BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the First Amended Accusation Against:)))
Scott Clark Sessions, M.D.) Case No. 800-2014-004336
Physician's and Surgeon's)
Certificate No. G84594)
)
Respondent)
	_)

DECISION

The attached Stipulated Settlement is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on February 2, 2017.

IT IS SO ORDERED January 3, 2017.

MEDICAL BOARD OF CALIFORNIA

By: Michelle Anne Bholat, M.D., Chair

Panel B

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1 2 3 4 5 6 7 8	KAMALA D. HARRIS Attorney General of California ALEXANDRA M. ALVAREZ Supervising Deputy Attorney General KAROLYN M. WESTFALL Deputy Attorney General State Bar No. 234540 600 West Broadway, Suite 1800 San Diego, CA 92101 P.O. Box 85266 San Diego, CA 92186-5266 Telephone: (619) 738-9465 Facsimile: (619) 645-2061 Attorneys for Complainant						
10 11 12	BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA						
13 14 15 16 17 18	In the Matter of the First Amended Accusation Against: SCOTT CLARK SESSIONS, M.D. 10946 Evening Creek Drive #127 San Diego, CA 92128 Physician's and Surgeon's Certificate No. G84594 Respondent.	Case No. 800-2014-004336 OAH No. 2015110562 STIPULATED SETTLEMENT AND DISCIPLINARY ORDER					
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20	IT IS HEREBY STIPULATED AND AGI	REED by and between the parties to the above-					
21	entitled proceedings that the following matters are true:						
22	<u>PARTIES</u>						
23	1. Kimberly Kirchmeyer (Complainant) is the Executive Director of the Medical Board						
24	of California (Board). She brought this action solely in her official capacity and is represented in						
25	this matter by Kamala D. Harris, Attorney General of the State of California, by Karolyn M.						
26	Westfall, Deputy Attorney General.						
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STIPULATED SETTLEMENT AND DISCIPLINARY ORDER (800-2014-004336)

- 2. Respondent Scott Clark Sessions, M.D (Respondent) is represented in this proceeding by attorney Robert W. Frank Esq., whose address is: 1010 Second Ave., Ste. 2500 San Diego, CA 92101-4959.
- 3. On or about June 5, 1998, the Board issued Physician's and Surgeon's Certificate No. G84594 to Respondent. The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in First Amended Accusation No. 800-2014-004336, and will expire on November 30, 2017, unless renewed.

JURISDICTION

- 4. On September 17, 2015, Accusation No. 800-2014-004336 was filed against Respondent before the Board. A true and correct copy of Accusation No. 800-2014-004336, and all other statutorily required documents, were properly served on Respondent on September 17, 2015. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. On May 20, 2016, First Amended Accusation No. 800-2014-004336 was filed before the Board and is currently pending against Respondent. A true and correct copy of First Amended Accusation No. 800-2014-004336, and a Supplemental Statement to Respondent, were properly served on Respondent on May 20, 2016. A true and correct copy of First Amended Accusation No. 800-2014-004336 is attached hereto as Exhibit A and incorporated by reference as if fully set forth herein.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in First Amended Accusation No. 800-2014-004336. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in First Amended Accusation No. 800-2014-004336; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an

adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

8. Having the benefit of counsel, Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. Respondent agrees that, at an administrative hearing, complainant could establish a *prima facie* case with respect to the charges and allegations contained in First Amended Accusation No. 800-2014-004336, and that he has thereby subjected his Physician's and Surgeon's Certificate No. G84594 to disciplinary action. Respondent further agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.
- 10. Respondent agrees that if an accusation is filed against him before the Board, or in any other proceeding before the Board, all of the charges and allegations contained in First Amended Accusation No. 800-2014-004336 shall be deemed true, correct, and fully admitted by respondent for purposes of any such proceeding or any other licensing proceeding involving respondent in the State of California.

