

**BEFORE THE  
MEDICAL BOARD OF CALIFORNIA  
DEPARTMENT OF CONSUMER AFFAIRS  
STATE OF CALIFORNIA**

**In the Matter of the** )  
**Second Amended Accusation** )  
**Against:** )  
)  
)  
**Paul Gilbert Johnson, M.D.** )  
)  
**Physician's and Surgeon's** )  
**Certificate No. G18771** )  
)  
**Respondent** )  
\_\_\_\_\_ )

**Case No. 800-2016-020957**

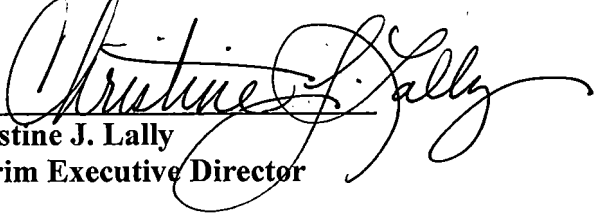
**DECISION**

**The attached Stipulated Surrender of License and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.**

**This Decision shall become effective at 5:00 p.m. on July 1, 2020.**

**IT IS SO ORDERED March 4, 2020**

**MEDICAL BOARD OF CALIFORNIA**

By:   
**Christine J. Lally**  
**Interim Executive Director**

1 XAVIER BECERRA  
Attorney General of California  
2 MATTHEW M. DAVIS  
Supervising Deputy Attorney General  
3 LEANNA E. SHIELDS  
Deputy Attorney General  
4 State Bar No. 239872  
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6 San Diego, CA 92186-5266  
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8 *Attorneys for Complainant*

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**BEFORE THE  
MEDICAL BOARD OF CALIFORNIA  
DEPARTMENT OF CONSUMER AFFAIRS  
STATE OF CALIFORNIA**

In the Matter of the Second Amended  
Accusation Against:  
  
**PAUL GILBERT JOHNSON, M.D.**  
P. O. Box 3699  
Seal Beach, CA 90740  
  
Physician's and Surgeon's Certificate  
No. G 18771,  
  
Respondent.

Case No. 800-2016-020957  
OAH No. 2019080583  
  
**STIPULATED SURRENDER OF  
LICENSE AND DISCIPLINARY ORDER**

20  
21 IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-  
22 entitled proceedings that the following matters are true:

23 **PARTIES**

24 1. Christine J. Lally (Complainant) is the Interim Executive Director of the Medical  
25 Board of California (Board). This action was brought by then Complainant Kimberly  
26 Kirchmeyer solely in her official capacity as Executive Director of the Board.<sup>1</sup> Complainant is

27  
28 <sup>1</sup> Kimberly Kirchmeyer became the Director of the Department of Consumer Affairs on October 28, 2019.

1 represented in this matter by Xavier Becerra, Attorney General of the State of California, by  
2 LeAnna E. Shields, Deputy Attorney General.

3 2. Respondent Paul Gilbert Johnson, M.D. (Respondent) is represented in this  
4 proceeding by attorney Raymond J. McMahon, Esq., of Doyle Schafer McMahon, LLP, whose  
5 address is: 5440 Trabuco Road, Irvine, CA 92620.

6 3. On or about July 20, 1970, the Board issued Physician's and Surgeon's Certificate  
7 No. G 18771 to Respondent. The Physician's and Surgeon's Certificate was in full force and  
8 effect at all times relevant to the charges brought in the Second Amended Accusation No. 800-  
9 2016-020957, and will expire on July 31, 2020, unless renewed.

10 **JURISDICTION**

11 4. On March 8, 2019, Accusation No. 800-2016-020957 was filed before the Board. A  
12 true and correct copy of Accusation No. 800-2016-020957 and all other statutorily required  
13 documents were properly served on Respondent on March 8, 2019. Respondent timely filed his  
14 Notice of Defense contesting the Accusation No. 800-2016-020957.

15 5. On May 30, 2019, the Second Amended Accusation No. 800-2016-020957 was filed  
16 before the Board, and is currently pending against Respondent. A true and correct copy of the  
17 Second Amended Accusation No. 800-2016-020957 along with a true and correct copy of a  
18 Supplemental Statement to Respondent were properly served on Respondent on May 30, 2019. A  
19 true and correct copy of the Second Amended Accusation No. 800-2016-020957 is attached  
20 hereto as Exhibit A and incorporated by reference as if fully set forth herein.

21 **ADVISEMENT AND WAIVERS**

22 6. Respondent has carefully read, fully discussed with counsel, and fully understands the  
23 charges and allegations in the Second Amended Accusation No. 800-2016-020957. Respondent  
24 has also carefully read, fully discussed with counsel, and fully understands the effects of this  
25 Stipulated Surrender of License and Disciplinary Order.

26 7. Respondent is fully aware of his legal rights in this matter, including the right to a  
27 hearing on the charges and allegations in the Second Amended Accusation; the right to confront  
28 and cross-examine the witnesses against him; the right to present evidence and to testify on his

1 own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the  
2 production of documents; the right to reconsideration and court review of an adverse decision;  
3 and all other rights accorded by the California Administrative Procedure Act and other applicable  
4 laws.

5 8. Having the benefit of counsel, Respondent voluntarily, knowingly, and intelligently  
6 waives and gives up each and every right set forth above.

7 **CULPABILITY**

8 9. Respondent does not contest that, at an administrative hearing, Complainant could  
9 establish a *prima facie* case with respect to the charges and allegations contained in the Second  
10 Amended Accusation No. 800-2016-020957, and that he has thereby subjected his Physician's  
11 and Surgeon's Certificate No. G 18771 to disciplinary action. Respondent hereby surrenders his  
12 Physician's and Surgeon's Certificate No. G 18771 for the Board's formal acceptance with an  
13 agreed upon effective date of July 1, 2020.

14 10. Respondent agrees that his Physician's and Surgeon's Certificate No. G 18771 is  
15 subject to discipline and he agrees to be bound by the Board's imposition of discipline as set forth  
16 in the Order below.

17 11. Respondent further agrees that if he ever petitions for reinstatement of his Physician's  
18 and Surgeon's Certificate No. G 18771, all of the charges and allegations contained in the Second  
19 Amended Accusation No. 800-2016-020957 shall be deemed true, correct, and fully admitted by  
20 Respondent for purposes of any such proceeding or any other licensing proceeding involving  
21 Respondent in the State of California or elsewhere.

22 **CONTINGENCY**

23 12. Business and Professions Code section 2224, subdivision (b), provides, in pertinent  
24 part, that the Medical Board "shall delegate to its executive director the authority to adopt a ...  
25 stipulation for surrender of a license."

26 13. Respondent understands that by signing this Stipulated Surrender of License and  
27 Disciplinary Order he enables the Executive Director of the Board to issue an order, on behalf of

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1 the Board, accepting the surrender of his Physician's and Surgeon's Certificate No. G 18771  
2 without notice to, or opportunity to be heard by, Respondent.

3 14. This Stipulated Surrender of License and Disciplinary Order shall be subject to the  
4 approval of the Executive Director on behalf of the Board. The parties agree that this Stipulated  
5 Surrender of License and Disciplinary Order shall be submitted to the Executive Director for her  
6 consideration in the above-entitled matter and, further, that the Executive Director shall have a  
7 reasonable period of time in which to consider and act on this Stipulated Surrender of License and  
8 Disciplinary Order after receiving it. By signing this stipulation, Respondent fully understands  
9 and agrees that he may not withdraw his agreement or seek to rescind this stipulation prior to the  
10 time the Executive Director, on behalf of the Medical Board, considers and acts upon it.

11 15. The parties agree that this Stipulated Surrender of License and Disciplinary Order  
12 shall be null and void and not binding upon the parties unless approved and adopted by the  
13 Executive Director on behalf of the Board, except for this paragraph, which shall remain in full  
14 force and effect. Respondent fully understands and agrees that in deciding whether or not to  
15 approve and adopt this Stipulated Surrender of License and Disciplinary Order, the Executive  
16 Director and/or the Board may receive oral and written communications from its staff and/or the  
17 Attorney General's Office. Communications pursuant to this paragraph shall not disqualify the  
18 Executive Director, the Board, any member thereof, and/or any other person from future  
19 participation in this or any other matter affecting or involving Respondent. In the event that the  
20 Executive Director on behalf of the Board does not, in her discretion, approve and adopt this  
21 Stipulated Surrender of License and Disciplinary Order, with the exception of this paragraph, it  
22 shall not become effective, shall be of no evidentiary value whatsoever, and shall not be relied  
23 upon or introduced in any disciplinary action by either party hereto. Respondent further agrees  
24 that should this Stipulated Surrender of License and Disciplinary Order be rejected for any reason  
25 by the Executive Director on behalf of the Board, Respondent will assert no claim that the  
26 Executive Director, the Board, or any member thereof, was prejudiced by its/his/her review,  
27 discussion and/or consideration of this Stipulated Surrender of License and Disciplinary Order or  
28 of any matter or matters related hereto.



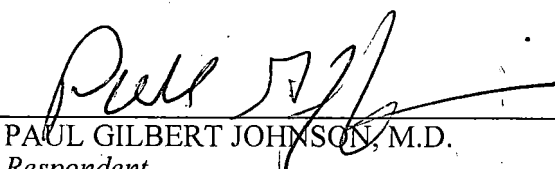
1 correct and fully admitted by Respondent when the Board determines whether to grant or deny  
2 the petition.

3 5. If Respondent should ever apply or reapply for a new license or certification, or  
4 petition for reinstatement of a license, by any other health care licensing agency in the State of  
5 California, all of the charges and allegations contained in Second Amended Accusation No. 800-  
6 2016-020957 shall be deemed to be true, correct, and admitted by Respondent for the purpose of  
7 any Statement of Issues or any other proceeding seeking to deny or restrict licensure.

8 ACCEPTANCE

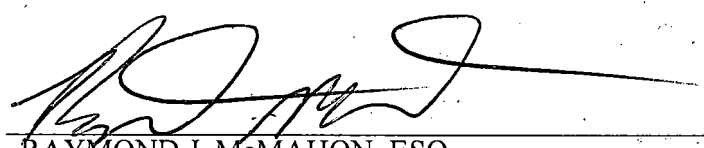
9 I have carefully read the above Stipulated Surrender of License and Disciplinary Order and  
10 have fully discussed it with my attorney, Raymond J. McMahon, Esq. I fully understand the  
11 stipulation and the effect it will have on my Physician's and Surgeon's Certificate No. G 18771. I  
12 enter into this Stipulated Surrender of License and Disciplinary Order voluntarily, knowingly, and  
13 intelligently, and agree to be bound by the Decision and Order of the Medical Board of  
14 California.

15  
16 DATED: 2/14/20

  
\_\_\_\_\_  
PAUL GILBERT JOHNSON, M.D.  
Respondent

17  
18 I have read and fully discussed with Respondent Paul Gilbert Johnson, M.D. the terms and  
19 conditions and other matters contained in the above Stipulated Surrender of License and  
20 Disciplinary Order. I approve its form and content.

