BEFORE THE DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusatio	n Against:)			
FRANCIS GERARD D'AMBI	ROSIO, M.D.	File No. 06	-2002-132815	
Physician's and Surgeon's Certificate No. G73590)))			
Responde	ent.)			
The attached Stipulated Decision and Order of the Div Department of Consumer Affa	ision of Medical	d Disciplinary	•	-
This Decision shall become	ome effective at	5:00 p.m. on _	April 2, 2007	·
IT IS SO ORDERED _	March 1, 200	· 7		•
		y: Luy	ARD OF CALIFO	J, MI
		Consolidated	• //	

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1	BILL LOCKYER, Attorney General of the State of California		
2	GAIL M. HEPPELL, Supervising Deputy Attorney General		
3	ISMAEL A. CASTRO, State Bar No. 85452 Deputy Attorney General		
4	California Department of Justice 1300 I Street, Suite 125		
5	P.O. Box 944255 Sacramento, CA 94244-2550		
6	Telephone: (916) 323-8203 Facsimile: (916) 327-2247		
7	Attorneys for Complainant		
8	Attorneys for complainant		
9	BEFORE THE DIVISION OF MEDICAL QUALITY		
10	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS		
11	STATE OF CALIFORNIA		
12			
13	In the Matter of the Accusation Against: Case No. 06-2002-132815		
14	FRANCIS GERARD D'AMBROSIO, M.D. 23852 Pacific Coast Highway, # 793 STIPULATED SETTLEMENT AND DISCIPLINARY ORDER		
15	23852 Pacific Coast Highway, # 793 Malibu, CA 92373 AND DISCIPLINARY ORDER		
16	Physician and Surgeon's Certificate No. G73590,		
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18	Respondent.		
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20	IT IS HEREBY STIPULATED AND AGREED by and between the parties to		
21	the above-entitled proceedings that the following matters are true:		
22	<u>PARTIES</u>		
23	1. Complainant David T. Thornton is the Executive Officer of the Medical		
24	Board of California. He brought this action solely in his official capacity and is represented in		
25	this matter by Bill Lockyer, Attorney General of the State of California, by Ismael A. Castro,		
26	Deputy Attorney General.		
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2. Respondent is represented by Peter R. Osinoff, Esq., Bonne, Bridges Mueller, O'Keefe & Nichols, 3699 Wilshire Boulevard, 10th Floor, Los Angeles, CA 90010-2179.

3. On or about March 10, 1992, the Medical Board of California issued Physician and Surgeon's Certificate No. G73590 to Francis Gerard D'Ambrosio, M.D. ("Respondent"). Said certificate was in full force and effect at all times relevant to these proceedings and will expire on February 28, 2008 unless renewed.

JURISDICTION

4. Accusation Number 06-2002-132815, was filed before the Division of Medical Quality, Medical Board of California ("Board"), and is currently pending against Respondent. The Accusation, together with all other statutorily required documents, was duly served on Respondent, and Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation Number 06-2002-132815 is attached as Exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read and fully understands the nature of the charges and allegations in the Accusation, Number 06-2002-132815. Respondent also has read and carefully considered the Stipulated Settlement and Disciplinary Order and understands the effect it will have on his ability to practice.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation, the right to be represented by counsel, at his own expense, the right to confront and cross-examine the witnesses against him, the right to present evidence and to testify on his own behalf and to the issuance of subpoenas to compel the attendance of witnesses and the production of documents, the right to reconsideration and court review of an adverse decision, and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 8. Respondent admits the truth of the allegations contained in the Third Cause for Discipline Accusation No. 06-2002-132815 and that cause exists for discipline pursuant to Business and Professions Code sections 2234(b).
- 9. Respondent agree that his Physician and Surgeon's Certificate is subject to discipline and agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

CONTINGENCY

- Quality, Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the settlement prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force and effect, except for this paragraph, it shall be inadmissable in any legal action between the parties, and the Board shall not be disqualified from further action by having considered the matter.
- 11. The parties understand that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 12. In consideration of the foregoing admissions and stipulations, the parties agree that the Medical Board of California may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

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DISCIPLINARY ORDER

IT IS HEREBY ORDERED THAT Physician and Surgeon's Certificate

Number G73590 issued to Respondent is revoked. However, the revocation is stayed and

Respondent is placed on probation for five (5) years on the following terms and conditions:

1. **CLINICAL TRAINING PROGRAM**. Within 60 (sixty) calendar days of the effective date of this Decision, Respondent shall enroll in a clinical training or education program equivalent to the Physician Assessment and Clinical Education Program (PACE) offered at the University of California, San Diego School of Medicine ("Program").