CONTINGENCY

- 11. This Stipulated Settlement and Disciplinary Order shall be subject to approval of the Board. The parties agree that this Stipulated Settlement and Disciplinary Order shall be submitted to the Board for its consideration in the above-entitled matter and, further, that the Board shall have a reasonable period of time in which to consider and act on this Stipulated Settlement and Disciplinary Order after receiving it. By signing this stipulation, Respondent fully understands and agrees that he may not withdraw his agreement or seek to rescind this stipulation prior to the time the Board considers and acts upon it.
- 12. The parties agree that this Stipulated Settlement and Disciplinary Order shall be null and void and not binding upon the parties unless approved and adopted by the Board, except for this paragraph, which shall remain in full force and effect. Respondent fully understands and agrees that in deciding whether or not to approve and adopt this Stipulated Settlement and Disciplinary Order, the Board may receive oral and written communications from its staff and/or

the Attorney General's Office. Communications pursuant to this paragraph shall not disqualify the Board, any member thereof, and/or any other person from future participation in this or any other matter affecting or involving Respondent. In the event that the Board does not, in its discretion, approve and adopt this Stipulated Settlement and Disciplinary Order, with the exception of this paragraph, it shall not become effective, shall be of no evidentiary value whatsoever, and shall not be relied upon or introduced in any disciplinary action by either party hereto. Respondent further agrees that should this Stipulated Settlement and Disciplinary Order be rejected for any reason by the Board, Respondent will assert no claim that the Board, or any member thereof, was prejudiced by its/his/her review, discussion and/or consideration of this Stipulated Settlement and Disciplinary Order or of any matter or matters related hereto.

ADDITIONAL PROVISIONS

- 13. This Stipulated Settlement and Disciplinary Order is intended by the parties herein to be an integrated writing representing the complete, final and exclusive embodiment of the agreements of the parties in the above-entitled matter.
- 14. The parties agree that copies of this Stipulated Settlement and Disciplinary Order, including copies of the signatures of the parties, may be used in lieu of original documents and signatures and, further, that such copies shall have the same force and effect as originals.
- 15. In consideration of the foregoing admissions and stipulations, the parties agree the Board may, without further notice to or opportunity to be heard by Respondent, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G84594 issued to Respondent Scott Clark Sessions, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years from the effective date of the Decision on the following terms and conditions.

1. <u>EDUCATION COURSE</u>. Within 60 calendar days of the effective date of this Decision, and on an annual basis thereafter, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours

per year, for each year of probation. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge in the prescribing or dosing of anesthesia and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of CME of which 40 hours were in satisfaction of this condition.

2. MEDICAL RECORD KEEPING COURSE. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping equivalent to the Medical Record Keeping Course offered by the Physician Assessment and Clinical Education Program, University of California, San Diego School of Medicine (Program), approved in advance by the Board or its designee. Respondent shall provide the program with any information and documents that the Program may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the First Amended Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

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3. MONITORING - PRACTICE. Within 30 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for prior approval as a practice monitor, the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision and First Amended Accusation, and a proposed monitoring plan. Within 15 calendar days of receipt of the Decision, First Amended Accusation, and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision and First Amended Accusation, fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement for approval by the Board or its designee.

Within 60 calendar days of the effective date of this Decision, and continuing throughout probation, Respondent's practice shall be monitored by the approved monitor. Respondent shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

If Respondent fails to obtain approval of a monitor within 60 calendar days of the effective date of this Decision, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a monitor is approved to provide monitoring responsibility.

The monitor shall submit a quarterly written report to the Board or its designee which includes an evaluation of Respondent's performance, indicating whether Respondent's practices

are within the standards of practice of medicine, and whether Respondent is practicing medicine safely. It shall be the sole responsibility of Respondent to ensure that the monitor submits the quarterly written reports to the Board or its designee within 10 calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, Respondent shall, within 5 calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within 15 calendar days. If Respondent fails to obtain approval of a replacement monitor within 60 calendar days of the resignation or unavailability of the monitor, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified Respondent shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

In lieu of a monitor, Respondent may participate in a professional enhancement program equivalent to the one offered by the Physician Assessment and Clinical Education Program at the University of California, San Diego School of Medicine, that includes, at minimum, quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of probation.

4. <u>PROHIBITED PRACTICE</u>. During probation, respondent is prohibited from performing any procedures requiring any form of sedation in a non-accredited surgery center. After the effective date of this Decision, all patients to whom respondent is performing any procedure(s) requiring any form of sedation, shall be notified that respondent is prohibited from performing the procedure(s) in a non-accredited surgery center.

Respondent shall maintain a log of all patients to whom the required oral notification was made. The log shall contain the: 1) patient's name, address and phone number; 2) patient's medical record number, if available; 3) the full name of the person making the notification; 4) the date the notification was made; and 5) a description of the notification given. Respondent shall keep this log in a separate file or ledger, in chronological order, shall make the log available or

immediate inspection and copying on the premises at all times during business hours by the Board or its designee, and shall retain the log for the entire term of probation.

