# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

| In the Matter of the Accusation Against: |                           |
|--|---------------------------|
| KIMBERLEY ELLEN FILLMORE, M.D.           | ) Case No. 12-2013-233171 |
|  |                           |
| Physician's and Surgeon's                | )                         |
| Certificate No. G 74184                  |                           |
| Respondent.                              | )<br>)                    |
| •  |                           |

#### **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Medical Board of California, Department of Consumer Affairs, State of California, as its Decision in this matter.

This Decision shall become effective at 5:00 p.m. on November 10, 2016.

IT IS SO ORDERED October 13, 2016.

MEDICAL BOARD OF CALIFORNIA

Ву: \_

Jamie Wright, J.D., Chair

Panel A

| 1        | Kamala D. Harris  |   |  |  |  |
|----------|---|---|--|--|--|
| 2        | Attorney General of California  |   |  |  |  |
| 3        | JANE ZACK SIMON Supervising Deputy Attorney General   |   |  |  |  |
| 4        | LYNNE K. DOMBROWSKI Deputy Attorney General State Bar No. 128080                                  |   |  |  |  |
| 5        | 455 Golden Gate Avenue, Suite 11000<br>San Francisco, CA 94102-7004                               |   |  |  |  |
| 6        | Telephone: (415) 703-5578 Facsimile: (415) 703-5480   |   |  |  |  |
| 7        | E-mail: Lynne.Dombrowski@doj.ca.gov  Attorneys for Complainant                                    |   |  |  |  |
| 8        | BEFORE THE  |   |  |  |  |
| 9        | MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA                    |   |  |  |  |
| 10       | STATE OF C  | LALIFORNIA<br>1                               |  |  |  |
| 11       | In the Matter of the Accusation Against:  | Case No. 12-2013-233171                       |  |  |  |
| 12       | KIMBERLEY E. FILLMORE, M.D.   | OAH No. 2016060947                            |  |  |  |
| 13<br>14 | 378 W. Olive Avenue, Suite D<br>Merced, CA 95348-3182   | STIPULATED SETTLEMENT AND DISCIPLINARY ORDER  |  |  |  |
| 15       | Physician's and Surgeon's Certificate No. G74184  |   |  |  |  |
| 16       | Respondent.   |   |  |  |  |
| 17       | •   |   |  |  |  |
| 18       |   |   |  |  |  |
| 19       | IT IS HEREBY STIPULATED AND AGI   | REED by and between the parties to the above- |  |  |  |
| 20       | entitled proceedings that the following matters are true:   |   |  |  |  |
| 21       | PARTIES   |   |  |  |  |
| 22       | 1. Kimberly Kirchmeyer (Complainant) is the Executive Director of the Medical Board               |   |  |  |  |
| 23       | of California. She brought this action solely in her official capacity and is represented in this |   |  |  |  |
| 24       | matter by Kamala D. Harris, Attorney General of the State of California, by Lynne K.              |   |  |  |  |
| 25       | Dombrowski, Deputy Attorney General.  |   |  |  |  |
| 26       | 2. Respondent Kimberley E. Fillmore, M.D. ("Respondent") is represented in this                   |   |  |  |  |
| 27       | proceeding by attorney Dominique A. Pollara, Esq., whose address is: 3600 American River          |   |  |  |  |
| 28       | Drive, Suite 160, Sacramento, CA 95864.   |   |  |  |  |
|          |   | 1   |  |  |  |

3. On or about June 2, 1992, the Medical Board of California issued Physician's and Surgeon's Certificate No. G74184 to Kimberley E. Fillmore, M.D. (Respondent). The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 12-2013-233171, and will expire on June 30, 2018, unless renewed.

#### **JURISDICTION**

- 4. Accusation No. 12-2013-233171 was filed before the Medical Board of California (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on May 5, 2015. Respondent timely filed her Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 12-2013-233171 is attached as Exhibit A and incorporated herein by reference.

#### ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 12-2013-233171. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

#### **CULPABILITY**

9. Respondent understands and agrees that the charges and allegations in Accusation No. 12-2013-233171, if proven at a hearing, constitute cause for imposing discipline upon her Physician's and Surgeon's Certificate.

- 10. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual basis for the charges in the Accusation, and that Respondent hereby gives up her right to contest those charges.
- 11. Respondent agrees that if she ever petitions for early termination or modification of probation, or if the Board ever petitions for revocation of probation, all of the charges and allegations contained in Accusation No. 12-2013-233171 shall be deemed true, correct and fully admitted by Respondent for purposes of that proceeding or any other licensing proceeding involving Respondent in the State of California.
- 12. Respondent agrees that her Physician's and Surgeon's Certificate is subject to discipline and she agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

#### **CONTINGENCY**

- 13. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or her counsel. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 14. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.

