BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter	of the	Accusation
Against:		-

Alicja Soczewko Steiner, M.D.

Physician's & Surgeon's Certificate No A 69227

Respondent.

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DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on JUL 17 2020

IT IS SO ORDERED JUN 18 2020

MEDICAL BOARD OF CALIFORNIA

Case No. 800-2016-025313

Kristina D. Lawson, J.D., Chair

Panel B

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1	XAVIER BECERRA	•	
2	Attorney General of California ALEXANDRA M. ALVAREZ		
3	Supervising Deputy Attorney General JOSEPH F. MCKENNA III		
4	Deputy Attorney General State Bar No. 231195		
5	600 West Broadway, Suite 1800 San Diego, California 92101		
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	Telephone: (619) 738-9417		
7	Facsimile: (619) 645-2061		
8	Attorneys for Complainant		
9	BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
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11			
12	In the Matter of the Accusation Against:	Case No. 800-2016-025313	
13	ALICJA SOCZEWKO STEINER, M.D. 2100 5th Avenue, Suite 200	OAH No. 2020010226	
14	San Diego, California 92101-2102	STIPULATED SETTLEMENT AND	
15	Physician's and Surgeon's Certificate No. A 69227,	DISCIPLINARY ORDER	
16	Respondent.		
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18	IT IS HEREBY STIPULATED AND AGR	EED by and between the parties to the above-	
19	entitled proceedings that the following matters ar	e true:	
20	<u>PARTIES</u>		
21	1. Christine J. Lally (Complainant) is the Interim Executive Director of the Medical Board		
22	of California (Board). This action was brought by then Complainant Kimberly Kirchmeyer, ¹		
23	solely in her official capacity. Complainant is represented in this matter by Xavier Becerra,		
24	Attorney General of the State of California, and Joseph F. McKenna III, Deputy Attorney Genera		
25	2. Respondent Alicja Soczewko Steiner, M.D., (Respondent) is represented in this		
26	proceeding by attorney David Rosenberg, Esq., whose address is: 10815 Rancho Bernardo Road,		
27	Suite 310, San Diego, California, 92127.		
28	Ms. Kirchmeyer became the Director of the Department of Consumer Affairs on October 28, 2019		

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3. On or about July 9, 1999, the Board issued Physician's and Surgeon's Certificate No. A 69227 to Alicja Soczewko Steiner, M.D. The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2016-025313, and will expire on February 28, 2021, unless renewed.

JURISDICTION

4. Accusation No. 800-2016-025313 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on August 16, 2019. Respondent timely filed her Notice of Defense contesting the Accusation. A true and correct copy of the Accusation is attached hereto as Exhibit A and hereby incorporated by reference as if fully set forth herein.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, discussed with her counsel, and fully understands the charges and allegations contained in Accusation No. 800-2016-025313. Respondent has also carefully read, discussed with her counsel, and fully understands the effects of this Stipulated Settlement and Disciplinary Order.
- Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations contained in the Accusation; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws, having been fully advised of same by her counsel.
- 7. Having the benefit of counsel, Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

8. Respondent understands and agrees that the charges and allegations contained in Accusation No. 800-2016-025313, if proven at a hearing, constitute cause for imposing discipline upon her Physician's and Surgeon's Certificate No. A 69227.

9. Respondent stipulates that, at a hearing, Complainant could establish a *prima facie* case or factual basis for the charges and allegations contained in the Accusation; that she gives up her right to contest those charges and allegations contained in the Accusation; and that she has thereby subjected her Physician's and Surgeon's Certificate to disciplinary action.

CONTINGENCY

- 10. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or her counsel. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 11. Respondent agrees that if an accusation is ever filed against her before the Board, all of the charges and allegations contained in Accusation No. 800-2016-025313 shall be deemed true, correct and fully admitted by Respondent for purposes of any such proceeding or any other licensing proceeding involving Respondent in the State of California.

ADDITIONAL PROVISIONS

- 12. This Stipulated Settlement and Disciplinary Order is intended by the parties herein to be an integrated writing representing the complete, final and exclusive embodiment of the agreements of the parties in the above-entitled matter.
- 13. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

1. PUBLIC REPRIMAND.

IT IS HEREBY ORDERED that Respondent Alicja Soczewko Steiner, M.D.'s Physician's and Surgeon's Certificate No. A 69227 shall be and is hereby Publicly Reprimanded pursuant to California Business and Professions Code section 2227, subdivision (a), subsection (4). This Public Reprimand, which is issued in connection with the charges and allegations contained in Accusation No. 800-2016-025313, is as follows:

Respondent committed negligence by failing to maintain adequate and accurate records in connection with her care and treatment of Patients A and B, as more particularly alleged in Accusation No. 800-2016-025313.

2. MEDICAL RECORD KEEPING COURSE.

Within sixty (60) calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping approved in advance by the Board or its designee. Respondent shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges contained in Accusation 800-2016-025313, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than fifteen (15) calendar days after successfully completing the course, or not later than fifteen (15) calendar days after the effective date of the Decision, whichever is later.