21  
22 DATED: February 14, 2020

  
\_\_\_\_\_  
RAYMOND J. McMAHON, ESQ.  
Attorney for Respondent

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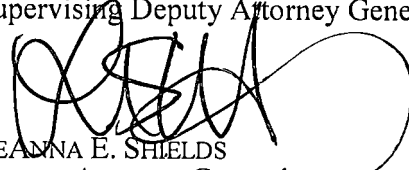
**ENDORSEMENT**

The foregoing Stipulated Surrender of License and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California.

DATED: Feb. 14, 2020

Respectfully submitted,

XAVIER BECERRA  
Attorney General of California  
MATTHEW M. DAVIS  
Supervising Deputy Attorney General



LEANNA E. SHIELDS  
Deputy Attorney General  
*Attorneys for Complainant*

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7 Facsimile: (619) 645-2061

8 *Attorneys for Complainant*

FILED  
STATE OF CALIFORNIA  
MEDICAL BOARD OF CALIFORNIA  
SACRAMENTO May 30 20 19  
BY [Signature] ANALYST

10 BEFORE THE  
11 MEDICAL BOARD OF CALIFORNIA  
12 DEPARTMENT OF CONSUMER AFFAIRS  
STATE OF CALIFORNIA

13 In the Matter of the Second Amended  
14 Accusation Against:

Case No. 8002016020957

15 PAUL GILBERT JOHNSON, M.D.  
16 P.O. Box 3699  
Seal Beach, CA 90740

SECOND AMENDED ACCUSATION

17 Physician's and Surgeon's Certificate  
18 No. G 18771,

19 Respondent.

20 Complainant alleges:

21 PARTIES

22 1. Kimberly Kirchmeyer (Complainant) brings this Second Amended Accusation solely  
23 in her official capacity as the Executive Director of the Medical Board of California, Department  
24 of Consumer Affairs (Board).

25 2. On or about July 20, 1970, the Medical Board issued Physician's and Surgeon's  
26 Certificate No. G 18771 to Paul Gilbert Johnson, M.D. (Respondent). The Physician's and  
27 Surgeon's Certificate was in full force and effect at all times relevant to the charges brought  
28 herein and will expire on July 31, 2020, unless renewed.



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5. Section 2234 of the Code, states, in pertinent part:

“The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

“(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.

“(b) Gross negligence.

“(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.

“(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.

“(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee’s conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

“...”

6. Section 2266 of the Code states:

“The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.”

7. Unprofessional conduct under section 2234 of the Code is conduct which breaches the rules or ethical code of the medical profession, or conduct which is unbecoming a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine. (*Shea v. Board of Medical Examiners* (1978) 81 Cal.App.3d 564, 575.)

1 **FIRST CAUSE FOR DISCIPLINE**

2 **(Gross Negligence)**

3 8. Respondent Paul Gilbert Johnson, M.D. has subjected his Physician's and Surgeon's  
4 Certificate No. G 18771 to disciplinary action under sections 2227 and 2234, as defined by 2234,  
5 subdivision (b), of the Code, in that he committed gross negligence in his care and treatment of  
6 Patients A, B, C, D. and E,<sup>1</sup> as more particularly alleged herein:<sup>2</sup>

7 **Patient A**

8 9. On or about July 15, 2011, Patient A, a then 51-year old male, presented for an initial  
9 consultation for anxiety and pain management.

10 10. From on or about July 2011, through on or about April 2013, Respondent provided  
11 care and treatment to Patient A for, among other things, neck pain, back pain, and anxiety.

12 11. From on or about July 2011, through on or about April 2013, Respondent prescribed  
13 several controlled substances to Patient A, including, but not limited to, Vicodin ES<sup>3</sup> (7.5/750),  
14 Ambien<sup>4</sup> (10 mg), Xanax<sup>5</sup> (1 mg), Xanax (2 mg), and diazepam<sup>6</sup> (10 mg).

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17 <sup>1</sup> Patient identities have been withheld for patient privacy purposes. Respondent is aware of the  
18 identities of the patients referred to herein.

19 <sup>2</sup> Conduct occurring more than seven (7) years from the filing date of this Second Amended  
20 Accusation is for informational purposes only and is not alleged as a basis for disciplinary action.

21 <sup>3</sup> Vicodin ES is a brand name for the drug combination of 7.5 mg of hydrocodone and 750 mg of  
22 acetaminophen. It is a Schedule II controlled substance pursuant to Health and Safety Code section  
23 11055, subdivision (b), and a dangerous drug pursuant to Business and Professions Code section 4022.  
24 When properly prescribed and indicated, it is used for the treatment of moderate to moderately severe pain.

25 <sup>4</sup> Ambien is a brand name for zolpidem, a Schedule IV controlled substance pursuant to Health  
26 and Safety Code section 11057, subdivision (d), and a dangerous drug pursuant to Business and  
27 Professions Code section 4022. Ambien is a benzodiazepine analog. When properly prescribed and  
28 indicated, it is commonly used to treat insomnia.

<sup>5</sup> Xanax is a brand name for alprazolam, a Schedule IV controlled substance pursuant to Health  
and Safety Code section 11057, subdivision (d), and a dangerous drug pursuant to Business and  
Professions Code section 4022. It is an anti-anxiety medication in the benzodiazepine family.

<sup>6</sup> Diazepam is a Schedule IV controlled substance pursuant to Health and Safety Code section  
11057, subdivision (d), and a dangerous drug pursuant to Business and Professions Code section 4022.  
Diazepam is a long-acting benzodiazepine. When properly prescribed and indicated, it is used to treat  
anxiety, seizures and muscle spasms.

1           12. Between on or about July 2011, and April 2013, Respondent saw Patient A at  
2 approximately five (5) office visits, including, but not limited to: July 15, 2011, January 9, 2012,  
3 March 23, 2012, October 25, 2012, and April 9, 2013.

4           13. Between on or about July 2011, and April 2013, Respondent's progress notes for his  
5 interactions with Patient A are sparse and often illegible.

6           14. On or about July 15, 2011, Patient A reported experiencing extreme stress, family  
7 history of alcoholism, and prior medications including Xanax (2 mg) three times per day and  
8 Ambien. Records for this visit indicate Respondent issued prescriptions to Patient A for Xanax (2  
9 mg) three times per day, and Ambien (10 mg). Respondent's notes for this visit, show no  
10 documentation of Patient A's pain level, no discussion regarding the risks, benefits, or side effects  
11 of Ambien and Xanax, and no discussion regarding the high dosage level of Ambien being  
12 prescribed or the reasoning for such a high dose.

13           15. On or about January 9, 2012, Patient A presented for a check up. Records for this  
14 visit indicate Respondent issued prescriptions to Patient A for Xanax (2 mg) three times per day,  
15 and Ambien (10 mg). Respondent's notes for this visit, show no documentation of Patient A's  
16 pain level, no discussion regarding the risks, benefits, or side effects of Ambien and Xanax, and  
17 no discussion regarding the high dosage level of Ambien being prescribed or the reasoning for  
18 such a high dose. Respondent's notes for this visit also do not mention whether Patient A's pain,  
19 anxiety, or sleep quality was improving or declining.

20           16. On or about March 23, 2012, Patient A presented with complaints of chronic back  
21 pain and a request to refill previous medications for Valium and Vicodin. Records for this visit  
22 indicate Respondent issued prescriptions to Patient A for Valium (10 mg) and Vicodin ES  
23 (7.5/750). Respondent's notes for this visit, show no documentation of Patient A's pain level, no  
24 discussion regarding the risks, benefits, or side effects of Valium and Vicodin ES, the rationale  
25 for switching from Xanax to Valium, or the risks of taking them in combination with Ambien and  
26 Xanax. Respondent's notes for this visit also do not mention whether Patient A's pain, anxiety,  
27 or sleep quality was improving or declining.

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1           17. On or about October 25, 2012, Patient A presented requesting refills of his  
2 medications. Records for this visit indicate Respondent issued prescriptions to Patient A for  
3 Valium (10 mg), Vicodin ES (7.5/750), and Ambien (10 mg). During this visit, Respondent  
4 lowered Patient A's prescription for Ambien in half, from 60 tablets to 30 tablets, without any  
5 documentation regarding the reasoning for this change. Respondent's notes for this visit also  
6 show no documentation of Patient A's pain level, no discussion regarding the risks, benefits, or  
7 side effects of Ambien, Xanax, Valium or Vicodin ES, or the risks associated with taking them in  
8 combination. Respondent's notes for this visit also do not mention whether Patient A's pain,  
9 anxiety, or sleep quality was improving or declining.

10           18. On or about April 9, 2013, Patient A presented for a check up and prescription refills.  
11 Records for this visit indicate Respondent issued prescriptions to Patient A for Xanax (2 mg),  
12 Ambien (10 mg) and Vicodin ES (7.5/750). Respondent's notes for this visit, show no  
13 documentation of Patient A's pain level, no discussion regarding the risks, benefits, or side effects  
14 of Ambien, Xanax, Valium or Vicodin ES, or the risks associated with taking them in  
15 combination. Respondent's notes for this visit also do not mention whether Patient A's pain,  
16 anxiety, or sleep quality was improving or declining.

17           19. Throughout Respondent's care and treatment of Patient A, Respondent did not  
18 discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
19 regarding the risks of the medications prescribed or the use of them in combination with alcohol,  
20 perform periodic reviews to evaluate Patient A's progress toward treatment objectives, refer  
21 Patient A to a specialist for additional evaluation and treatment, or consult with a specialist to  
22 determine the possibility of alternative treatment modalities.

23           20. Throughout Respondent's care and treatment of Patient A with chronic opioid  
24 therapy, Respondent did not conduct an adequate history and physical examination, perform  
25 appropriate testing to assess for risk of substance abuse, misuse, or addiction, provide sufficient  
26 information to obtain informed consent, establish an opioid management plan, require more  
27 frequent office visits, or perform adequate monitoring regarding compliance.

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1       21. On or about April 11, 2013, Respondent was notified by the coroner's office that  
2 Patient A had passed away.

3       22. According to Patient A's Controlled Substance Utilization Review and Evaluation  
4 System<sup>7</sup> (CURES) report, from on or about November 2011, through on or about April 2013,  
5 based upon prescriptions and refills issued or authorized by Respondent, Patient A obtained  
6 approximately 900 tablets of Vicodin ES (7.5/750).

7       23. According to Patient A's CURES report, from on or about November 2011, through  
8 on or about April 2013, based upon prescriptions and refills issued or authorized by Respondent,  
9 Patient A obtained approximately 900 tablets of diazepam (10 mg).

10       24. According to Patient A's CURES report, from on or about November 2011, through  
11 on or about April 2013, based upon prescriptions and refills issued or authorized by Respondent,  
12 Patient A obtained approximately 720 tablets of Xanax (1 mg).

13       25. According to Patient A's CURES report, from on or about November 2011, through  
14 on or about April 2013, based upon prescriptions and refills issued or authorized by Respondent,  
15 Patient A obtained approximately 630 tablets of Xanax (2 mg).