5. <u>NOTIFICATION</u>. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and First Amended Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 6. <u>SUPERVISION OF PHYSICIAN ASSISTANTS</u>. During probation, Respondent is prohibited from supervising physician assistants.
- 7. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
- 8. QUARTERLY DECLARATIONS. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

9. GENERAL PROBATION REQUIREMENTS.

Compliance with Probation Unit

Respondent shall comply with the Board's probation unit and all terms and conditions of this Decision.

Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such

addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

- 10. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- 11. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine in California as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice. Practicing medicine in another state of the United States or

Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete a clinical training program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years. Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; and General Probation Requirements.

- 12. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 14. <u>LICENSE SURRENDER</u>. Following the effective date of this Decision, if
 Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy
 the terms and conditions of probation, Respondent may request to surrender his or her license.
 The Board reserves the right to evaluate Respondent's request and to exercise its discretion in
 determining whether or not to grant the request, or to take any other action deemed appropriate

and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its designee and Respondent shall no longer practice medicine. Respondent will no longer be subject to the terms and conditions of probation. If Respondent re-applies for a medical license, the application shall be treated as a petition for reinstatement of a revoked certificate.

15. PROBATION MONITORING COSTS. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Robert W. Frank Esq. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate No. G84594. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California. I also fully understand that any failure to comply with the terms and conditions of the Disciplinary Order set forth above shall constitute unprofessional conduct and will subject my Physician's and Surgeon's Certificate No. G84594 to further disciplinary action.

DATED: 12/13/16

SCOTT CLANDSPSSIONS, M.D.
Respondent

I have read and fully discussed with Respondent Scott Clark Sessions, M.D the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.

I approve its form and content.

DATED:

ROBERT W. FRANK ESQ. Attorney for Respondent

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Dated: SD2015700841 81521889.docx

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California.

Respectfully submitted,

KAMALA D. HARRIS Attorney General of California ALEXANDRA M. ALVAREZ Superxising Deputy Attorney General

Deputy Attorney General Attorneys for Complainant

Exhibit A

First Amended Accusation No. 800-2014-004336

FILED STATE OF CALIFORNIA KAMALA D. HARRIS MEDICAL BOARD OF CALIFORNIA Attorney General of California SACRAMENTO May 20 20 16 2 MATTHEW M. DAVIS BY R. Firdens ANALYST Supervising Deputy Attorney General 3 HARINDER K. KAPUR Deputy Attorney General 4 State Bar No. 198765 600 West Broadway, Suite 1800 5 San Diego, CA 92101 P.O. Box 85266 6 San Diego, CA 92186-5266 Telephone: (619) 645-2075 7 Facsimile: (619) 645-2061 8 Attorneys for Complainant 9 10 **BEFORE THE** MEDICAL BOARD OF CALIFORNIA 11 DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA 12 13 In the Matter of the First Amended Case No. 8002014004336: **Accusation Against:** OAH No. 2015110562 14 SCOTT CLARK SESSIONS, M.D. FIRST AMENDED ACCUSATION 15 5565 Grossmont Center Dr. Bldg. #1, Ste 120 16 La Mesa, CA 91942 17 Physician's and Surgeon's Certificate No. G84594. 18 Respondent. 19 20 Complainant alleges: 21 **PARTIES** 22 Kimberly Kirchmeyer (complainant) brings this First Amended Accusation solely in 23 her official capacity as the Executive Director of the Medical Board of California, Department of 24 Consumer Affairs. 25 On or about June 5, 1998, the Medical Board issued Physician's and Surgeon's 26 Certificate Number G84594 to Scott Clark Sessions, M.D. (respondent). The Physician's and 27 Surgeon's Certificate was in full force and effect at all times relevant to the charges and allegations brought herein and will expire on November 30, 2017, unless renewed. FIRST AMENDED ACCUSATION NO. 8002014004336

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JURISDICTION

- 3. This First Amended Accusation is brought before the Medical Board of California (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
 - 4. Section 2227 of the Code states:
 - "(a) A licensee whose matter has been heard by an administrative law judge of the Medical Quality Hearing Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found guilty, or who has entered into a stipulation for disciplinary action with the board, may, in accordance with the provisions of this chapter:
 - "(1) Have his or her license revoked upon order of the board.
 - "(2) Have his or her right to practice suspended for a period not to exceed one year upon order of the board.
 - "(3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
 - "(4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
 - "(5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
 - "(b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1."

5.	Section	n 2234	of the	Code	states:
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"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

- 6. Unprofessional conduct under Code section 2234 is conduct which breaches the rules or ethical code of the medical profession, or conduct which is unbecoming to a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine. (Shea v. Board of Medical Examiners (1978) 81 Cal.App.3d 564, 575.)
 - 7. Section 2266 of the Code states:

"The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct."