15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

#### **DISCIPLINARY ORDER**

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G74184 issued to Respondent Kimberley E. Fillmore, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions:

1. <u>MEDICAL RECORD KEEPING COURSE</u>. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping equivalent to the Medical Record Keeping Course offered by the Physician Assessment and Clinical Education Program, University of California, San Diego School of Medicine (Program), approved in advance by the Board or its designee. Respondent shall provide the program with any information and documents that the Program may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

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an extension of that time.

# 2. <u>CLINICAL TRAINING PROGRAM WITH PROFESSIONAL ENHANCEMENT</u> <u>PROGRAM.</u> Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a clinical training or educational program equivalent to the Physician Assessment and Clinical Education Program (PACE) offered at the University of California - San Diego School of Medicine ("Program"). Respondent shall successfully complete the Program not later than six (6)

months after Respondent's initial enrollment unless the Board or its designee agrees in writing to

The Program shall consist of a Comprehensive Assessment program comprised of a two-day assessment of Respondent's physical and mental health; basic clinical and communication skills common to all clinicians; and medical knowledge, skill and judgment pertaining to Respondent's area of practice in which Respondent was alleged to be deficient, and at minimum, a 40 hour program of clinical education in the area of practice in which Respondent was alleged to be deficient and which takes into account data obtained from the assessment, Decision(s), Accusation(s), and any other information that the Board or its designee deems relevant. Respondent shall pay all expenses associated with the clinical training program.

Based on Respondent's performance and test results in the assessment and clinical education, the Program will advise the Board or its designee of its recommendation(s) for the scope and length of any additional educational or clinical training, treatment for any medical condition, treatment for any psychological condition, or anything else affecting Respondent's practice of medicine. Respondent shall comply with Program recommendations.

At the completion of any additional educational or clinical training, Respondent shall submit to and pass an examination. Determination as to whether Respondent successfully completed the examination or successfully completed the program is solely within the program's jurisdiction.

If Respondent fails to enroll, participate in, or successfully complete the clinical training program within the designated time period, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. The Respondent shall not resume the practice of medicine until enrollment or

participation in the outstanding portions of the clinical training program have been completed. If the Respondent did not successfully complete the clinical training program, the Respondent shall not resume the practice of medicine until a final decision has been rendered on the accusation and/or a petition to revoke probation. The cessation of practice shall not apply to the reduction of the probationary time period.

Within 60 days after Respondent has successfully completed the clinical training program, Respondent shall participate in a professional enhancement program equivalent to the one offered by the Physician Assessment and Clinical Education Program at the University of California, San Diego School of Medicine, which shall include quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of probation, or until the Board or its designee determines that further participation is no longer necessary.

3. <u>NOTIFICATION</u>. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 4. <u>SUPERVISION OF PHYSICIAN ASSISTANTS</u>. During probation, Respondent is prohibited from supervising physician assistants.
- 5. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.

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6. QUARTERLY DECLARATIONS. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation. Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

#### 7. GENERAL PROBATION REQUIREMENTS.

#### Compliance with Probation Unit

Respondent shall comply with the Board's probation unit and all terms and conditions of this Decision.

#### Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

#### Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

#### License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

#### Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

- 8. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- 9. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine in California as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete a clinical training program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years. Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; and General Probation Requirements.

10. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.

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- 11. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 12. <u>LICENSE SURRENDER</u>. Following the effective date of this Decision, if
  Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy
  the terms and conditions of probation, Respondent may request to surrender his or her license.
  The Board reserves the right to evaluate Respondent's request and to exercise its discretion in
  determining whether or not to grant the request, or to take any other action deemed appropriate
  and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent
  shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its
  designee and Respondent shall no longer practice medicine. Respondent will no longer be subject
  to the terms and conditions of probation. If Respondent re-applies for a medical license, the
  application shall be treated as a petition for reinstatement of a revoked certificate.
- 13. PROBATION MONITORING COSTS. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

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#### **ACCEPTANCE**

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Dominique A. Pollara, Esq.. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

DATED: 9/9/2016 Filmberley 6. Julinose MD KIMBERLEY E. HILLMORE, M.D. Respondent

I have read and fully discussed with Respondent Kimberley E. Fillmore, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

ATED: 9/12/16 DOMINIOUS A POLI

Attorney for Respondent

## **ENDORSEMENT** The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California. DATED: 9 13 2016 Respectfully submitted, KAMALA D. HARRIS Attorney General of California JANE ZACK SIMON Supervising Deputy Attorney General Hynne K. Dambrowski LYNNE K. DOMBROWSKI Deputy Attorney General Attorneys for Complainant SF2015400637