16       26. According to Patient A's CURES report, from on or about November 2011, through  
17 on or about April 2013, based upon prescriptions and refills issued or authorized by Respondent,  
18 Patient A obtained approximately 1,080 tablets of Ambien (10 mg).

19       27. Respondent committed gross negligence in his care and treatment of Patient A, which  
20 included, but is not limited to:

21               A. Paragraphs 9 through 26, above, are hereby incorporated by reference and  
22               realleged as if fully set forth herein;

23 \_\_\_\_\_  
24       <sup>7</sup> The Controlled Substance Utilization Review and Evaluation System (CURES) is a program  
25 operated by the California Department of Justice (DOJ) to assist health care practitioners in their efforts to  
26 ensure appropriate prescribing of controlled substances, and law enforcement and regulatory agencies in  
27 their efforts to control diversion and abuse of controlled substances. (Health & Saf. Code, § 11165.)  
28 California law requires dispensing pharmacies to report to the DOJ the dispensing of Schedule II, III, and  
IV controlled substances as soon as reasonably possible after the prescriptions are filled. (Health & Saf.  
Code, § 11165, subd. (d).) It is important to note that the history of controlled substances dispensed to a  
specific patient based on the data contained in CURES is available to a health care practitioner who is  
treating that patient. (Health & Saf. Code, § 11165.1, subd. (a).)

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- B. Respondent failed to document and/or develop a treatment plan or document and/or identify objectives for which a treatment plan could be evaluated, including the failure to discuss or document Patient A's reported pain levels, sleep quality, or anxiety improvement;
- C. Respondent failed to document or sufficiently inform Patient A of the risks and benefits associated with the use of the prescribed controlled substances, including the failure to discuss the risks associated with the combined use of opioids and benzodiazepines, the failure to discuss the additional risks associated with a family history of alcoholism, and the failure to advise against combining them with alcohol;
- D. Respondent failed to perform periodic evaluations regarding Patient A's progress toward treatment objectives, including the failure to document any change in pain level, sleep quality, or anxiety improvement;
- E. Respondent failed to discuss with Patient A or refer Patient A for additional consultation, evaluation and treatment, in order to achieve treatment objectives, including the failure to enlist the aid of relevant specialists to determine the underlying cause of Patient A's issues or suggest alternative treatments; and
- F. Respondent failed to maintain adequate and accurate medical records regarding his care and treatment of Patient A, including the failure to document critical patient-care related discussions.

**Patient B**

28. On or about December 29, 2009, Patient B, a then 32-year old male, presented for an initial consultation for anxiety and pain management. Respondent's notes for this visit indicate Patient B admitted being a prior alcoholic.

29. From in or around 2009, through in or around 2018, Respondent provided care and treatment to Patient B for, among other things, pain, depression, anxiety, fatigue, and hypertension.

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1 30. From in or around 2012, through in or around 2018, Respondent prescribed several  
2 controlled substances to Patient B, including, but not limited to, oxycodone<sup>8</sup> (30 mg), Percocet<sup>9</sup>  
3 (10/325), Endocet<sup>10</sup> (10/325), Norco<sup>11</sup> (10/325), lorazepam<sup>12</sup> (2 mg), Ambien (10 mg), and  
4 Zaleplon<sup>13</sup> (10 mg).

5 31. In or around 2012, Respondent saw Patient B at approximately five (5) office visits,  
6 including, but not limited to: March 8, 2012, April 5, 2012, September 7, 2012, November 9,  
7 2012, and December 27, 2012. Respondent's notes for his interactions with Patient B during  
8 these visits are sparse and often illegible.

9 32. On or about March 8, 2012, Patient B presented with complaints of arthritis and body  
10 aches. During this visit, Patient B informed Respondent that he had been receiving Gabapentin<sup>14</sup>  
11 (800 mg) from another provider. Respondent issued a prescription to Patient B for Gabapentin  
12 (800 mg).

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13  
14 <sup>8</sup> Oxycodone is an opioid and is classified as a Schedule II controlled substance pursuant to Health  
15 and Safety Code section 11055, subdivision (b), and a dangerous drug pursuant to Business and  
16 Professions Code section 4022.

17 <sup>9</sup> Percocet is a brand name for the drug combination of oxycodone (2.5 mg, 5 mg, 7.5 mg, or 10  
18 mg) and acetaminophen (325 mg). See Footnote 8, above, regarding oxycodone.

19 <sup>10</sup> Endocet is a brand name for the drug combination of oxycodone (10 mg) and acetaminophen  
20 (325 mg). Oxycodone is an opioid and is classified as a Schedule II controlled substance pursuant to  
21 Health and Safety Code section 11055, subdivision (b), and a dangerous drug pursuant to Business and  
22 Professions Code section 4022.

23 <sup>11</sup> Norco is a brand name for the drug combination of hydrocodone (5 mg, 7.5 mg, or 10 mg) and  
24 acetaminophen (325 mg). Hydrocodone is a Schedule II controlled substance pursuant to Health and  
25 Safety Code section 11055, subdivision (b), and a dangerous drug pursuant to Business and Professions  
26 Code section 4022. When properly prescribed and indicated, it is used for the treatment of moderate to  
27 moderately severe pain. The DEA has identified opioids, such as Hydrocodone, as a drug of abuse.  
28 (Drugs of Abuse, DEA Resource Guide (2015 Edition), at p. 43.)

<sup>12</sup> Lorazepam, brand name Ativan, is a Schedule IV controlled substance pursuant to Health and  
Safety Code section 11057, subdivision (d), and a dangerous drug pursuant to Business and Professions  
Code section 4022. It belongs to a group of drugs called benzodiazepines.

<sup>13</sup> Zaleplon, brand name Sonata, is a Schedule IV controlled substance pursuant to Health and  
Safety Code section 11057, subdivision (d), and a dangerous drug pursuant to Business and Professions  
Code section 4022.

<sup>14</sup> Gabapentin is an anti-epileptic drug commonly used to treat seizures and epilepsy. It is  
classified as a dangerous drug pursuant to Business and Professions Code section 4022.

1           33. On or about March 12, 2012, Patient B presented to an emergency department with  
2 complaints of body aches and pain. After a thorough review of systems, Patient B was  
3 discharged and provided information regarding osteoarthritis. Records for this encounter are  
4 maintained in Respondent's chart for Patient B.

5           34. On or about April 5, 2012, Patient B was seen by Respondent at an office visit, during  
6 which Patient B informed Respondent of his recent visit to the emergency department.  
7 Respondent's notes for this visit indicate Patient B informed Respondent he was not satisfied with  
8 the care provided at the hospital. Respondent's notes for this visit also indicate a discussion with  
9 Patient B's fiancé; however, the topic of discussion is not documented.

10          35. On or about September 5, 2012, Patient B's mother submitted several records to  
11 Respondent regarding psychiatric treatment Patient B was receiving from another provider. The  
12 submitted documents included Patient B's records for a visit on July 12, 2007, in which the  
13 provider notes Patient B's history of polysubstance abuse, completion of three weeks at an  
14 inpatient detoxification facility, and Patient B's admitted recent consumption of alcohol and  
15 Norco. The submitted documents also included Patient B's records for a more recent visit on  
16 May 15, 2012, with the same provider, in which the physician assessed Patient B with the  
17 following diagnoses: bipolar, anxiety, panic, and attention deficit hyperactive disorder. Records  
18 for these encounters are maintained in Respondent's medical chart for Patient B.

19          36. On or about September 7, 2012, Patient B presented for a follow up visit with  
20 Respondent. Respondent's notes for this visit show no discussion regarding the psychiatric  
21 records submitted by Patient B's mother.

22          37. On or about November 9, 2012, Patient B presented for a follow up visit with  
23 Respondent. Respondent's notes for this visit again show no discussion regarding the psychiatric  
24 records submitted by Patient B's mother.

25          38. Throughout Respondent's care and treatment of Patient B in 2012, Respondent did  
26 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
27 regarding the risks of the medications prescribed or the use of them in combination with alcohol,  
28 perform periodic reviews to evaluate Patient B's progress toward treatment objectives, or consult

1 with Patient B's psychiatrist or other treating physicians to confirm reported medications or  
2 determine the possibility of alternative treatment modalities.

3 39. In or around 2013, Respondent saw Patient B at approximately three (3) office visits,  
4 including, but not limited to: January 18, 2013, September 12, 2013, and October 21, 2013.  
5 Respondent's notes for his interactions with Patient B during these visits are sparse and often  
6 illegible.

7 40. On or about January 18, 2013, Patient B presented for a one-month check up visit.  
8 According to Respondent, no medications were prescribed during this visit. However, records  
9 show Respondent issued a prescription to Patient B for lorazepam, Gabapentin, and Seroquel<sup>15</sup> on  
10 this date. According to Respondent, at the previous visit, on or about December 27, 2012,  
11 Respondent believed Patient B obtained his prescription for Seroquel from his psychiatrist.  
12 However, Respondent's records show no indication of this discussion.

13 41. Throughout Respondent's care and treatment of Patient B in 2013, Respondent did  
14 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
15 regarding the risks of the medications prescribed or the use of them in combination with alcohol,  
16 perform periodic reviews to evaluate Patient B's progress toward treatment objectives, or consult  
17 with Patient B's psychiatrist or other treating physicians to confirm reported medications or  
18 determine the possibility of alternative treatment modalities.

19 42. In or around 2014, Respondent saw Patient B at approximately five (5) office visits,  
20 including, but not limited to: January 2, 2014, March 5, 2014, June 26, 2014, September 23,  
21 2014, and October 23, 2014.

22 43. On or about March 5, 2014, Patient B presented for a follow up visit with Respondent  
23 after a recent surgery on his left elbow. Respondent's notes for this visit indicate Respondent  
24 prescribed 30 tablets of Percocet (10/325) to Patient B, with no documented discussion regarding  
25 Patient B's pain level, or the risks, benefits, or side effects of Percocet.

26 \_\_\_\_\_  
27 <sup>15</sup> Seroquel is the brand name for quetiapine, commonly used to treat schizophrenia, bipolar  
28 disorder and depression. It is classified as a dangerous drug pursuant to Business and Professions Code  
section 4022.

1           44. On or about June 26, 2014, Patient B presented for a follow up visit after a recent  
2 hospitalization reported on June 22, 2014. Respondent's notes for this visit indicate Patient B  
3 informed Respondent that his orthopedic surgeon switched Patient B's prescription from Percocet  
4 to Norco, and that Patient B's psychiatrist had prescribed him Suboxone.<sup>16</sup> Respondent's notes  
5 for this visit show no discussion regarding whether Suboxone was being prescribed for pain or  
6 substance abuse issues.

7           45. On or about September 23, 2014, Patient B presented for a follow up visit with  
8 Respondent. During this visit, Patient B's girlfriend was in attendance. Respondent's notes for  
9 this visit indicate Patient B reported having another appointment with his psychiatrist and that he  
10 had resumed drinking alcohol again. Notes for this visit indicate Respondent urged Patient B not  
11 to drink alcohol.

