISCIPLINE

#### (Repeated Negligent Acts)

- 9. Respondent is subject to disciplinary action under section 2234, subdivision (c), of the Code, in that he engaged in repeated acts of negligence in the care and treatment of Patient 1.<sup>1</sup> The circumstances are as follows:
- 10. On March 29, 2017, Patient 1, a 31-year-old male, presented to Quality Care Surgery Center for a scheduled three-level laminectomy by neurosurgeon, Dr. S.A. Respondent was the anesthesiologist for the procedure.
- 11. Respondent performed an anesthesia preoperative evaluation of Patient 1.<sup>2</sup> The patient had a history of low back pain with radiation to his legs that had bothered him for many years. The patient's medications included lisinopril (blood pressure medication), gabapentin (nerve pain medication), and marijuana. Respondent noted that the results from the patient's preoperative laboratory studies were within normal limits. In addition, Patient 1's preoperative EKG performed on March 6, 2017 showed a normal sinus rhythm and the preoperative chest x-ray showed no acute cardiopulmonary process. The patient had no known drug allergies. Patient 1 was 6' 1" tall and weighed 265 pounds (160 kilograms) with a body mass index that was less than 40. Using the American Society of Anesthesiologists (ASA) physical status classification system, Respondent concluded that Patient 1 was status III<sup>3</sup> with a class III airway.<sup>4</sup>

<sup>&</sup>lt;sup>1</sup> The patient herein is referred to as Patient 1 in order to protect his privacy.

<sup>&</sup>lt;sup>2</sup> Respondent's anesthesia records are handwritten, incomplete, largely illegible, and difficult to read.

<sup>&</sup>lt;sup>3</sup> The ASA physical status classification system uses a scale of I to VI, with I being a healthy patient with minimal risks to VI being a declared brain-dead patient whose organs are being removed for donor purposes. ASA physical status III is a patient with severe systemic disease.

<sup>&</sup>lt;sup>4</sup> Airway classification scale ranges from class I to IV. Class I is present when the soft palate, uvula, and pillars are visible. Class II is present when the soft palate and the uvula are visible. Class III is present when only the soft palate and base of the uvula are visible. Class IV is present when only the hard palate is visible.

- 12. Respondent's anesthesia record noted the anesthesia start time of 6:02 a.m., at which time Respondent administered two milligrams of midazolam,<sup>5</sup> 200 milligrams of propofol,<sup>6</sup> and 40 milligrams of rocuronium.<sup>7</sup>
- 13. Respondent documented an intra-operative time of 6:15 a.m. and that he intubated Patient 1 on the first attempt with placement of a 7.0 endotracheal tube secured at 20 cm. The placement was noted to have been confirmed with the presence of End-Tidal CO2.8 Respondent did not document whether the End-Tidal CO2 was seen continuously or if there was a delay in ventilating the patient.
- p.m., 9 reflects that Patient 1 was taken to the operative room at 6:15 a.m., with monitors attached and functioning well and that at 6:28 a.m., Patient 1 was intubated. Nurse L.L. noted that at 6:28 a.m., Respondent stated that they were ready to turn the patient to a prone position once the pulse oximetry reading came back on. No reading was detected. Respondent assessed the patient and the endotracheal tube. Respondent then re-intubated the patient using a Glidescope for better visualization. Once satisfied with the position, Respondent inflated the cuff. The patient's chest was noted to be rising and auscultation was performed using stethoscope. A second anesthesia monitor was brought into the operating room and Nurse L.L. requested that a portable oxygen saturation [monitor] be brought into the operating room. 10

<sup>&</sup>lt;sup>5</sup> Midazolam is used to produce sleepiness or drowsiness and relieve anxiety before surgery. It is also an amnesiac.

<sup>&</sup>lt;sup>6</sup> Propofol slows down brain and nervous system activity and is used to produce sleep before and during surgery.

 $<sup>\</sup>ensuremath{^{\mathcal{T}}}$  Rocoronium relaxes skeletal muscles and is used with general anesthesia medicines for intubation.

<sup>&</sup>lt;sup>8</sup> End Tidal CO2 is the level of carbon dioxide that is released at the end of an exhaled breath and reflects the patient's ventilator status.

<sup>&</sup>lt;sup>9</sup> All nursing progress notes by Nurse L.L. for care from 6:15 a.m. through 8:35 a.m. on March 29, 2017, were late entries prepared on March 29, 2017 at 3:00 p.m.

<sup>&</sup>lt;sup>10</sup> There is no documentation by Respondent as to why a second anesthesia monitor was necessary or why a portable pulse oximeter was requested.