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(Gross Negligence)

8. Respondent is subject to disciplinary action under sections 2227 and 2234, as defined by section 2234, subdivision (b), of the Code, in that he committed gross negligence in his care and treatment of patients C.P. and G.F., as more particularly alleged hereinafter:

Patient C.P.

- On or about June 20, 2011, patient C.P., a then fifty-two (52) year old female, went to the Sessions Plastic Surgery Centre to undergo a bilateral upper and lower lid blepharoplasty¹ and a face and neck lift. The operation was performed by respondent. Patient C.P. was placed under conscious sedation which involved the administration of local infiltration anesthetic for her face and upper eyelid procedures. On her pre-anesthesia evaluation form, patient C.P. indicated she had had problems with anesthesia. Specifically, patient C.P. suffered nausea and vomiting after undergoing a surgery under general anesthesia. Respondent did not review patient C.P.'s preanesthesia evaluation form prior to administering anesthesia on or about June 20, 2011.
- On or about June 20, 2011, at approximately 8:00 a.m., patient C.P. was brought into the operating room. Respondent administered 50 meg of Fentanyl and 5 mg of Versed to patient C.P. at about 8:05 a.m., and then he began injecting local anesthesia, first into patient C.P.'s evelids and then into the subcutaneous tissues of her face and neck. Respondent documented he injected 24 cc of 2% Lidocaine with 1:100,000 Epinephrine into the eyelids, and then 50 cc of 1/4% Lidocaine with 1:400,000 Epinephrine into her face. Respondent's LVN, C.J., took patient C.P.'s vitals at 8:00 a.m., 8:10 a.m., and 8:20 a.m. After respondent completed giving the injections to patient C.P., she began to exhibit signs of seizures. Respondent was outside the operating room when patient C.P. began having the seizures. When respondent was called back into the operating room, patient C.P.'s whole body was shaking. Respondent placed an oxygen mask on patient C.P. and administered another 5 mg of Versed intravenously to patient C.P. Patient C.P.'s seizures appeared to subside.

Blepharoplasty is surgical repair or reconstruction of an eyelid.

- Respondent ordered the nurse to give patient C.P. 4 mg of IV Atropine and Epinephrine. This stabilized the patient for a short time, but patient C.P.'s heart rate and blood pressure started to drop and respondent could not keep C.P.'s oxygen saturation at an acceptable level. Patient C.P. developed bradycardia and hypotension. After the second series of seizures, scrub technician R.M. stepped outside to call 911 because respondent could not stabilize patient C.P. Patient C.P. went into cardiac arrest and her breathing stopped. Respondent then performed chest compressions on patient C.P.
- 12. Throughout the emergency at the Sessions Plastic Surgery Centre, respondent failed to properly document the times when drugs were administered, how oxygen was being delivered to patient C.P., and patient C.P.'s vital signs during the seizures and cardiac arrest.
- 13. When paramedics arrived, they initiated life saving emergency treatment on patient C.P. This included intubating patient C.P. and the placement of resuscitation pads on patient C.P. Patient C.P. was then transferred to Grossmont Hospital by ambulance.
- 14. Once at the hospital, patient C.P. was taken into the trauma area of the emergency room to be stabilized. After she was stabilized, patient C.P. was placed into a medically induced coma in order to reduce the injury to her brain.
- 15. On or about June 22, 2011, patient C.P. was extubated and brought out of the medically induced coma. Thereafter, and for approximately one month, patient C.P. had difficulty maintaining equilibrium. Patient C.P. also complained of short term memory problems. Patient C.P. had her driver's license suspended for a month because of her seizures, and she was off work for six weeks.
- 16. On or about January 22, 2015, respondent was interviewed during the Board's investigation of this case and, during that interview, he acknowledged that patient C.P.'s seizures were caused by the administration of "too much" Lidocaine. The maximum safe dosage of Lidocaine with Epinephrine for patient C.P., who weighed 54 kg at the time of her surgery, would have been 375 mg of Lidocaine. According to respondent's own recollection, he administered 432 mg of Lidocaine, which was far above the maximum safe dosage for patient C.P.

- 17. Respondent committed gross negligence in his care and treatment of patient C.P. which included, but was not limited to, the following:
 - (a) Respondent administered an overdose of Lidocaine to patient C.P.

Patient G.F.