12           46. On or about October 23, 2014, Patient B presented for a follow up visit with  
13 Respondent. Respondent's notes for this visit indicate Patient B reported being prescribed a high  
14 dose of Ambien by his psychiatrist, but still experiencing issues with sleep. Notes for this visit  
15 indicate Respondent issued a prescription to Patient B for 30 tablets of Ambien (10 mg), with no  
16 documented discussion regarding the reason for issuing an additional prescription for Ambien, or  
17 a discussion regarding the risks, benefits, or side effects of Ambien.

18           47. Throughout Respondent's care and treatment of Patient B in 2014, Respondent did  
19 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
20 regarding the risks of the medications prescribed or the use of them in combination with alcohol,  
21 perform periodic reviews to evaluate Patient B's progress toward treatment objectives, or consult  
22 with Patient B's psychiatrist or other treating physicians to confirm reported medications or  
23 determine the possibility of alternative treatment modalities.

24           48. Throughout Respondent's care and treatment of Patient B with opioid therapy in  
25 2014, Respondent did not conduct an adequate history and physical examination, perform

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27           <sup>16</sup> Suboxone is a brand name for buprenorphine and naloxone, a Schedule III controlled substance  
28 pursuant to Health and Safety Code section 11056, subdivision (e), and a dangerous drug pursuant to  
Business and Professions Code section 4022. When properly prescribed and indicated, it is used for the  
treatment of pain as well as addiction to narcotic pain relievers.

1 appropriate testing to assess for risk of substance abuse, misuse, or addiction, provide sufficient  
2 information to obtain informed consent, establish an opioid management plan, require more  
3 frequent office visits, or perform adequate monitoring regarding compliance.

4 49. In or around 2015, Respondent saw Patient B at approximately five (5) office visits,  
5 including, but not limited to: July 23, 2015, October 15, 2015, November 5, 2015, November 30,  
6 2015, and December 22, 2015.

7 50. On or about July 23, 2015, Patient B presented with complaints of pain and injury to  
8 his body, claiming he had been attacked by several law enforcement officers approximately one  
9 month earlier. Included in Respondent's chart for Patient B are records of Patient B's hospital  
10 visit on June 26, 2015, after Patient B was arrested for being under the influence of a controlled  
11 substance. Records for this encounter are maintained in Respondent's chart for Patient B.

12 Respondent's notes for Patient B's July 23, 2015 visit indicate Respondent prescribed 120 tablets  
13 of Norco (10/325) and 120 tablets of oxycodone (30 mg) to Patient B, and that Patient B agreed  
14 this would be a "one-time prescription" that would not be issued again.

15 51. On or about October 5, 2015, Patient B presented to an emergency department with  
16 complaints of injury after he reportedly fell from a tree. After a thorough review and evaluation,  
17 Patient B was determined to be stable with no emergent condition and discharged with a  
18 prescription for Norco (10/325). According to the hospital records, Patient B indicated he did not  
19 want Norco, and requested a prescription for Percocet (10/325) instead. Records for this  
20 encounter are maintained in Respondent's chart for Patient B.

21 52. On or about October 15, 2015, Patient B presented for a follow up visit with  
22 Respondent, claiming total body pain due to the recent fall. Respondent's notes for this visit  
23 indicate Respondent prescribed 150 tablets of Percocet (10/325) and 120 tablets of Roxicodone<sup>17</sup>  
24 (30 mg) to Patient B, with no documented discussion regarding Patient B's pain level, or any  
25 discussion regarding the risks, benefits, or side effects of Percocet and Roxicodone.

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27 <sup>17</sup> Roxicodone is a brand name for oxycodone, a Schedule II controlled substance pursuant to  
28 Health and Safety Code section 11055, subdivision (b), and a dangerous drug pursuant to Business and Professions Code section 4022. When properly prescribed and indicated, it is used for the treatment of moderate to severe pain.

1           53. On or about November 5, 2015, Patient B presented for a follow up visit with  
2 Respondent. Respondent's notes for this visit indicate Respondent prescribed another 150 tablets  
3 of Percocet (10/325) to Patient B, with no documented discussion regarding Patient B's pain  
4 level, or any discussion regarding the risks, benefits, or side effects of Percocet.

5           54. On or about November 30, 2015, Patient B presented for a follow up visit with  
6 Respondent. Respondent's notes for this visit indicate Respondent prescribed another 180 tablets  
7 of Percocet (10/325) to Patient B, with no documented discussion regarding Patient B's pain  
8 level, or any discussion regarding the risks, benefits, or side effects of Percocet. Notes for this  
9 visit indicate Respondent informed Patient B he would no longer prescribe oxycodone (30 mg) to  
10 Patient B.

11           55. On or about December 22, 2015, Patient B presented for a follow up visit with  
12 Respondent. Respondent's progress notes for this visit indicate Respondent prescribed another  
13 120 tablets of Percocet (10/325) to Patient B, with no documented discussion regarding Patient  
14 B's pain level, or any discussion regarding the risks, benefits, or side effects of Percocet. Notes  
15 for this visit indicate Respondent referred Patient B to a neurologist for evaluation.

16           56. Throughout Respondent's care and treatment of Patient B in 2015, Respondent did  
17 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
18 regarding the risks of the medications prescribed or the use of them in combination with alcohol,  
19 perform periodic reviews to evaluate Patient B's progress toward treatment objectives, or consult  
20 with Patient B's psychiatrist or other treating physicians to confirm reported medications or  
21 determine the possibility of alternative treatment modalities.

22           57. Throughout Respondent's care and treatment of Patient B with opioid therapy in  
23 2015, Respondent did not conduct an adequate history and physical examination, perform  
24 appropriate testing to assess for risk of substance abuse, misuse, or addiction, provide sufficient  
25 information to obtain informed consent, establish an opioid management plan, require more  
26 frequent office visits, or perform adequate monitoring regarding compliance.

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1 58. In or around 2016, Respondent saw Patient B at approximately six (6) office visits,  
2 including, but not limited to: January 26, 2016, February 23, 2016, March 31, 2016, June 9,  
3 2016, July 7, 2016, and September 2, 2016.

4 59. On or about February 23, 2016, Patient B presented requesting a refill of his  
5 medications. Respondent's notes for this visit indicate Respondent prescribed 186 tablets of  
6 Percocet (10/325) to Patient B, with no documented discussion regarding Patient B's pain level,  
7 or any discussion regarding the risks, benefits, or side effects of Percocet.

8 60. On or about March 31, 2016, Patient B presented requesting a refill of his  
9 medications. Respondent's notes for this visit indicate, Patient B's girlfriend accompanied  
10 Patient B during this visit and Patient B indicated he was ready to stop taking oxycodone.  
11 Respondent's notes for this visit show no documented discussion regarding a tapering plan to  
12 lower Patient B's oxycodone. Patient B's girlfriend informed Respondent that Patient B had been  
13 snorting his medications. Respondent's notes for this visit show no documentation of this  
14 discussion or information provided by Patient B's girlfriend.

15 61. On or about April 6, 2016, Patient B underwent a neurological consultation with  
16 another provider who submitted his neurological examination and report to Respondent. The  
17 neurological report is initialed by Respondent and indicates the following: Patient B has a  
18 reported history of multiple concussions, occasional falls and black-out episodes.

19 62. On or about April 19, 2016, Patient B underwent an electroencephalogram (EEG) at  
20 the request of the neurologist. The EEG report indicated a normal EEG for Patient B. The EEG  
21 results are maintained in Respondent's chart for Patient B and is initialed by Respondent.

22 63. On or about June 9, 2016, Patient B presented for a follow up visit with Respondent.  
23 Respondent's notes for this visit indicate Patient B reported experiencing extreme pain from the  
24 fall and admitted taking Percocet that he had saved up. Notes for this visit indicate Respondent  
25 prescribed 120 tablets of Percocet (10/325) to Patient B, with no documented discussion  
26 regarding Patient B's pain level, and no discussion regarding the risks, benefits, or side effects of  
27 Percocet.

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1           64. On or about July 7, 2016, Patient B presented with complaints of suffering a broken  
2 nose after a recent fall. Respondent's notes for this visit indicate Patient B expressed concern  
3 with the amount of acetaminophen in Percocet and requested oxycodone instead. Notes for this  
4 visit indicate Respondent prescribed 120 tablets of oxycodone (30 mg) to Patient B, with no  
5 discussion regarding Patient B's pain level, no discussion regarding liver function tests, no  
6 discussion regarding the risks, benefits, or side effects of oxycodone, and no discussion regarding  
7 possible abuse or diversion.

8           65. On or about September 2, 2016, Patient B presented with complaints of continued  
9 chronic pain. Respondent's notes for this visit indicate Respondent prescribed another 180 tablets  
10 of oxycodone (30 mg) to Patient B, with two additional refills authorized for October 2, 2016 and  
11 November 2, 2016, with no discussion regarding Patient B's pain level, and no discussion  
12 regarding the risks, benefits, or side effects of oxycodone.

13           66. Throughout Respondent's care and treatment of Patient B in 2016, Respondent did  
14 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
15 regarding the risks of the medications prescribed or the use of them in combination with alcohol,  
16 perform periodic reviews to evaluate Patient B's progress toward treatment objectives, or consult  
17 with Patient B's psychiatrist or other treating physicians to confirm reported medications or  
18 determine the possibility of alternative treatment modalities.

19           67. Throughout Respondent's care and treatment of Patient B with opioid therapy in  
20 2016, Respondent did not conduct an adequate history and physical examination, perform  
21 appropriate testing to assess for risk of substance abuse, misuse, or addiction, provide sufficient  
22 information to obtain informed consent, establish an opioid management plan, require more  
23 frequent office visits, or perform adequate monitoring regarding compliance.

24           68. In or around 2017, Respondent saw Patient B at approximately three (3) office visits,  
25 including, but not limited to: April 28, 2017, August 25, 2017, and December 1, 2017.

26           69. On or about April 28, 2017, Patient B presented requesting a refill of his  
27 medications. Respondent's notes for this visit indicate Patient B informed Respondent that his  
28 psychiatrist was prescribing him a high dose of Ativan but was slow in authorizing refills, causing



1 Patient B to suffer panic attacks. Respondent's notes for this visit indicate Respondent prescribed  
2 90 tablets of Ativan (2 mg) and 180 tablets of oxycodone (10 mg), with no documented  
3 discussion regarding the rationale for the change in oxycodone dose, and no discussion regarding  
4 the risks, benefits, or side effects of Ativan and oxycodone.

5 70. On or about December 1, 2017, Patient B presented with a cough and cold, and for  
6 follow up on previous visits. Respondent's notes for this visit indicate Patient B's mother called  
7 and informed Respondent that Patient B had been acting out and requested reevaluation of Patient  
8 B's medications. Respondent's notes for this visit indicate Patient B agreed to see his psychiatrist  
9 to discuss medication changes. Notes for this visit indicate Respondent prescribed 180 tablets of  
10 oxycodone (10 mg), 120 tablets of lorazepam (1 mg), and 60 tablets of Flexeril (10 mg).<sup>18</sup>

11 71. Throughout Respondent's care and treatment of Patient B in 2017, Respondent did  
12 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
13 regarding the risks of the medications prescribed or the use of them in combination with alcohol,  
14 perform periodic reviews to evaluate Patient B's progress toward treatment objectives, or consult  
15 with Patient B's psychiatrist or other treating physicians to confirm reported medications or  
16 determine the possibility of alternative treatment modalities.