The Program shall consist of a Comprehensive Assessment program comprised of a two-day assessment of Respondent's physical and mental health; basic clinical and communication skills common to all clinicians; and medical knowledge, skill and judgment pertaining to the education in the area of practice in which Respondent was alleged to be deficient and which takes into account data obtained from the assessment, Decision(s), Accusation(s), and any other information that the Board or its designee deems relevant. Respondent shall pay all expenses associated with the clinical training program.

Based on Respondent's performance and test results in the two-day assessment clinical education program, the Program will advise the Board or its designee of its recommendation(s) for the scope and length of any additional education or clinical training, treatment for any medical condition, treatment for any psychological condition, or anything else affecting respondent's practice of medicine. Respondent shall comply with Program recommendations.

At the completion of any additional educational or clinical training recommended aft the two-day assessment, Respondent shall submit to and pass an examination. The Program's determination whether or not Respondent passed the examination or successfully completed the Program shall be binding.

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Respondent shall complete the Program not later than six months after

Respondent's initial enrollment unless the Board or its designee agrees in writing to a later time for completion. Failure to participate and complete successfully all phases of the Program outlined above constitutes unprofessional conduct and is a violation of this order.

- PROHIBITED PRACTICE. Pending completion of Condition Number
 Respondent is prohibited from performing surgery.
- 3. **PROCTOR REQUIREMENT**. Respondent shall be proctored for the first twelve (12) surgeries performed by Respondent in which Respondent acts as the primary surgeon. Respondent shall notify the Division in writing upon the completion of the twelfth surgery. No proctor is required if Respondent is assisting in a surgery.
- 4. **MONITORING**. Within 30 (thirty) calendar days of the effective date of this Decision, Respondent shall submit to the Division or its designee for prior approval as practice monitor, the names and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Division, including but not limited to any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all the monitoring costs.

The Division or its designee shall provide the approved monitor with copies of the Decision(s) and Accusation(s), and a proposed monitoring plan. Within 15 (fifteen) calendar days of receipt of the Decision(s), Accusation(s), and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision(s), Accusation(s), fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement.

Within 60 (sixty) calendar days of the effective date of this Decision, and continuing throughout probation, Respondent's practice shall be monitored by the approved

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monitor. respondent shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

The monitor(s) shall submit a quarterly written report to the Division or its designee which includes an evaluation of Respondent's performance, indicating whether Respondent's practices are within the standards of practice of medicine or billing, or both, and whether Respondent is practicing medicine safely, billing appropriately, or both.

It shall be the sole responsibility of Respondent to ensure that the monitor submits the quarterly written reports to the Division or its designee within ten (10) calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, Respondent shall, within five (5) calendar days of such resignation or unavailability, submit to the Division or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within 15 (fifteen) calendar days. If Respondent fails to obtain approval of a replacement monitor within 60 (sixty) days of the resignation or unavailability of the monitor, Respondent shall be suspended from the practice of medicine until a replacement monitor is approved and prepared to assume immediate monitoring responsibility. Respondent shall cease the practice of medicine within three (3) calendar days after being so notified by the Division or its designee.

In lieu of a monitor, Respondent may participate in a professional enhancement program equivalent to the one offered by the Physician Assessment and Clinical Education Program at the University of California, San Diego School of Medicine, that includes, at minimum, quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of probation.

Failure to maintain all records, or to make all appropriate records available for immediate inspection and copying on the premises, or to comply with this condition as outlined above, is a violation of probation.