## Exhibit A

Accusation No. 12-2013-233171

| 1<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9 | MEDICAL BOARD<br>DEPARTMENT OF C  | FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO May 5 20 15 BY TIRDHAS ANALYST  RE THE O OF CALIFORNIA ONSUMER AFFAIRS CALIFORNIA |
|---|---|--|
| 11  | In the Matter of the Accusation Against:  | Case No. 12-2013-233171  |
| 12  | KIMBERLEY E. FILLMORE, M.D.   | ACCUSATION   |
| 13  | 378 W. Olive Avenue, Suite D  |  |
| 14  | Merced, CA 95348-3182   |  |
| 15  | Physician's and Surgeon's Certificate   |  |
| 16  | No. G74184  |  |
| 17  | Respondent.   |  |
| 18  |   |  |
| 19  | Complainant alleges:  |  |
| 20  | PAF   | RTIES  |
| 21  | 1. Kimberly Kirchmeyer (Complainant) brings this Accusation solely in her official              |  |
| 22  | capacity as the Executive Director of the Medical Board of California, Department of Consumer   |  |
| 23  | Affairs.  |  |
| 24  | 2. On or about June 2, 1992, the Medical Board of California issued Physician's and             |  |
| 25  | Surgeon's Certificate Number G74184 to Kimberley E. Fillmore, M.D. (Respondent). The            |  |
| 26  | Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the |  |
| 27  | charges brought herein and will expire on June 30, 2016, unless renewed.                        |  |
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#### JURISDICTION

- 3. This Accusation is brought before the Medical Board of California (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
- 4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Division deems proper.
  - 5. Section 2234 of the Code, states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
  - "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.

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- "(f) Any action or conduct which would have warranted the denial of a certificate.
- "(g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not apply to this subdivision. This subdivision shall become operative upon the implementation of the proposed registration program described in Section 2052.5.
- "(h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview scheduled by the mutual agreement of the certificate holder and the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board."
  - 6. Section 2228 of the Code states:

The authority of the board or a division of the board or the California Board of Podiatric Medicine to discipline a licensee by placing him or her on probation includes, but is not limited to, the following:

- (a) Requiring the licensee to obtain additional professional training and to pass an examination upon the completion of the training. The examination may be written or oral, or both, and may be a practical or clinical examination, or both, at the option of the board or division or the administrative law judge.
- (b) Requiring the licensee to submit to a complete diagnostic examination by one or more physicians and surgeons appointed by the division. If an examination is ordered, the board or division shall receive and consider any other report of a complete diagnostic examination given by one or more physicians and surgeons of the licensee's choice.
- (c) Restricting or limiting the extent, scope, or type of practice of the licensee, including requiring notice to applicable patients that the licensee is unable to perform the indicated treatment, where appropriate.
- (d) Providing the option of alternative community service in cases other than violations relating to quality of care.

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7. Section 2266 of the Code states: AThe failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.

#### FIRST CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Gross Negligence and/or Incompetence re Patient SM)

- 8. Respondent is subject to disciplinary action for unprofessional conduct under section 2234(b) and/or section 2234(d) for gross negligence and/or incompetence with regard to acts and omissions in her care and treatment of patient SM, as detailed herein.
  - Respondent is a physician who is board-certified in obstetrics and gynecology. 9.
- On or about January 15, 2013, patient SM, a 51-year-old female, sought care from 10. Respondent for a vaginal bulge. Respondent documented performing a pelvic exam that revealed cystocele, uterine prolapse, and bladder prolapse. An ultrasound was ordered, which revealed small fibroids.
- On or about January 23, 2013, patient SM saw Respondent and was counseled for surgery for symptomatic prolapse and incontinence. Respondent's notes do not indicate the level or degree of prolapse of the anterior, mid, and posterior compartment. Respondent did not assess and document an evaluation of the patient's complaint of urinary incontinence.
- On or about February 1, 2013, patient SM saw Respondent who performed an annual gynecological examination. Respondent's chart notes incorrectly document that the patient's cervix and uterus were "absent."
- On or about February 8, 2013, Respondent performed a robotic total abdominal hysterectomy, a bilateral salpingo-oophorectomy, and a Burch retropubic urethropexy on patient SM for a diagnosis of uterine prolapse and incontinence. According to the surgical record, the operative surgical time was about seven hours. Respondent did not perform a vaginal vault suspension procedure at the time of surgery.
- Respondent dictated the operative note on February 25, 2013, seventeen days after the surgery. Respondent's operative note is not specific about what occurred during the surgery and it does not adequately describe the details about the incision made to the abdominal wall and the

closure of the anterior abdominal wall. There is nothing in the operative note to explain why Respondent required seven hours to complete the surgery.