17 72. Throughout Respondent's care and treatment of Patient B with opioid therapy in  
18 2017, Respondent did not conduct an adequate history and physical examination, perform  
19 appropriate testing to assess for risk of substance abuse, misuse, or addiction, provide sufficient  
20 information to obtain informed consent, establish an opioid management plan, require more  
21 frequent office visits, or perform adequate monitoring regarding compliance.

22 73. In or around 2018, Respondent saw Patient B at approximately two (2) office visits,  
23 including, but not limited to: January 15, 2018 and May 4, 2018.

24 74. On or about January 15, 2018, Patient B presented for a follow up visit with  
25 Respondent. Respondent's notes for this visit indicate Patient B's friend was present for this visit  
26 and informed Respondent that Patient B did well when taking his medications as directed, but  
27

28 <sup>18</sup> Flexeril is a brand name for cyclobenzaprine, a muscle relaxant commonly used to treat muscle spasms. It is classified as a dangerous drug pursuant to Business and Professions Code section 4022.

1 does not do well when he misses his medications. Notes for this visit indicate Respondent  
2 encouraged Patient B to return to his psychiatrist.

3 75. On or about May 4, 2018, Patient B presented for a follow up visit with Respondent.  
4 Respondent's notes for this visit indicate Patient B's mother was present for this visit. Notes for  
5 this visit indicate Patient B had seen his psychiatrist and agreed to see a pain management  
6 specialist. Notes for this visit indicate Respondent provided Patient B with a list of pain  
7 management physicians and prescribed 180 tablets of oxycodone (10 mg) to Patient B.

8 76. According to Respondent's chart for Patient B, on or about June 14, 2018, Patient B  
9 reported scheduling an appointment with a pain specialist.

10 77. Throughout Respondent's care and treatment of Patient B in 2018, Respondent did  
11 not provide sufficient information regarding the risks of the medications prescribed or the use of  
12 them in combination with alcohol, consult with Patient B's psychiatrist or other treating  
13 physicians to confirm reported medications, conduct an adequate history and physical  
14 examination, perform appropriate testing to assess for risk of substance abuse, misuse, or  
15 addiction, provide sufficient information to obtain informed consent, establish an opioid  
16 management plan; require more frequent office visits, or perform adequate monitoring regarding  
17 compliance.

18 78. Throughout the entirety of Respondent's care and treatment of Patient B, on multiple  
19 occasions, Respondent received information from Patient B's friends, family, and other treatment  
20 providers, regarding Patient B's potential issues with controlled substances, including opiates.

21 79. Throughout the entirety of Respondent's care and treatment of Patient B, Respondent  
22 did not appropriately or timely respond to red flag indications of abuse or diversion exhibited by  
23 Patient B or reported by friends, family, and other treatment providers.

24 80. According to Patient B's CURES report, from on or about April 2013, through on or  
25 about April 2016, based upon prescriptions and refills issued or authorized by Respondent,  
26 Patient B obtained approximately 240 tablets of oxycodone (30 mg).

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1           81. According to Patient B's CURES report, from on or about April 2013, through on or  
2 about April 2016, based upon prescriptions and refills issued or authorized by Respondent,  
3 Patient B obtained approximately 1,275 tablets of Percocet (10/325) and/or Endocet (10/325).

4           82. According to Patient B's CURES report, from on or about April 2013, through on or  
5 about April 2016, based upon prescriptions and refills issued or authorized by Respondent,  
6 Patient B obtained approximately 410 tablets of Norco (10/325).

7           83. According to Patient B's CURES report, from on or about April 2013, through on or  
8 about April 2016, based upon prescriptions and refills issued or authorized by Respondent,  
9 Patient B obtained approximately 120 tablets of lorazepam (2 mg).

10           84. According to Patient B's CURES report, from on or about April 2013, through on or  
11 about April 2016, based upon prescriptions and refills issued or authorized by Respondent,  
12 Patient B obtained approximately 240 tablets of Ambien (10 mg).

13           85. According to Patient B's CURES report, from on or about April 2013, through on or  
14 about April 2016, based upon prescriptions and refills issued or authorized by Respondent,  
15 Patient B obtained approximately 30 tablets of Zaleplon (10 mg).

16           86. According to Patient B's CURES report, from on or about April 2013, through on or  
17 about April 2016, based upon prescriptions and refills issued or authorized by other medical  
18 providers, Patient B also regularly obtained controlled substances, including, but not limited to,  
19 lorazepam, alprazolam, clonazepam, Norco and Suboxone.

20           87. Respondent committed gross negligence in his care and treatment of Patient B, which  
21 included, but is not limited to:

22           A. Paragraphs 28 through 86, above, are hereby incorporated by reference and  
23 realleged as if fully set forth herein;

24           B. Respondent failed to document and/or develop a treatment plan or document  
25 and/or identify objectives for which a treatment plan could be evaluated,  
26 including the failure to discuss or document Patient B's reported pain levels,  
27 anxiety improvement, or sleep quality;

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- C. Respondent failed to document or sufficiently inform Patient B of the risks and benefits associated with the use of the prescribed controlled substances, including the failure to discuss the risks associated with the combined use of opioids and benzodiazepines, and the failure to discuss the additional risks associated with a personal history of polysubstance abuse and reported substance abuse related arrests;
- D. Respondent failed to perform periodic evaluations regarding Patient B's progress toward treatment objectives, including the failure to document any change in pain level, sleep quality, or anxiety improvement;
- E. Respondent failed to discuss with Patient B or timely refer Patient B for additional consultation, evaluation and treatment, in order to achieve treatment objectives, including the failure to enlist the aid of relevant specialists to determine the underlying cause of Patient B's issues or suggest alternative treatments;
- F. Respondent failed to give special attention to Patient B who was at risk for misusing or diverting medications based upon his personal history of reported polysubstance abuse, reported arrest for unlawfully being under the influence of a controlled substance, and reports of abuse from friends, family, and other providers, including the failure to consider a trial chronic opioid therapy, or obtain an opioid management plan; and
- G. Respondent failed to maintain adequate and accurate medical records regarding his care and treatment of Patient B, including the failure to document critical patient-care related discussions.

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1 Patient C

2 88. On or about April 13, 2009, Patient C, a then 39-year old female, presented for an  
3 initial consultation with Respondent for chronic back pain.

4 89. From in or around 2009, through in or around 2018, Respondent provided care and  
5 treatment to Patient C for, among other things, pain, attention deficit disorder, anxiety, insomnia  
6 and hypertension.

7 90. From in or around 2009, through in or around 2018, Respondent prescribed several  
8 controlled substances to Patient C, including, but not limited to, Percocet, Endocet, alprazolam,  
9 Ambien, Phentermine,<sup>19</sup> hydromorphone,<sup>20</sup> and dextroamphetamine.<sup>21</sup>

10 91. In or around 2009, Respondent saw Patient C at approximately four (4) visits,  
11 including, but not limited to: April 13, 2009, May 5, 2009, July 31, 2009, and September 2,  
12 2009. Respondent's notes for his interactions with Patient C during these visits are sparse and  
13 often illegible. Respondent's records for Patient C also indicate numerous requests for early  
14 refills.

15 92. In or around 2010, Respondent saw Patient C at approximately four (4) visits,  
16 including, but not limited to: January 21, 2010, January 29, 2010, June 22, 2010, and October 22,  
17 2010. Respondent's notes for his interactions with Patient C during these visits are sparse and  
18 often illegible. Respondent's records for Patient C also indicate numerous requests for early  
19 refills.

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21 <sup>19</sup> Phentermine is a Schedule IV controlled substance pursuant to Health and Safety Code section  
22 11057, subdivision (f), and a dangerous drug pursuant to Business and Professions Code section 4022. It  
is a stimulant and an appetite suppressant.

23 <sup>20</sup> Hydromorphone, brand name Dilaudid, is a Schedule II controlled substance pursuant to Health  
24 and Safety Code section 11055, subdivision (b), and a dangerous drug pursuant to Business and  
Professions Code section 4022.

25 <sup>21</sup> Dextroamphetamine is a Schedule II controlled substance pursuant to Health and Safety Code  
26 section 11055, subdivision (d), and a dangerous drug pursuant to Business and Professions Code section  
27 4022. Adderall is a brand name for dextroamphetamine and amphetamine, a Schedule II controlled  
28 substance pursuant to Health and Safety Code section 11055, subdivision (d), and a dangerous drug  
pursuant to Business and Professions Code section 4022. It is an amphetamine salt used for attention-  
deficit hyperactivity disorder and narcolepsy.

1           93. In or around 2011, Respondent saw Patient C at approximately two (2) visits,  
2 including, but not limited to: May 3, 2011 and September 1, 2011. Respondent's notes for his  
3 interactions with Patient C during these visits are sparse and often illegible. Respondent's records  
4 for Patient C also indicate numerous requests for early refills.

5           94. In or around 2012, Respondent saw Patient C at approximately two (2) visits,  
6 including, but not limited to: April 16, 2012 and June 4, 2012. Respondent's notes for his  
7 interactions with Patient C during these visits are sparse and often illegible.

8           95. In or around 2012, Respondent's records for Patient C indicate Patient C made  
9 numerous requests for early refills, on dates including, but not limited to: February 24, 2012,  
10 May 7, 2012, May 30, 2012, August 17, 2012, September 18, 2012, and December 4, 2012.

11           96. On or about February 16, 2012, Patient C sent an email to Respondent requesting a  
12 prescription for Xanax and sleeping medication. According to Respondent's records for Patient  
13 C, Respondent issued a prescription to Patient C for 60 tablets of Xanax (0.25 mg) and 30 tablets  
14 of Ambien (10 mg). Respondent's records for Patient C show no corresponding patient visit or  
15 discussion with Patient C regarding these medications.

16           97. On or about February 24, 2012, Patient C sent an email to Respondent requesting an  
17 early refill stating previous issues with a pharmacy refusing to refill her medications.

18           98. On or about April 16, 2012, Patient C presented for a general check-up and refill of  
19 medications. Respondent's notes for this visit indicate Respondent prescribed 180 tablets of  
20 Percocet (10/325) and 60 tablets of Adderall (20 mg) to Patient C, with no documented discussion  
21 regarding Patient C's pain level, or the risks, benefits, or side effects of Patient C's medications.

22           99. On or about May 7, 2012, Patient C sent an email to Respondent requesting an early  
23 refill. Patient C exchanged emails with Respondent discussing issues in obtaining prescription  
24 refills from Patient C's pharmacist.