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5. **NOTIFICATION.** Prior to engaging in the practice of medicine, Respondent shall provide a true copy of the Decision(s) and Accusation(s) to the chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Division or its designee within 15 (fifteen) calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 6. **OBEY ALL LAWS**. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California, and remain in full compliance with any court ordered criminal probation, payments, or other orders.
- 7. **QUARTERLY DECLARATIONS**. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Division, stating whether there has been compliance with all the conditions or probation. Respondent shall submit quarterly declarations not later than ten (10) calendar days after the end of the preceding quarter.
- 8. **PROBATION UNIT COMPLIANCE**. Respondent shall comply with the Division's probation unit. Respondent shall, at all times, keep the Division informed of Respondent's business and residence addresses. Changes of such addresses shall be immediately communicated in writing to the Division or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

Respondent shall not engage in the practice of medicine in Respondent's place or residence. Respondent shall maintain a current and renewed California physician's and surgeon's license.

Respondent shall immediately inform the Division or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than 30 (thirty) calendar days.

9. INTERVIEW WITH THE DIVISION OR ITS DESIGNEE.

Respondent shall be available in person for interviews either at Respondent's place of business or at the probation unit office, with the Division or its designee, upon request at various intervals and either with or without prior notice throughout the term of probation.

Respondent should leave the State of California to reside or to practice, Respondent shall notify the Division or its designee in writing 30 (thirty) calendar days prior to the dates of departure and return. Non-practice is defined as any period of time exceeding 30 (thirty) calendar days in which Respondent is not engaging in any activities defined in sections 2051 and 2052 of the Business and Professions Code.

All time spend in an intensive training program outside the State of California, which has been approved by the Division or its designee, shall be considered as time spent in the practice of medicine within the State. A Board-ordered suspension of practice shall not be considered as a period of non-practice. Periods of temporary or permanent residence or practice outside California will not apply to the reduction of the probationary term. Periods of temporary or permanent residence or practice outside California will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; Probation Unit Compliance; and Cost Recovery.

Respondent's license shall be automatically canceled if Respondent's periods of temporary or permanent residence or practice outside California totals two (2) years. However, Respondent's license shall not be canceled as long as Respondent is residing and practicing medicine in another state of the United States and is on active probation with the medical licensing authority of that state, in which case the two (2) year period shall begin on the date probation is completed or terminated in that state.

11. FAILURE TO PRACTICE MEDICINE - CALIFORNIA

RESIDENT. In the event Respondent resides in the State of California and for any reason Respondent stops practicing medicine in California, Respondent shall notify the Division or its designee, in writing, within 30 (thirty) calendar days prior to the dates of non-practice and return to practice. Any period of non-practice within California, as defined in this condition, will not apply to the reduction of the probationary term and does not relieve Respondent of the responsibility to comply with the terms and conditions of probation. Non-practice is defined as any period of time exceeding 30 (thirty) calendar days in which Respondent is not engaging in any activities defined in sections 2051 and 2052 of the Business and Professions Code.

All time spent in an intensive training program, which has been approved by the Division or its designee, shall be considered time spent in the practice of medicine. For purposes of this condition, non-practice due to a Board-ordered suspension or in compliance with any other condition of probation, shall not be considered a period of non-practice.

Respondent's license shall be automatically canceled if Respondent resides in California and for a total of two (2) years, fails to engage in California in any of the activities described in Business and Professions Code sections 2051 and 2052.

- 12. **COMPLETION OF PROBATION**. Respondent shall comply with all financial obligations (*e.g.*, cost recovery, restitution, probation costs) not later than 120 (one hundred twenty) calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Division, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed again Respondent during probation, the Division shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.