- 15. Nurse L.L.'s nursing notes reflects that at 6:33 a.m., there was a skin color change on the patient's face and nailbeds. At that time, Nurse L.L. requested that Nurse N.M. leave the operating room to ask Dr. K.C., another anesthesiologist, for assistance. At that time, Respondent administered intravenous medication while pushing down with three fingers on the patient's chest while Dr. S.A. and Nurse L.L. checked for peripheral pulses.
- 16. There is no documentation by Respondent reflecting the change in the patient's vital signs and the timing of those changes.<sup>11</sup>
- 17. Nurse L.L.'s nursing notes document that at 6:34 a.m., Dr. K.C. entered the operating room and checked for pulses. Dr. K.C. stated that there was no pulse and began chest compressions. Dr. K.C. then called a Code Blue and requested crash cart. Respondent administered epinephrine. Nurse L.L. noted that at 6:36 a.m., the cardiopulmonary resuscitation (CPR) was interrupted for a pulse check. No pulse was felt and CPR resumed. At 6:38 a.m., the patient had a pulse and CPR was stopped. Patient 1's skin color was pink and his oxygen saturations were at 100%. His cardiac rhythm was noted to be tachycardic. 13
  - 18. After the resuscitation and code blue, the planned surgical procedure was aborted.
- 19. The standard of care requires that a physician document all information pertinent to the care and treatment being provided to the patient.
- 20. There was a lack of documentation in the anesthesia record regarding blood pressure, pulse oximetry values, End-Tidal CO2, or heart rate changes associated with Patient 1's cardiac arrest. Respondent committed a simple departure from the standard of care in failing to document the vital sign changes associated with the cardiac arrest.

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11 Respondent prepared a largely illegible and incomplete late progress note at 9:30 a.m. on March 29, 2017, which reflects that following induction, the patient had "saturation 99%, blood pressure 140/70, heart rate is 90, and heart rate goes up to 160, sinus brady in the 30s, unable to get saturation or a pulse, asystole...."

<sup>&</sup>lt;sup>12</sup> Epinephrine increases arterial blood pressuring and coronary perforation when administered during cardiopulmonary resuscitation (CPR) to reverse cardiac arrest.

<sup>&</sup>lt;sup>13</sup> A tachycardic response is a heart rate over 100 beats per minute.

- 21. Nurse L.L. further noted that at 6:39 a.m., the patient continued to be supported with mechanical ventilation and that Respondent continued to monitor him. At 7:10 a.m., Respondent extubated the patient and requested that no ambulance be called yet. At 7:14 a.m., the patient was transferred from the operating room to the post anesthesia care unit (PACU).
- 22. Nurse L.L. nursing record reflects that she was walking through the PACU at 8:08 a.m. and observed that the patient was not awake. She asked nurse N.M. if the ambulance had been called yet. When Nurse L.L. was told that an ambulance had not been called, she called Dr. S.A., the head of anesthesia, to request that the patient be evaluated. Dr. S.A. instructed Nurse L.L. to have Dr. K.C. evaluate the patient.
- 23. Dr. K.C. was called to the PACU from an operating room to evaluate the patient. Upon completion of her evaluation, Dr. K.C. recommended that Patient 1 be transferred to the hospital. At 8:20 a.m., the PACU nurse called 911 to have the patient transferred to the hospital. In the PACU, the patient was breathing rapidly and not fully waking up. At 8:35 a.m., Respondent re-intubated Patient 1.
- 24. There was no documentation by Respondent regarding his delay in ordering the transfer of the patient to a higher level of care or Dr. K.C.'s recommendation that the patient be transferred.
- 25. After a cardiac arrest at an outpatient surgery center, the standard of care requires that the physician transfer the patient to a hospital equipped to monitor and evaluate the patient at a higher level of care, as soon as possible.
- 26. Respondent committed a simple departure from the standard of care in not immediately transferring the patient to a hospital with a higher level of care following the cardiac arrest in the operating room.
- 27. At 8:45 a.m., the patient was transferred to Glendale Memorial Hospital by paramedics. The hospital transfer form reflects that the clinical indicators for transfer were respiratory distress and labored breathing. At Glendale Memorial Hospital, Patient 1 was

<sup>&</sup>lt;sup>14</sup> Respondent does not document why he did not want an ambulance called at that time.

(EMIL A. SAIFY, M.D.) ACCUSATION NO. 800-2020-065009

DATED: DEC 1 7 2021	Reji Varghese
DATED.	79
	Medical Board of California
	Department of Consumer Affairs State of California
	Complainant
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