25           100. Throughout Respondent's care and treatment of Patient C in 2012, Respondent did  
26 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
27 regarding the risks of the medications prescribed, or perform periodic reviews to evaluate Patient  
28 C's progress toward treatment objectives.

1           101. In or around 2013, Respondent saw Patient C at approximately three (3) visits,  
2 including, but not limited to: January 17, 2013, July 29, 2013, and August 9, 2013. Respondent's  
3 notes for his interactions with Patient C during these visits are sparse and often illegible.

4           102. In or around 2013, Respondent's records for Patient C indicate Patient C made  
5 requests for early refills, on dates including, but not limited to: March 11, 2013.

6           103. On or about July 29, 2013, Patient C presented for a follow up visit with Respondent  
7 for refills of her medications. Respondent's notes for this visit indicate Patient C has been seeing  
8 a psychologist. Respondent's notes for this visit indicate Respondent prescribed 60 tablets of  
9 Xanax (0.25 mg) to Patient C, with no documented discussion regarding Patient C's anxiety  
10 levels, or the risks, benefits, or side effects of Xanax.

11           104. Throughout Respondent's care and treatment of Patient C in 2013, Respondent did  
12 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
13 regarding the risks of the medications prescribed, or perform periodic reviews to evaluate Patient  
14 C's progress toward treatment objectives.

15           105. In or around 2014, Respondent saw Patient C at approximately two (2) visits,  
16 including, but not limited to: January 14, 2014 and December 9, 2014. Respondent's notes for  
17 his interactions with Patient C during these visits are sparse and his handwritten notes are often  
18 illegible.

19           106. On or about January 14, 2014, Patient C presented for a general check-up visit with  
20 Respondent. Respondent's notes for this visit indicate Respondent prescribed 180 tablets of  
21 Percocet (10/325) and 60 tablets of Adderall (20 mg) to Patient C, with no documented discussion  
22 regarding Patient C's pain levels, or the risks, benefits, or side effects of these medications.

23           107. Throughout Respondent's care and treatment of Patient C in 2014, Respondent did  
24 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
25 regarding the risks of the medications prescribed, or perform periodic reviews to evaluate Patient  
26 C's progress toward treatment objectives. Furthermore, Respondent's records make no mention  
27 of CURES review, urine toxicology screening, or consideration of alternative treatments.

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1           108. In or around 2015, Respondent saw Patient C at approximately one (1) office visit,  
2 including, but not limited to: January 20, 2015. Respondent's notes for his interactions with  
3 Patient C during this visit are sparse and his handwritten notes are illegible.

4           109. On January 20, 2015, Patient C presented for a visit to discuss recent weight gain and  
5 the desire to begin Phentermine. Respondent's notes for this visit indicate Respondent prescribed  
6 30 tablets of Phentermine (37.5 mg), 30 tablets of Ambien (10 mg), and 180 tablets of Percocet  
7 (10/325), to Patient C, with no documented discussion regarding Patient C's pain levels, or the  
8 risks, benefits, or side effects of these medications.

9           110. Throughout Respondent's care and treatment of Patient C in 2015, Respondent did  
10 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
11 regarding the risks of the medications prescribed, or perform periodic reviews to evaluate Patient  
12 C's progress toward treatment objectives. Furthermore, Respondent's records make no mention  
13 of CURES review, urine toxicology screening, or consideration of alternative treatments.

14           111. In or around 2016, Respondent saw Patient C at approximately one (1) office visit,  
15 including, but not limited to: September 30, 2016. Respondent's notes for his interactions with  
16 Patient C during this visit are sparse and his handwritten notes are illegible.

17           112. On or about September 30, 2016, Patient C presented for a routine follow up visit  
18 with Respondent. Respondent's notes for this visit do not indicate what medications were  
19 reviewed or prescribed.

20           113. On or about October 3, 2016, Patient C contacted Respondent's office requesting an  
21 early refill of Percocet, stating her medications were lost in the ocean.

22           114. Throughout Respondent's care and treatment of Patient C in 2016, Respondent did  
23 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
24 regarding the risks of the medications prescribed, or perform periodic reviews to evaluate Patient  
25 C's progress toward treatment objectives. Furthermore, Respondent's records make no mention  
26 of CURES review, urine toxicology screening, or consideration of alternative treatments.

27           115. In or around 2017, Respondent saw Patient C at approximately four (4) visits,  
28 including, but not limited to: July 11, 2017, August 10, 2017, November 7, 2017, and November



1 20, 2017. Respondent's notes for his interactions with Patient C during these visits are sparse and  
2 his handwritten notes are illegible.

3 116. On or about November 20, 2017, Patient C presented for a visit with Respondent to  
4 discuss her blood pressure medication. Respondent's notes for this visit indicate Respondent  
5 prescribed 60 tablets of Percocet (10/325) to finish Patient C's previous prescription, with no  
6 documented discussion regarding Patient C's pain levels, or the risks, benefits, or side effects of  
7 these medications.

8 117. Throughout Respondent's care and treatment of Patient C in 2017, Respondent did  
9 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
10 regarding the risks of the medications prescribed, or perform periodic reviews to evaluate Patient  
11 C's progress toward treatment objectives. Furthermore, Respondent's records show minimal  
12 physical exam and make no mention of CURES review, urine toxicology screening, or  
13 consideration of alternative treatments.

14 118. In or around 2018, Respondent saw Patient C at approximately one (1) office visit,  
15 including, but not limited to: June 21, 2018. Respondent's notes for his interactions with Patient  
16 C during this visit are sparse and his handwritten notes are illegible.

17 119. On or about May 22, 2018, Respondent sent correspondence to Patient C indicating  
18 he can no longer prescribe narcotics and tranquilizers to the same patient, and that Patient C must  
19 decide which medication she would like to continue. Respondent's records for Patient C also  
20 indicate Respondent made a referral to a pain management specialist on May 22, 2018.

21 120. On or about June 21, 2018, Patient C presented for a follow up appointment and  
22 refills of her medications. Respondent's notes for this visit indicate Respondent increased Patient  
23 C's medication for Xanax from 0.25 mg to 0.5 mg with no documentation of the reason for this  
24 increase. Respondent's notes for this visit indicate Respondent's prescription for Ambien was  
25 discontinued with no documentation of the reason for this change. Respondent's notes for this  
26 visit indicate Respondent prescribed 180 tablets of Percocet (10/325) to Patient C, with no  
27 documented discussion regarding Patient C's pain level, or the risks, benefits, or side effects of  
28 Percocet.

1           121. On or about June 21, 2018, Patient C provided a urine sample which tested positive  
2 for benzodiazepines and opiates, and negative for oxycodone.

3           122. Throughout Respondent's care and treatment of Patient C in 2018, Respondent did  
4 not discuss an overall treatment plan, identify objectives and goals, provide sufficient information  
5 regarding the risks of the medications prescribed, or perform periodic reviews to evaluate Patient  
6 C's progress toward treatment objectives.

7           123. Throughout the entirety of Respondent's care and treatment of Patient C, Respondent  
8 did not appropriately or timely respond to red flag indications of abuse or diversion exhibited by  
9 Patient C.

10           124. According to Patient C's CURES report, from on or about January 2014, through on  
11 or about January 2017, based upon prescriptions and refills issued or authorized by Respondent,  
12 Patient C obtained approximately 4,500 tablets of Percocet (10/325).

13           125. According to Patient C's CURES report, from on or about January 2014, through on  
14 or about January 2017, based upon prescriptions and refills issued or authorized by Respondent,  
15 Patient C obtained approximately 2,340 tablets of Endocet (10/325).

16           126. According to Patient C's CURES report, from on or about January 2014, through on  
17 or about January 2017, based upon prescriptions and refills issued or authorized by Respondent,  
18 Patient C obtained approximately 1,500 tablets of alprazolam (0.25 mg).

19           127. According to Patient C's CURES report, from on or about January 2014, through on  
20 or about January 2017, based upon prescriptions and refills issued or authorized by Respondent,  
21 Patient C obtained approximately 1,140 tablets of Ambien (10 mg).

22           128. According to Patient C's CURES report, from on or about January 2014, through on  
23 or about January 2017, based upon prescriptions and refills issued or authorized by Respondent,  
24 Patient C obtained approximately 540 tablets of phentermine (37.5 mg).

25           129. According to Patient C's CURES report, from on or about January 2014, through on  
26 or about January 2017, based upon prescriptions and refills issued or authorized by Respondent,  
27 Patient C obtained approximately 480 tablets of dextroamphetamine (20 mg).

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1 130. According to Patient C's CURES report, from on or about January 2014, through on  
2 or about January 2017, based upon prescriptions and refills issued or authorized by Respondent,  
3 Patient C obtained approximately 100 tablets of hydromorphone (8 mg).

4 131. Respondent committed gross negligence in his care and treatment of Patient C, which  
5 included, but is not limited to:

6 A. Paragraphs 88 through 130, above, are hereby incorporated by reference and  
7 realleged as if fully set forth herein;

8 B. Respondent failed to document and/or develop a treatment plan or document  
9 and/or identify objectives for which a treatment plan could be evaluated, including  
10 the failure to discuss or document Patient C's reported pain levels, anxiety  
11 improvement, or sleep quality; and

12 C. Respondent failed to maintain adequate and accurate medical records regarding  
13 his care and treatment of Patient C, including the failure to document critical  
14 patient-care related discussions.

15 **Patient D**

16 132. In or around 2013, Patient D, a then 33-year old male, was being treated by  
17 Respondent for, among other things, chronic back pain.

18 133. From in or around 2013, through in or around 2016, Respondent provided care and  
19 treatment to Patient D for, among other things, chronic back pain, anxiety, and adult attention  
20 deficit hyperactivity disorder (ADHD).

21 134. From in or around 2013, through in or around 2016, Respondent prescribed several  
22 controlled substances to Patient D, including, but not limited to, oxycodone, Norco, Adderall, and  
23 alprazolam.

24 135. In or around 2013, Respondent saw Patient D at approximately 3 (three) visits,  
25 including, but not limited to: June 27, 2013, August 2, 2013, and November 11, 2013.  
26 Respondent's notes for his interactions with Patient D during these visits are sparse and often  
27 illegible. Respondent's notes for these visits show no documentation of a discussion with Patient  
28 D regarding the cause of his back pain, pain level, review of his CURES activity report, side

1 effects of the medications prescribed, opioid agreement, consideration of urine toxicology  
2 screening, or alternative treatments.

3 136. Throughout Respondent's care and treatment of Patient D in 2013, Respondent did  
4 not document any discussion regarding an overall treatment plan, identify objectives and goals of  
5 treatment, provide sufficient information regarding the risks of the medications prescribed, or  
6 perform periodic reviews to evaluate Patient D's progress.

7 137. In or around 2014, Respondent saw Patient D at approximately four (4) visits,  
8 including, but not limited to: February 7, 2014, May 19, 2014, September 19, 2014, and  
9 December 9, 2014. Respondent's notes for his interactions with Patient D during these visits are  
10 sparse. Respondent's notes for these visits show no documentation of a discussion with Patient D  
11 regarding the cause of his back pain, pain level, review of his CURES activity report, side effects  
12 of the medications prescribed, opioid agreement, consideration of urine toxicology screening, or  
13 alternative treatments.