- 15. On or about February 15, 2013, patient SM saw Respondent for an office visit and presented with a complaint of a skin rash. No post-operative evaluation was done and documented at this visit.
- 16. On or about February 17, 2013, patient SM was seen in the John Muir Medical Center emergency department with complaints of severe abdominal pain and constipation. The patient reported that she had not had a bowel movement for five days.
- 17. On or about April 16, 2013, Respondent saw patient SM for a "pre-op" office visit and documented that the patient had a rectocele, but did not document the degree of the prolapse.
- 18. On or about April 19, 2013, Respondent performed a rectocele surgical procedure on patient SM. Respondent dictated the operative report on April 24, 2013, five days after the surgery.
- 19. On or about May 6, 2013, patient SM saw Respondent for an office visit at which she was diagnosed with bacterial vaginosis.
- 20. On or about May 17, 2013, patient SM consulted with and was evaluated by another physician who diagnosed her with recurrent vaginal prolapse stage 3, cystocele, moderate enterocele, rectocele with disruption of recto-vaginal septum, and with urinary urge incontinence with voiding dysfunction with kinking. The recommendation was for corrective surgery.
- 21. On or about May 27, 2013, patient SM underwent surgery by another physician for an abdominal sacrocolpopexy, abdominal paravaginal repair, posterior repair with graft, and a cystoscopy.
- 22. On or about August 19, 2013, patient SM underwent another surgery to treat the patient's progressively increasing symptoms of stress urinary incontinence and to repair the urethral diverticulum.

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|   | 23.     | Respondent's overall conduct, acts and/or omissions, with regard to patient SM, as set |
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| forth   | in par  | agraphs 8 through 22 herein, constitutes unprofessional conduct through gross          |
| negligence and/or incompetence, pursuant to Business and Professions Code Sections 2234 |         |  |
| subdi   | ivisior | as (b) and/or (d), and is therefore subject to disciplinary action. More specifically, |
| Resp  | onden   | t is guilty of unprofessional conduct with regard to patient SM as follows:            |

- a. Respondent failed to adequately assess and document the patient's history of incontinence and failed to perform an evaluation of the incontinence;
- b. Respondent failed to adequately assess and document the patient's level and degree of prolapse;
- c. Respondent failed to discuss with the patient the non-surgical and types of surgical options for treating incontinence;
- d. Respondent failed to discuss with the patient the non-surgical options for treating prolapse.
- e. Prior to performing a robotic hysterectomy, Respondent failed to adequately assess and document the degree of the uterine prolapse and of the cystocele.
- f. Respondent performed a robotic hysterectomy for a pre-operative diagnosis of uterine prolapse and cystocele without performing a pelvic vault support procedure which, by itself, constitutes an extreme departure from the standard of care and demonstrates a lack of knowledge;
- g. Respondent performed a robotic procedure that lasted over seven hours, without documenting an explanation for the unusual, extremely long duration for the surgery.
- h. Respondent performed a robotic hysterectomy without adequately addressing the patient's complaints.
- i. Respondent performed surgery without doing an adequate prior "work-up" and evaluation of the patient's complaints and conditions.
  - i. Respondent failed to maintain adequate and accurate medical records.
  - k. Respondent failed to timely dictate operative reports.

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#### SECOND CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Repeated Negligent Acts re Patient SM)

In the alternative, Respondent is subject to disciplinary action for unprofessional conduct under section 2234(c) for repeated negligent acts with regard to her acts and/or omissions as alleged in paragraphs 8 through 23, which are incorporated herein by reference as if fully set forth.

#### THIRD CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Failure to Maintain Adequate and Accurate Records re Patient SM)

Respondent is subject to disciplinary action for unprofessional conduct under section 25. 2266 for failure to maintain adequate and accurate records relating to the provision of services to patient SM, as alleged in paragraphs 8 through 23, which are incorporated herein by reference as if fully set forth.

#### **PRAYER**

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- Revoking or suspending Physician's and Surgeon's Certificate Number G74184, 1. issued to Kimberley E. Fillmore, M.D.;
- Revoking, suspending or denying approval of Kimberley E. Fillmore, M.D.'s authority 2. to supervise physician assistants, pursuant to section 3527 of the Code;
- Ordering Kimberley E. Fillmore, M.D., if placed on probation, to pay the Medical 3. Board of California the costs of probation monitoring;
  - Taking such other and further action as deemed necessary and proper. 4.

DATED: May 5, 2015

Executive Director

Medical Board of California

Department of Consumer Affairs

State of California Complainant

SF2015400637