14. LICENSE SURRENDER . Following the effective date of this Decision,
if Respondent ceases practicing due to retirement, health reasons, or is otherwise unable to satisfy
the terms and conditions of probation, Respondent may request the voluntary surrender of
Respondent's license. The Division reserves the right to evaluation Respondent's request and to
exercise its discretion whether or not to grant the request, or to take any other action deemed
appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender,
Respondent shall, within 15 (fifteen) calendar days, deliver Respondent's wallet and wall
certificate to the Division or its designee, and Respondent shall no longer practice medicine.
Respondent will no longer be subject to the terms and conditions of probation and the surrender
of Respondent's license shall be deemed disciplinary action. If Respondent re-applies for a
medical license, the application shall be treated as a petition for reinstatement of a revoked
certificate.

associated with probation monitoring each and every year of probation, as designated by the Division, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Division or its designee no later than January 31 of each calendar year. Failure to pay costs within 30 (thirty) calendar days of the due date is a violation of probation.

ACCEPTANCE

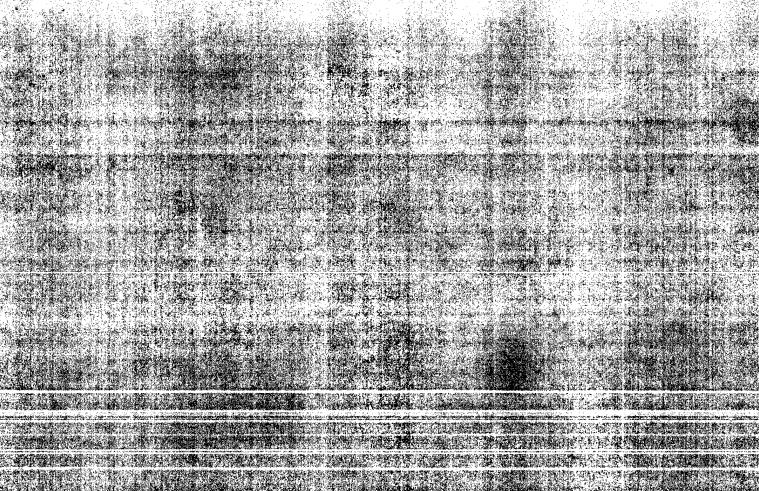
I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney Peter R. Osinoff. I understand its terms, and their effects on my Physician and Surgeon's certificate. I enter into this Stipulated Settlement and agree to the Disciplinary Order entered thereon voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board.

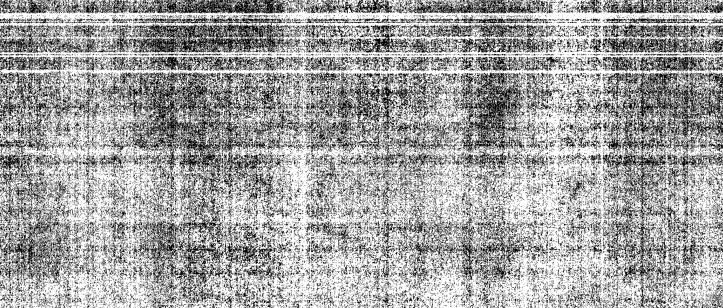
DATED: 1/25/0+

FRANCIS GERARD D'AMBROSIO, M.D.

Respondent

1	I have read and fully o	discussed with Respondent Francis Gerard D'Ambrosio,
2	M.D. the terms and conditions and o	ther matters contained in this Stipulated Settlement and
3	Disciplinary	
4	Order. I approve its form.	
5	DATED: 1/31/07	
6	DATED: 1/3)(0 F	
7		
8		PETER R. OSINOFF, ESQ.
9		Bonne, Bridges, Mueller, O'Keefe & Nichols Attorneys for Respondent
10		Timomojo toi reespondone
11		ENDORSEMENT
12	The foregoing Stinula	ated Settlement and Disciplinary Order is hereby respectfully
13	submitted for consideration.	ned Settlement and Disciplinary Order is hereby respectfully
14	submitted for consideration.	
15	DATED: 2/23/07	
16	BATED.	
17		BILL LOCKYER, Attorney General
18		of the State of California
19		A a a l
20		ISMAEL A. CASTRO
21	·	ISMAEL A. CASTRO Deputy Attorney General
22		Attorneys for Complainant
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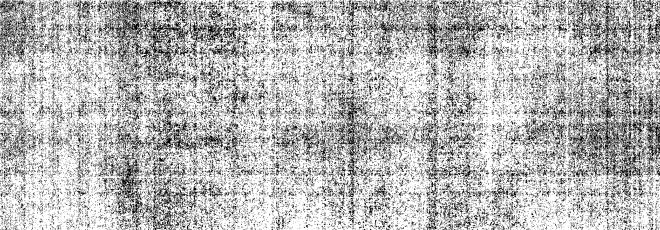