3. FAILURE TO COMPLY. Any failure by Respondent to comply with the terms and conditions of the Disciplinary Order set forth above shall constitute unprofessional conduct and grounds for further disciplinary action. **ACCEPTANCE** I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, David Rosenberg, Esq. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate No. A 69227. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California. Respondent I have read and fully discussed with Respondent Alicja Soczewko Steiner, M.D., the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content. DATED: DAVID ROSENBERG, ESO. Attorney for Respondent ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California. Respectfully submitted, XAVIER BECERRA Attorney General of California ALEXANDRA M. ALVAREZ Supervising Deputy Attorney General

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Deputy Attorney General Attorneys for Complainant

Exhibit A

Accusation No. 800-2016-025313

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1 2 3 4 5 6 7 8	XAVIER BECERRA Attorney General of California ALEXANDRA M. ALVAREZ Supervising Deputy Attorney General JOSEPH F. MCKENNA III Deputy Attorney General State Bar No. 231195 600 West Broadway, Suite 1800 San Diego, California 92101 P.O. Box 85266 San Diego, California 92186-5266 Telephone: (619) 738-9417 Facsimile: (619) 645-2061 Attorneys for Complainant	FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO (AMA): 110 20 19 BY (AMA) (ASTOVI) ANALYST		
10	BEFORE THE			
11	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA			
12				
14	In the Matter of the Accusation Against:	Case No. 800-2016-025313		
15 16	ALICJA SOCZEWKO STEINER, M.D. 2100 5th Avenue, Suite 200 San Diego, California 92101-2102	ACCUSATION		
17	Physician's and Surgeon's Certificate No. A69227,	<i>, ·</i>		
18				
19	Respondent.			
20	Complainant alleges:			
21	<u>PARTIES</u>			
22	1. Kimberly Kirchmeyer (Complainant) brings this Accusation solely in her official			
23	capacity as the Executive Director of the Medical Board of California, Department of Consumer			
24	Affairs (Board).			
25	2. On or about July 9, 1999, the Medical Board issued Physician's and Surgeon's			
26	Certificate No. A69227 to Alicja Soczewko Steiner, M.D. (Respondent). The Physician's and			
27	Surgeon's Certificate was in full force and effect at all times relevant to the charges and			
28	allegations brought herein and will expire on February 28, 2021, unless renewed.			
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(ALICJA SOCZEWKO STEINER, M.D.) ACCUSATION NO. 800-2016-025313

JURISDICTION

- 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
 - 4. Section 2227 of the Code states:
 - (a) A licensee whose matter has been heard by an administrative law judge of the Medical Quality Hearing Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found guilty, or who has entered into a stipulation for disciplinary action with the board, may, in accordance with the provisions of this chapter:
 - (1) Have his or her license revoked upon order of the board.
 - (2) Have his or her right to practice suspended for a period not to exceed one year upon order of the board.
 - (3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
 - (4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
 - (5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
 - (b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1.
 - 5. Section 2234 of the Code states, in relevant part:

The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- (a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - (b) Gross negligence.
- (c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a

separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.

- 6. Unprofessional conduct under section 2234 of the Code is conduct which breaches the rules or ethical code of the medical profession, or conduct which is unbecoming to a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine. (Shea v. Board of Medical Examiners (1978) 81 Cal.App.3d 564, 575.).
 - 7. Section 2266 of the Code states:

The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.

FIRST CAUSE FOR DISCIPLINE

(Gross Negligence)

8. Respondent has subjected her Physician's and Surgeon's Certificate No. A69227 to disciplinary action under sections 2227 and 2234, as defined in section 2234, subdivision (b), of the Code, in that Respondent committed gross negligence in her care and treatment of Patients A and B,¹ as more particularly alleged hereinafter:

9. Patient A

- (a) On or about December 12, 2013, Patient A, a then-56-year-old male, was first seen at Respondent's clinic for pain management treatment. Respondent is board-certified in pain management and anesthesiology, and held both certifications at all times relevant to the care of this patient. Patient A was referred to Respondent by his primary care physician for treatment of chronic pain. Respondent diagnosed Patient A with chronic pain syndrome.
- (b) In 2015, Respondent administered approximately ten (10) steroid trigger point injections to Patient A to treat his pain. These injections were performed on a near monthly basis, and some were performed under ultrasound guidance with the use of Vitamin B12 as the injectate. Significantly, there was no adequate

¹ To protect the privacy of all patients involved, patient names have not been included in this pleading. Respondent is aware of the identity of the patients referred to herein.

documentation in Patient A's medical record that he was receiving sustained benefit from these repeated steroid trigger point injections in 2015.

- 10. Respondent committed gross negligence in her care and treatment of Patient A including, but not limited to, the following:
 - (a) In 2015, Respondent administered approximately ten (10) trigger point injections with no documented sustained benefit from prior injections for Patient A.