14 138. On or about September 19, 2014, Patient D presented for a follow up visit with  
15 Respondent. According to Respondent's records for this visit, Patient D indicated he wanted to  
16 change his medication from Xanax to Adderall. No further discussion is documented for the  
17 reason for this change. Respondent's records for this visit indicate Respondent prescribed 60  
18 tablets of Adderall (30 mg) to Patient D, with no documented discussion regarding the risks,  
19 benefits, or side effects of Patient D's medications.

20 139. Throughout Respondent's care and treatment of Patient D in 2014, Respondent did  
21 not document any discussion regarding an overall treatment plan, identify objectives and goals of  
22 treatment, provide sufficient information regarding the risks of the medications prescribed, or  
23 perform periodic reviews to evaluate Patient D's progress.

24 140. In or around 2015, Respondent saw Patient D at approximately one (1) visit,  
25 including, but not limited to: August 25, 2015. Respondent's notes for his interactions with  
26 Patient D during this visit are sparse. Respondent's notes for this visit shows no documentation  
27 of a discussion with Patient D regarding the cause of his back pain, pain level, review of his

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1 CURES activity report, side effects of the medications prescribed, opioid agreement,  
2 consideration of urine toxicology screening, or alternative treatments.

3 141. Throughout Respondent's care and treatment of Patient D in 2015, Respondent did  
4 not document any discussion regarding an overall treatment plan, identify objectives and goals of  
5 treatment, provide sufficient information regarding the risks of the medications prescribed, or  
6 perform periodic reviews to evaluate Patient D's progress.

7 142. In or around 2016, Respondent saw Patient D at approximately one (1) visit,  
8 including, but not limited to: March 11, 2016. Respondent's notes for his interactions with  
9 Patient D during this visit are sparse. Respondent's notes for this visit shows no documentation  
10 of a discussion with Patient D regarding the cause of his back pain, pain level, review of his  
11 CURES activity report, side effects of the medications prescribed, opioid agreement,  
12 consideration of urine toxicology screening, or alternative treatments.

13 143. On or about March 11, 2016, Patient D presented for a follow up visit with  
14 Respondent. According to Respondent's records for this visit, Patient D indicated he was  
15 recently involved in a motor vehicle accident wherein all of his upper teeth had been knocked out.  
16 Respondent's notes for this visit show no further discussion regarding how Patient D was treated  
17 as a result of this incident or any medications Patient D may have received from other physicians.

18 144. Throughout Respondent's care and treatment of Patient D in 2016, Respondent did  
19 not document any discussion regarding an overall treatment plan, identify objectives and goals of  
20 treatment, provide sufficient information regarding the risks of the medications prescribed, or  
21 perform periodic reviews to evaluate Patient D's progress.

22 145. Throughout the entirety of Respondent's care and treatment of Patient D, Respondent  
23 did not appropriately or timely respond to red flag indications of abuse or diversion exhibited by  
24 Patient D.

25 146. Throughout the entirety of Respondent's care and treatment of Patient D, Respondent  
26 regularly prescribed to Patient D a combination of opioid and benzodiazepine medications with  
27 no documentation of periodic review or discussion with Patient D as to their efficacy or  
28 monitoring of these controlled substances.

1 147. Throughout the entirety of Respondent's care and treatment of Patient D, Respondent  
2 never ordered X-rays or imaging studies to evaluate the cause of Patient D's back pain.

3 148. Throughout the entirety of Respondent's care and treatment of Patient D, Respondent  
4 never sent Patient D for a formal evaluation for ADHD or anxiety.

5 149. According to Patient D's CURES report, from on or about January 2014, through on  
6 or about December 2016, based upon prescriptions and refills issued or authorized by  
7 Respondent, Patient D obtained approximately 9,120 tablets of oxycodone (30 mg).

8 150. According to Patient D's CURES report, from on or about January 2014, through on  
9 or about December 2016, based upon prescriptions and refills issued or authorized by  
10 Respondent, Patient D obtained approximately 1,440 tablets of Adderall (30 mg).

11 151. According to Patient D's CURES report, from on or about January 2014, through on  
12 or about December 2016, based upon prescriptions and refills issued or authorized by  
13 Respondent, Patient D obtained approximately 1,170 tablets of Xanax (2 mg).

14 152. According to Patient D's CURES report, from on or about January 2014, through on  
15 or about December 2016, based upon prescriptions and refills issued or authorized by  
16 Respondent, Patient D obtained approximately 1,080 tablets of Norco (10/325).

17 153. Respondent committed gross negligence in his care and treatment of Patient D, which  
18 included, but is not limited to:

19 A. Paragraphs 132 through 152, above, are hereby incorporated by reference and  
20 realleged as if fully set forth herein;

21 B. Respondent failed to document and/or develop a treatment plan or document  
22 and/or identify objectives for which a treatment plan could be evaluated, including  
23 the failure to discuss or document Patient D's reported pain levels or anxiety levels;

24 C. Respondent failed to document or sufficiently inform Patient D of the risks and  
25 benefits associated with the use of the prescribed controlled substances, including  
26 the failure to discuss the risks associated with the combined use of opioids and  
27 benzodiazepines;

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1 D. Respondent failed to perform periodic evaluations regarding Patient D's  
2 progress toward treatment objectives, including the failure to document any change  
3 in pain level or anxiety level;

4 E. Respondent failed to discuss with Patient D or timely refer Patient D for  
5 additional consultation, evaluation and treatment, in order to achieve treatment  
6 objectives, including the failure to enlist the aid of relevant specialists to determine  
7 the underlying cause of Patient D's chronic back pain or suggest alternative  
8 treatments;

9 F. Respondent failed to give special attention to Patient D who was at risk for  
10 misusing or diverting medications, including the failure to obtain an opioid  
11 management plan; and

12 G. Respondent failed to maintain adequate and accurate medical records regarding  
13 his care and treatment of Patient D, including the failure to document critical  
14 patient-care related discussions.

15 **Patient E**

16 154. In or around 2013, Patient E, a then 36-year old male, was being treated by  
17 Respondent for, among other things, chronic back pain.

18 155. From in or around 2013, through in or around 2016, Respondent provided care and  
19 treatment to Patient E for, among other things, chronic back pain, anxiety, and diabetes.

20 156. From in or around 2013, through in or around 2016, Respondent prescribed several  
21 controlled substances to Patient E, including, but not limited to, oxycodone, Norco, methadone,<sup>22</sup>  
22 clonazepam<sup>23</sup> and alprazolam.

23 157. In or around 2013, Respondent saw Patient E at approximately eight (8) visits,  
24 including, but not limited to: May 6, 2013, June 7, 2013, July 1, 2013, July 30, 2013, August 30,

25 <sup>22</sup> Methadone is a Schedule II controlled substance pursuant to Health and Safety Code section  
26 11055, subdivision (c), and a dangerous drug pursuant to Business and Professions Code section 4022.

27 <sup>23</sup> Clonazepam is a Schedule IV controlled substance pursuant to Health and Safety Code section  
28 11057, subdivision (d), and a dangerous drug pursuant to Business and Professions Code section 4022. It  
is an anti-anxiety medication in the benzodiazepine family.

1 2013, October 11, 2013, November 7, 2013, and December 9, 2013. Respondent's notes for his  
2 interactions with Patient E during these visits are sparse and often illegible. Respondent's notes  
3 for these visits show no documentation of a discussion with Patient E regarding the cause of his  
4 back pain, pain level, review of his CURES activity report, side effects of the medications  
5 prescribed, opioid agreement, consideration of urine toxicology screening, or alternative  
6 treatments.

7 158. Throughout Respondent's care and treatment of Patient E in 2013, Respondent did  
8 not document any discussion regarding an overall treatment plan, identify objectives and goals of  
9 treatment, provide sufficient information regarding the risks of the medications prescribed, or  
10 perform periodic reviews to evaluate Patient D's progress.

11 159. In or around 2014, Respondent saw Patient E at approximately eight (8) visits,  
12 including, but not limited to: January 6, 2014, April 1, 2014, June 30, 2014, July 17, 2014,  
13 August 26, 2014, September 25, 2014, October 23, 2014, and December 18, 2014. Respondent's  
14 notes for his interactions with Patient E during these visits are sparse. Respondent's notes for  
15 these visits show no documentation of a discussion with Patient E regarding the cause of his back  
16 pain, pain level, review of his CURES activity report, side effects of the medications prescribed,  
17 opioid agreement, consideration of urine toxicology screening, or alternative treatments.

18 160. On or about July 17, 2014, Patient E presented for a follow up visit with Respondent.  
19 According to Respondent's records for this visit, Patient E requested a letter from Respondent for  
20 his employer, indicating Patient E would be tapered off methadone and Xanax. Respondent's  
21 notes for this visit indicate a letter was provided to Patient E, however, no tapering doses or  
22 instructions are indicated in the records.

23 161. Throughout Respondent's care and treatment of Patient E in 2014, Respondent did  
24 not document any discussion regarding an overall treatment plan, identify objectives and goals of  
25 treatment, provide sufficient information regarding the risks of the medications prescribed, or  
26 perform periodic reviews to evaluate Patient D's progress.

27 162. In or around 2015, Respondent saw Patient E at approximately ten (10) visits,  
28 including, but not limited to: January 16, 2015, February 10, 2015, March 12, 2015, March 31,



1 2015, May 14, 2015, June 4, 2015, June 30, 2015, August 21, 2015, October 16, 2015, and  
2 November 19, 2015. Respondent's notes for his interactions with Patient E during these visits are  
3 sparse. Respondent's notes for these visits show no documentation of a discussion with Patient E  
4 regarding the cause of his back pain, pain level, review of his CURES activity report, side effects  
5 of the medications prescribed, opioid agreement, consideration of urine toxicology screening, or  
6 alternative treatments.

7 163. Throughout Respondent's care and treatment of Patient E in 2015, Respondent did  
8 not document any discussion regarding an overall treatment plan, identify objectives and goals of  
9 treatment, provide sufficient information regarding the risks of the medications prescribed, or  
10 perform periodic reviews to evaluate Patient D's progress.

11 164. In or around 2016, Respondent saw Patient E at approximately three (3) visits,  
12 including, but not limited to: January 19, 2016, February 16, 2016, and March 11, 2016.  
13 Respondent's notes for his interactions with Patient E during these visits are sparse.  
14 Respondent's notes for these visits show no documentation of a discussion with Patient E  
15 regarding the cause of his back pain, pain level, review of his CURES activity report, side effects  
16 of the medications prescribed, opioid agreement, consideration of urine toxicology screening, or  
17 alternative treatments.

18 165. Throughout Respondent's care and treatment of Patient E in 2016, Respondent did  
19 not document any discussion regarding an overall treatment plan, identify objectives and goals of  
20 treatment, provide sufficient information regarding the risks of the medications prescribed, or  
21 perform periodic reviews to evaluate Patient D's progress.