EXHIBIT A

- 11			
1	BILL LOCKYER, Attorney General	FILED	
2	of the State of California ADRIAN K. PANTON, Supervising	STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA	
3	Deputy Attorney General ISMAEL A. CASTRO, State Bar No. 85452	SAURAMENTO MANCIA II 20 AC	
4	Deputy Attorney General California Department of Justice	BY MOR ANALYST	
5	1300 I Street, Suite 125 P.O. Box 944255		
6	Sacramento, California 94244-2550 Telephone: (916) 323-8203		
	Facsimile: (916) 327-2247 Attorneys for Complainant		
7	Attorneys for Complamant		
8	BEFORE T		
9	DIVISION OF MEDIC MEDICAL BOARD O	F CALIFORNIA	
10	DEPARTMENT OF CON STATE OF CAL		
11			
12	In the Matter of the Accusation Against:	Case No. 06-2002-132815	
13	FRANCIS GERARD D'AMBROSIO, M.D.	ACCUSATION	
14	23852 Pacific Coast Highway #793 Malibu, CA 90265	ACCUSATION	
15	Physician and Surgeon's Certificate		
16	No. G73590		
17	Respondent.		
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19	Complainant alleges:		
	PARTII	79	
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21		inant") brings this Accusation solely in his	
22	official capacity as the Executive Director of the Me	edical Board of California, Department of	
23	Consumer Affairs.		
24	2. On or about March 10, 1992,	the Medical Board of California issued	
25	Physician and Surgeon's Certificate Number G73590 to Francis Gerard D'Ambrosio, M.D.		
26.	("Respondent"). The Certificate was in full force as	nd effect at all times relevant to the charges	
27	brought herein and will expire on February 28, 2000	6, unless renewed.	
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JURISDICTION

- 3. This Accusation is brought before the Division of Medical Quality,
 Medical Board of California ("Division"), under the authority of the following sections of the
 Business and Professions Code ("Code"):
- A. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Division deems proper.
 - B. Section 2234 of the Code provides that:

"The Division of Medical Quality shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(b) Gross negligence.
- "(c) Repeated negligent acts.
- "(d) Incompetence.
- 4. Section 14124.12 of the Welfare and Institutions Code provides, in pertinent part:
 - "(a) Upon receipt of written notice from the Medical Board of California, the Osteopathic Medical Board of California, or the Board of Dental Examiners of California, that a licensee's license has been placed on probation as a result of a disciplinary action, the department may not reimburse any Medi-Cal claim for the type of surgical service or invasive procedure that gave rise to the probation, including any dental surgery or invasive procedure, that was performed by the licensee on or after the effective date of probation and until the termination of all probationary terms and conditions or until the probationary period has ended, whichever occurs first. This section shall apply except in any case in which the relevant licensing board determines that compelling circumstances warrant the continued reimbursement during the probationary period of any Medi-Cal

claim, including any claim for dental services, as so described. In such a case, the department shall continue to reimburse the licensee for all procedures, except for those invasive or surgical procedures for which the licensee was placed on probation."

RECOVERY OF COSTS

5. Section 125.3 of the Code provides, in pertinent part, that the Division may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

FIRST CAUSE FOR DISCIPLINE

(Gross Negligence)
[Bus. & Prof. Code Section 2234(b)]

6. Respondent is subject to disciplinary action under section 2234(b) of the Code in that Respondent's preoperative and postoperative care of patient J.M. was grossly inadequate. The circumstances are as follows:

Patient J.M., a Southern California Chiropractor, was involved in a low-impact-energy rear-end vehicle accident between his sport utility vehicle in which he was a passenger and a Greyhound Bus on January 18, 2000. J.M. was examined by Respondent at J.M's chiropractic office which revealed diffuse weakness of the left upper extremity. An MRI was taken and Respondent recommended that J.M. undergo a C4 through C7 360 degree cervical decompression and fusion. The surgery was performed on November 10, 2000, at the Bellflower Medical Center, with C4 and C6 corpectomies, with two fibular strut grafts secured by long plate, using a limited number of screws.