11. Patient B

- (a) On or about August 10, 2012, Patient B, a then-60-year-old female, was first seen at Respondent's clinic for pain management treatment. Patient B was referred to Respondent by her primary care physician for treatment of chronic pain. Respondent diagnosed Patient B with chronic pain syndrome due to this patient's complex pain issues.
- (b) Between in or around August 2012, through in or around November, 2015, Respondent routinely issued controlled pain medication to Patient B including, but not limited to, high dose opioids, benzodiazepines, and muscle relaxants. These prescriptions were issued to Patient B for concomitant use and were intended to address her complex pain issues.
- (c) Respondent, notwithstanding the significant amount of controlled pain medication routinely prescribed to Patient B for over three (3) years, routinely scheduled this patient to be seen at her clinic at six (6) and eight (8) week intervals.
- 12. Respondent committed gross negligence in her care and treatment of Patient B including, but not limited to, the following:
 - (a) Between in or around August 2012, through in or around November, 2015, Respondent, despite prescribing a significant amount of controlled pain medication to Patient B, failed to see this patient on a regular basis by routinely scheduling clinic visits at six (6) and eight (8) week intervals.

SECOND CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

13. Respondent has further subjected her Physician's and Surgeon's Certificate
No. A69227 to disciplinary action under sections 2227 and 2234, as defined in section 2234,
subdivision (c), of the Code, in that Respondent committed repeated negligent acts in her care
and treatment of Patients A and B, as more particularly alleged hereinafter:

14. Patient A

- (a) Paragraphs 9 and 10, above, are hereby incorporated by reference and realleged as if fully set forth herein.
- (b) Between in or around 2013 and in or around 2015, Respondent routinely issued prescriptions to Patient A for controlled substances including, opioids, benzodiazepines, and Soma. During this timeframe, these prescriptions were issued to Patient A for concomitant use and with no adequate documentation in the medical record regarding changes and/or modifications to the prescriptions.
- (c) Between in or around 2013 and in or around 2015, due to Patient A's multiple complex pain issues, Respondent documented "recommendations" made to the patient for consultation with outside specialists including, physical therapy, orthopedics, neurology, and a pain psychiatrist. However, Patient A's medical record does not indicate whether any official referrals were made and/or whether reports were obtained from any outside specialists.
- (d) Between in or around 2013 and in or around 2015, many of Patient A's chart notes were handwritten. A large number of these chart notes contain Respondent's handwriting, which is fundamentally illegible.
- 15. Respondent committed repeated negligent acts in her care and treatment of Patient A including, but not limited to, the following:
 - (a) In 2015, Respondent administered approximately ten (10) trigger point injections with no documented sustained benefit from prior injections for Patient A;

- (b) Between in or around 2013 and in or around 2015, Respondent failed to adequately document changes and/or modifications to Patient A's prescriptions for controlled substances;
- (c) Between in or around 2013 and in or around 2015, Respondent failed to document any official referral made on behalf of Patient A, and/or document obtaining any reports regarding Patient A from outside specialists; and
- (d) Between in or around 2013 and in or around 2015, many of Respondent's handwritten chart notes for Patient A contained illegible handwriting.

16. Patient B

- (a) Paragraphs 11 and 12, above, are hereby incorporated by reference and realleged as if fully set forth herein.
- (b) Between in or around 2012 and in or around 2015, due to Patient B's multiple complex pain issues along with diagnosed anxiety, Respondent documented "recommendations" made to the patient for consultation with outside specialists including, physical therapy, neurology, and a psychiatrist. However, Patient B's medical record does not indicate whether any official referrals were made and/or whether reports were obtained from any outside specialists.
- (c) Between in or around 2012 and in or around 2015, many of Patient B's chart notes were handwritten. A large number of these chart notes contain Respondent's handwriting, which is fundamentally illegible.
- 17. Respondent committed repeated negligent acts in her care and treatment of Patient B including, but not limited to, the following:
 - (a) Between in or around August 2012, through in or around November, 2015, Respondent, despite prescribing a significant amount of controlled pain medication to Patient B, failed to see this patient on a regular basis by routinely scheduling clinic visits at six (6) and eight (8) week intervals;
 - (b) Between in or around 2012 and in or around 2015, Respondent failed to document any official referral made on behalf of Patient B, and/or document

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate No. A69227, issued to Respondent Alicja Soczewko Steiner, M.D.;
- 2. Revoking, suspending or denying approval of Respondent Alicja Soczewko Steiner, M.D.'s, authority to supervise physician assistants pursuant to section 3527 of the Code, and advanced practice nurses;
- 3. Ordering Respondent Alicja Soczewko Steiner, M.D., to pay the Medical Board of California the costs of probation monitoring, if placed on probation; and
 - 4. Taking such other and further action as deemed necessary and proper.

DATED: August 16, 2019

Executive Director

Medical Board of California Department of Consumer Affairs

State of California
Complainant

SD2019701867 Doc.No.71944996