22 166. Throughout the entirety of Respondent's care and treatment of Patient E, Respondent  
23 regularly prescribed to Patient E a combination of opioid and benzodiazepine medications with no  
24 documentation of periodic review or discussion with Patient E as to their efficacy or monitoring  
25 of these controlled substances.

26 167. Throughout the entirety of Respondent's care and treatment of Patient E, Respondent  
27 never ordered X-rays or imaging studies to evaluate the cause of Patient E's back pain.

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1 168. Throughout the entirety of Respondent's care and treatment of Patient E, Respondent  
2 never sent Patient E for a formal evaluation for anxiety.

3 169. According to Patient E's CURES report, from on or about January 2014, through on  
4 or about December 2016, based upon prescriptions and refills issued or authorized by  
5 Respondent, Patient E obtained approximately 8,460 tablets of Methadone (10 mg).

6 170. According to Patient E's CURES report, from on or about January 2014, through on  
7 or about December 2016, based upon prescriptions and refills issued or authorized by  
8 Respondent, Patient E obtained approximately 4,800 tablets of oxycodone (30 mg).

9 171. According to Patient E's CURES report, from on or about January 2014, through on  
10 or about December 2016, based upon prescriptions and refills issued or authorized by  
11 Respondent, Patient E obtained approximately 450 tablets of Norco (10/325).

12 172. According to Patient E's CURES report, from on or about January 2014, through on  
13 or about December 2016, based upon prescriptions and refills issued or authorized by  
14 Respondent, Patient E obtained approximately 4,740 tablets of alprazolam (2 mg).

15 173. According to Patient E's CURES report, from on or about January 2014, through on  
16 or about December 2016, based upon prescriptions and refills issued or authorized by  
17 Respondent, Patient E obtained approximately 1,860 tablets of clonazepam (1 mg).

18 174. Respondent committed gross negligence in his care and treatment of Patient E, which  
19 included, but is not limited to:

20 A. Paragraphs 154 through 173, above, are hereby incorporated by reference and  
21 realleged as if fully set forth herein;

22 B. Respondent failed to document and/or develop a treatment plan or document  
23 and/or identify objectives for which a treatment plan could be evaluated,  
24 including the failure to discuss or document Patient E's reported pain levels or  
25 anxiety levels;

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- 1 C. Respondent failed to document or sufficiently inform Patient E of the risks and  
2 benefits associated with the use of the prescribed controlled substances, including  
3 the failure to discuss the risks associated with the combined use of opioids and  
4 benzodiazepines;
- 5 D. Respondent failed to perform periodic evaluations regarding Patient E's progress  
6 toward treatment objectives, including the failure to document any change in pain  
7 level or anxiety level;
- 8 E. Respondent failed to discuss with Patient E or timely refer Patient E for additional  
9 consultation, evaluation and treatment, in order to achieve treatment objectives,  
10 including the failure to enlist the aid of relevant specialists to determine the  
11 underlying cause of Patient E's issues or suggest alternative treatments;
- 12 F. Respondent failed to give special attention to Patient E who was at risk for  
13 misusing or diverting medications, including the failure to consider a trial chronic  
14 opioid therapy, or obtain an opioid management plan; and
- 15 G. Respondent failed to maintain adequate and accurate medical records regarding  
16 his care and treatment of Patient E, including the failure to document critical  
17 patient-care related discussions.

18 **SECOND CAUSE FOR DISCIPLINE**

19 **(Repeated Negligent Acts)**

20 175. Respondent Paul Gilbert Johnson, M.D. has further subjected his Physician's and  
21 Surgeon's Certificate No. G 18771 to disciplinary action under sections 2227 and 2234, as  
22 defined by 2234, subdivision (c), of the Code, in that he committed repeated negligent acts in his  
23 care and treatment of Patients A, B, C, D, and E, as more particularly alleged herein.

24 **Patient A**

25 176. Respondent committed repeated negligent acts in his care and treatment of Patient A,  
26 which included, but are not limited to:

- 27 A. Paragraphs 9 through 27, above, are hereby incorporated by reference and  
28 realleged as if fully set forth herein;

- 1 B. Respondent failed to perform and/or document a complete history and physical
- 2 examination of Patient A throughout his care;
- 3 C. Respondent failed to give special attention to Patient A who was at risk for
- 4 misusing or diverting medications based upon his family history of alcoholism
- 5 and reported moderate use of alcohol prior to initiating chronic opioid therapy,
- 6 including the failure to consider a trial chronic opioid therapy, or obtain an opioid
- 7 management plan;
- 8 D. Respondent failed to make reasonable efforts to monitor for compliance to ensure
- 9 the controlled substances and medications prescribed to Patient A were not being
- 10 diverted, were not excessive or inappropriate; and
- 11 E. Respondent failed to perform urine toxicology screens, review CURES, recognize
- 12 and explore red flag behavior, or obtain an appropriate opioid agreement with
- 13 Patient A.

14 **Patient B**

15 177. Respondent committed repeated negligent acts in his care and treatment of Patient B,

16 which included, but are not limited to:

- 17 A. Paragraphs 28 through 87, above, are hereby incorporated by reference and
- 18 realleged as if fully set forth herein; and
- 19 B. Respondent failed to perform and/or document a complete history and physical
- 20 examination of Patient B throughout his care; and
- 21 C. Respondent failed to perform urine toxicology screens, review CURES, recognize
- 22 and explore red flag behavior, or obtain an appropriate opioid agreement with
- 23 Patient B.

24 **Patient C**

25 178. Respondent committed repeated negligent acts in his care and treatment of Patient C,

26 which included, but is not limited to:

- 27 A. Paragraphs 88 through 131, above, are hereby incorporated by reference and
- 28 realleged as if fully set forth herein; and

- 1 B. Respondent failed to perform and/or document a complete history and physical
- 2 examination of Patient C throughout his care;
- 3 C. Respondent failed to document or sufficiently inform Patient C of the risks and
- 4 benefits associated with the use of the prescribed controlled substances, including
- 5 the failure to discuss the risks associated with the combined use of opioids and
- 6 benzodiazepines;
- 7 D. Respondent failed to perform periodic evaluations regarding Patient C's progress
- 8 toward treatment objectives, including the failure to document any change in pain
- 9 level, sleep quality, or anxiety improvement;
- 10 E. Respondent failed to discuss with Patient C or timely refer Patient C for
- 11 additional consultation, evaluation and treatment, in order to achieve treatment
- 12 objectives, including the failure to enlist the aid of relevant specialists to
- 13 determine the underlying cause of Patient C's issues or suggest alternative
- 14 treatments;
- 15 F. Respondent failed to give special attention to Patient C who was at risk for
- 16 misusing or diverting medications and made numerous requests for early refills.
- 17 and reported several issues in obtaining medication refills from pharmacies;
- 18 G. Respondent failed to make reasonable efforts to monitor for compliance to ensure
- 19 the controlled substances and medications prescribed to Patient C were not being
- 20 diverted, were not excessive or inappropriate; and
- 21 H. Respondent failed to perform urine toxicology screens, review CURES, recognize
- 22 and explore red flag behavior, or obtain an appropriate opioid agreement with
- 23 Patient C.

24 **Patient D**

25 179. Respondent committed repeated negligent acts in his care and treatment of Patient D,  
26 which included, but is not limited to:

- 27 A. Paragraphs 132 through 153, above, are hereby incorporated by reference and
- 28 realleged as if fully set forth herein; and

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B. Respondent failed to perform and/or document a complete history and physical examination of Patient D throughout his care; and

C. Respondent failed to perform urine toxicology screens, review CURES, recognize and explore red flag behavior, or obtain an appropriate opioid agreement with Patient D.

**Patient E**

180. Respondent committed repeated negligent acts in his care and treatment of Patient E, which included, but is not limited to:

A. Paragraphs 154 through 174, above, are hereby incorporated by reference and realleged as if fully set forth herein; and

B. Respondent failed to perform and/or document a complete history and physical examination of Patient E throughout his care; and

C. Respondent failed to perform urine toxicology screens, review CURES, recognize and explore red flag behavior, or obtain an appropriate opioid agreement with Patient E.

**THIRD CAUSE FOR DISCIPLINE**

**(Failure to Maintain Adequate and/or Accurate Records)**

181. Respondent Paul Gilbert Johnson, M.D. has further subjected his Physician's and Surgeon's Certificate No. G 18771 to disciplinary action under sections 2227 and 2234, as defined by 2266, of the Code, in that he failed to maintain adequate and accurate records regarding his care and treatment of Patients A, B, C, D, and E, as more particularly alleged in paragraphs 9 through 180, above, which are hereby incorporated by reference and realleged as if fully set forth herein.

**FOURTH CAUSE FOR DISCIPLINE**

**(Violations of the Medical Practice Act)**

182. Respondent Paul Gilbert Johnson, M.D. has further subjected his Physician's and Surgeon's Certificate No. G 18771 to disciplinary action under sections 2227 and 2234, as defined by 2234, subdivision (a), of the Code, in that he committed a violation or violations of a

1 provision or provisions of the Medical Practice Act in his care and treatment of Patients A, B, C,  
2 D, and E, as more particularly alleged in paragraphs 8 through 181, above, which are hereby  
3 incorporated by reference and realleged as if fully set forth herein.

4 **FIFTH CAUSE FOR DISCIPLINE**

5 **(General Unprofessional Conduct)**

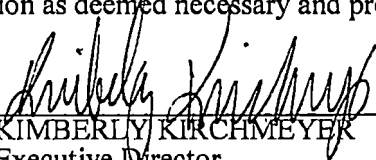
6 183. Respondent Paul Gilbert Johnson, M.D. has further subjected his Physician's and  
7 Surgeon's Certificate No. G 18771 to disciplinary action under sections 2227 and 2234 of the  
8 Code, in that he has engaged in conduct which breaches the rules or ethical code of the medical  
9 profession, or conduct which is unbecoming to a member in good standing of the medical  
10 profession, and which demonstrates an unfitness to practice medicine, in his care and treatment of  
11 Patients A, B, C, D, and E, as more particularly alleged in paragraphs 8 through 182, above,  
12 which are hereby incorporated by reference and realleged as if fully set forth herein. )

13 **PRAYER**

14 WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,  
15 and that following the hearing, the Medical Board of California issue a decision:

- 16 1. Revoking or suspending Physician's and Surgeon's Certificate No. G 18771, issued  
17 to Respondent Paul Gilbert Johnson, M.D.;
- 18 2. Revoking, suspending or denying approval of Respondent Paul Gilbert Johnson,  
19 M.D.'s authority to supervise physician assistants and advanced practice nurses;
- 20 3. Ordering Respondent Paul Gilbert Johnson, M.D., if placed on probation, to pay the  
21 Board the costs of probation monitoring; and
- 22 4. Taking such other and further action as deemed necessary and proper.

23 DATED: May 30, 2019

24   
25 KIMBERLY KIRCHMEYER  
26 Executive Director  
27 Medical Board of California  
28 Department of Consumer Affairs  
State of California  
Complainant

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