During the postoperative course, J.M. complained of "paralysis" and apparent weakness in the left upper extremity. J.M. was discharged from the hospital on the seventh postoperative day. Between the Fall of 2000 and the Spring of 2001, J.M. was seen at several emergency rooms with complaints of severe pain. An x-ray taken on February 6, 2001, revealed

^{1.} In this Accusation, the patients will be referred to by initials. The full names of the patients will be disclosed to respondent when discovery is provided pursuant to Government Code section 11507.6.

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displacement of the fibular struts and plate. J.M. was readmitted to Bellflower Medical Center on March 11, 2001, where Respondent performed a second surgery on March 12, 2001, with revision of the anterior grafts and plate fixation. After a third surgery was performed by Respondent on March 16, 2001, J.M. was diagnosed with central cord syndrome. J.M. developed severe neurologic deficit and was transferred to Rancho Los Amigos Rehabilitation Hospital on March 23, 2001, with profound weakness of both upper extremities, and significant left lower extremity motor loss. J.M. was found to be anesthetic at C6 bilaterally, markedly hypesthetic at C7 through S5 on the right, and with normal sensation from the left lower extremity. J.M. had positive Babinski sign and left upper and lower sensory loss.

J.M. underwent subsequent skilled nursing facility and spinal cord rehabilitation stays. He was subsequently diagnosed with central cord syndrome, with essentially flaccid paralysis of both upper extremities, with strength loss of the left hip. Due to the patient's neurologic deficit, he was unable to ambulate.

- 7. Respondent was grossly negligent in his care and treatment of J.M. in that:
 (a) Respondent's preoperative workup was grossly inadequate; (b) Respondent did not make a reasonable attempt to provide nonoperative treatment or to obtain further diagnostic studies; (c) Respondent did not see the MRI study prior to the surgery; (d) Respondent's choice of surgical procedure was not supported by reasonable operative indications; (e) the surgery left J.M. with an unstable spine with the breakdown of the surgical construct due to inadequate stabilization of the spine due to unfixed multiple fibular allografts; (f) Respondent's postoperative care provided inadequate surveillance of the patient's course; (g) Respondent disregarded the potential for postsurgical complications in that Respondent did not obtain or view postoperative radiographs when it was evident that the patient was not doing well clinically; and (h) Respondent took no action to obtain help or to refine his diagnosis in order to mitigate the patient's evolving neurologic deficit.
- 8. Respondent's conduct as set forth in paragraphs 6, and 7, above, constitute gross negligence within the meaning of Code section 2234(b).

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(Gross Negligence)
[Bus. & Prof. Code Section 2234(b)]

Respondent is subject to disciplinary action under section 2234(b) of the Code in that Respondent's care and treatment of patient A.M. was grossly inadequate. The circumstances are as follows:

Patient A.M. a 54 year old Hispanic woman, sustained a back injury while working as a housekeeper on September 13, 2000. A.M. was admitted to Pacific Hospital at Long Beach, California, by Respondent on August 18, 2001, and taken to surgery on August 20, 2001, for a lumbar spine fusion. After performing posterior pedicle screw instrumentation, the patient had an anterior approach to the lumbar spine for allograft interbody implant fusion at L4-5 and L5-S1. During mobilization of the left iliac vein, Respondent's placement of the spinal instrumentation caused a tear of the iliac vein which caused severe hemorrhage that was unable to be controlled. The patient developed a coagulopathy and subsequently died in the intensive care unit at approximately 1830 hours.

- 10. Respondent was grossly negligent in his care and treatment of A.M. in that: (a) Respondent's preoperative workup and handwritten Admission History and Physical do not indicate a thoughtful plan of care, documentation of a trial of nonoperative measures, nor a rationale for performing a major surgical procedure with a recognized potential for significant complications; (b) there is no indication in the medical record that A.M. was actively involved in the process of informed consent; (c) there is no indication that the patient had the opportunity to participate in the process of informed consideration of the surgical procedure, alternatives, possible benefits, and potential risks of surgery; and (d) Respondent's performance of the spine fusion procedure was the immediate cause of a massive vascular injury to the iliac vein which caused A.M.'s death. While patient A.M. was experiencing this life-threatening hemorrhage, Respondent inexplicably left the operating room which also resulted in the patient's death from a vascular injury.
- 11. Respondent's conduct as set forth in paragraphs 9 and 10, above, constitute gross negligence within the meaning of Code section 2234(b).

THIRD CAUSE FOR DISCIPLINE

(Gross Negligence)
[Bus. & Prof. Code Section 2234(b)]

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12. Respondent is subject to disciplinary action under section 2234(b) of the Code in that Respondent's care and treatment of patient J.G. was grossly inadequate. The circumstances are as follows:

Patient J.G., a 54 year old male, suffered a low back injury while on the job on

Patient J.G., a 54 year old male, suffered a low back injury while on the job on February 8, 1999. He had been undergoing conservative treatment under the care of physicians and surgeons. He was diagnosed with a left L5-S1 disc herniation. During this time, J.G. was not cleared for surgery because of coagulopathy, probably due to chronic liver disease. It was recommended then that J.G. be cleared by hematology prior to operation. Subsequently, J.G.'s condition improved and considered permanent and stationary with lifting restrictions of no more than 25 pounds. The diagnosis were lumbar spondylosis with stenosis at L4-5, and L5-S1; herniated nucleus pulposus at L5-S1; and chronic lumbar sprain.

On November 1, 2000, J.G. was seen by Respondent who diagnosed Mechanical low back pain secondary to discogenic disc disease at L4-5 and L5-S1. Respondent prescribed physical therapy and workup with diskography, which was performed by Respondent on November 15, 2000. Thereafter, Respondent talked to J.G. about an anterior and posterior fusion from L3. J.G. was subsequently seen by another physician for preoperative clearance and was found to have platelet and intrinsic clotting abnormalities. J.G. was then referred to Hematology at Kaiser Permanente Medical Center. Laboratory studies on May 16, 2001, revealed significant abnormalities, with coagulopathy with significant thrombocytopenia. There was no indication that J.G. was medically cleared for surgery.

On May 22, 2001, Respondent admitted J.G. for surgery at Bellflower Medical Center with a diagnosis of degenerative disc disease at L3-4, L4-5, and L5-S1 with sciatica. The surgery was performed by Respondent on May 24, 2001, which consisted of posterior laminectomies at L3-4 and L5-S1, posterolateral fusion from L3 through S1, followed by an anterior interbody fusion with partial corpectomy of the caudal three lumbar intervertebral joints.

Postoperatively, J.G. had a rapid downhill progressive course with frank hepatic failure, uncontrolled hemorrhage, wound drainage, and infection, and demised of cardiac failure and apparent sepsis on June 1, 2001.

- 13. Respondent was grossly negligent in his care and treatment of J.G. in that:
 (a) prior to performing surgery, Respondent failed to obtain J.G.'s prior medical records; (b)
 Respondent failed to communicate with J.G.'s prior treating physicians; (c) Respondent failed to perform a reasonable physical examination and preoperative workup; and (d) Respondent failed to review J.G.'s preadmission laboratory studies.
- 14. Respondent's conduct as set forth in paragraphs 12 and 13, above, constitute gross negligence within the meaning of Code section 2234(b).

FOURTH CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)
[Bus. & Prof. Code Section 2234(c)]

15. Respondent is subject to disciplinary action under section 2234(c) of the Code in that Respondent's care and treatment of patient M.G. was negligent. The circumstances are as follows:

Patient M.G., a Hispanic 45 year old female, sustained the onset of back pain while at work in January 1999. Her workup showed grade II L5-S1 spondyloisthesis.

Respondent saw M.G. on July 24, 2000. She was taken to surgery on August 18, 2000 for performance of an anterior lumbar diskectomy at L5-S1. Respondent described a partial "corpectomy" at L5-S1, with anterior interbody fusion, using bone dowels. Following the anterior approach, Respondent performed a bilateral decompressive laminectomy at L5-S1, with segmental fixation and iliac crest bone graft. There was also a laminectomy performed at L4-5 although the consent form signed by M.G. only provided for decompressive laminoctomy at L5-S1, in addition to the anterior interbody fusion with spacers.

Postoperatively, M.G. suffered a right foot drop although Respondent's handwritten operative note indicated no complications.

M.G. returned to the operating room on October 11, 2000, for removal of the external fixation with exploration of the fusion, and possible refusion. The operative note

described repair of pseudomeningocele with placement of dural fat graft. There was no indication of infection and there were no laboratory studies in the Bellflower Hospital records to suggest the presence of culture. There was no indication of wound infection in the discharge summary dictated by Respondent four (4) days after discharge although Respondent's note of June 11, 2001, indicated the rationale for removing the hardware was due to infection. It is also noted that M.G. was informed to seek opinions from other orthopedic surgeons or spine surgeons and was discharged to be seen on an as-needed basis.

- Respondent's office records and hospital documentation are inadequate in terms of informed consent. Respondent's operative note indicates that he performed an additional level of surgery, as noted above, which was not covered by Mrs. Gonzalez' surgical consent. Complainant realleges paragraphs 6 and 7 ["First Cause for Discipline"], noted above, and incorporates them by reference as though fully set forth herein.
- 17. Complainant realleges paragraphs 6 and 7 ["First Cause for Discipline"], noted above, and incorporates them by reference as though fully set forth herein.
- 18. Complainant realleges paragraphs 9 and 10 ["Second Cause for Discipline"], noted above, and incorporates them by reference as though fully set forth herein.
- 19. Complainant realleges paragraphs 12 and 13 ["Third Cause for Discipline"], noted above, and incorporates them by reference as though fully set forth herein.
- 20. Respondent's conduct as set forth in paragraphs 15, 16, 17, 18, and 19, above, constitute repeated negligent acts within the meaning of Code section 2234(c).

FIFTH CAUSE FOR DISCIPLINE

(Incompetence)
[Bus. & Prof. Code Section 2234(d)]

- 21. Respondent is subject to disciplinary action under section 2234(d) of the Code. The circumstances are as follows:
- a. Complainant realleges paragraphs 6 and 7 ["First Cause for Discipline"], noted above, and incorporates them by reference as though fully set forth herein.

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1	b. Complainant realleges paragraphs 9 and 10 ["Second Cause for
2.	Discipline"], noted above, and incorporates them by reference as though fully set forth herein.
3	c. Complainant realleges paragraphs 12 and 13 ["Third Cause for
4	Discipline"], noted above, and incorporates them by reference as though fully set forth herein.
5	d. Complainant realleges paragraphs 15 and 16 ["Fourth Cause for
6	Discipline"], above, and incorporates them by reference as though fully set forth herein.
7	<u>PRAYER</u>
8	WHEREFORE, Complainant requests that a hearing be held on the matters
9	herein alleged, and that following the hearing, the Division of Medical Quality issue a decision:
10	1. Revoking or suspending Certificate Number G73590 issued to Francis
11	Gerard D'Ambrosio, M.D.;
12	2. Revoking, suspending or denying approval of Francis Gerard D'Ambrosi
13	M.D.'s authority to supervise physician's assistants, pursuant to section 3527 of the Code;
14	3. Ordering Francis Gerard D'Ambrosio, M.D., to pay the Division of
15	Medical Quality the reasonable costs of the investigation and enforcement of this case, and, if
16	placed on probation, the costs of probation monitoring;
17	4. Taking such other and further action as deemed necessary and proper.
18	DATED: March 11, 2005
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21	DAVID T. THORNTON
22	Executive Director Medical Board of California
23	Department of Consumer Affairs State of California, Complainant
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