- 18. On or about April 9, 2013, patient G.F., a then seventy-three (73) year old female, consulted with respondent regarding multiple concerns regarding her abdomen, breasts, face and knees. Patient G.F. reported using an inhaler, as needed, for asthma. Respondent recommended patient G.F. undergo a full neck lift, adding fat to the face, abdominoplasty, inferior pedicle mastopexy with saline implants, fillers to the face, and liposuction to the flanks and her upper back. Respondent recommended that patient G.F. undergo two separate procedures.
- 19. On or about April 16, 2013, patient G.F. underwent autologous fat transfer to the cheeks. According to the "time-out" protocol, patient G.F. was to receive Juvederm. Patient G.F. consented for "FAT TRANSFER/FACE AND 1CC JUVEDERM." According to the operative report, Versed and fentanyl were administered to patient G.F., however there is no mention of Juvederm. Respondent did not document a history or physical for patient G.F., on this date.
- 20. On or about May 15, 2013, patient G.F. underwent a pre-operative physical examination with respondent. Patient G.F. reported having high blood pressure, asthma and arthritis/rheumatism. Respondent documented examining patient G.F.'s face, breasts, abdomen and extremities. Patient G.F. was noted to be "healthy." Hemoglobin was noted to be 12.8. An electrocardiogram (ECG), also dated May 15, 2013, reported an incomplete right bundle-branch

Abdominoplasty or "tummy tuck" is a cosmetic procedure that flattens the abdomen by removing extra fat and skin and tightening muscles in the abdominal wall.

³ A mastopexy is the procedure for raising sagging breasts.

⁴ Liposuction (lipoplasty, liposculpture suction lipectomy or simply lipo) is a cosmetic procedure that removes fat from many different sites on the human body.

⁵ Juvaderm is a smooth injectable gel used to restore the skin's volume and smooth away facial wrinkles and folds.

An electrocardiogram is a test that checks for problems with the electrical activity of the (continued...)

block; but otherwise normal ECG. Respondent did not preoperatively perform a heart or lung exam on patient G.F.

- 21. On or about May 21, 2013, patient G.F. underwent a neck lift with plication of the platysma muscle, abdominoplasty with liposuction to the flanks, submuscular augmentation mammoplasty using saline implants with inferior pedicle mastopexy, and suction assisted lipectomy of the medial knees. The procedure was performed at a certified surgery center where respondent was the Medical Director. Prior to the procedure, patient G.F. was interviewed and examined by J.K., M.D., an anesthesiologist, who okayed her for the proposed anesthetic and the proposed surgery. J.K., M.D. noted that patient G.F. was an ASA Class II, on the same page that patient G.F. had previously noted that she had high blood pressure, asthma, and arthritis/rheumatism. J.K., M.D., did not document a heart or lung examination of patient G.F. Following the procedure, patient G.F. was discharged home.
- 22. On or about May 23, 2013, patient G.F. became swollen, weak and short of breath. Patient G.F. was admitted into the hospital and had a prolonged hospital course that included multiple wounds from tissue breakdown at the operative sites, sepsis, respiratory failure, renal failure, congestive heart failure, metabolic encephalopathy, and pleural effusion. Patient G.F. was discharged from the hospital to a rehabilitation facility, where she remained for six months.
- 23. Respondent committed gross negligence in his care and treatment of patient G.F. which included, but was not limited to, the following:
 - (a) Respondent failed to perform a heart and lung exam of patient G.F. before performing major surgery on patient G.F. on or about May 21, 2013.

(...continued)

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American Society of Anesthesiology Classification indicating that the patient had mild systemic disease with no functional limitations.

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SECOND CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

24. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by section 2234, subdivision (c), of the Code, in that he committed repeated negligent acts in his care and treatment of patients C.P. and G.F., as more particularly alleged hereinafter:

Patient C.P.

- 25. Paragraphs 8 through 17, above, are hereby incorporated by reference and re-alleged as if fully set forth herein.
- 26. Respondent committed repeated negligent acts in his care and treatment of patient C.P. which included, but was not limited to, the following:
 - (a) Respondent administered an overdose of Lidocaine to patient C.P.; and
 - (b) Respondent failed to call 911 immediately after patient C.P.'s first episode of seizures.

Patient G.F.

- 27. Paragraphs 8 and 18 to 23, above, are hereby incorporated by reference and re-alleged as if fully set forth herein.
- 28. Respondent committed repeated negligent acts in his care and treatment of patient G.F. which included, but was not limited to, the following:
 - (a) Respondent failed to obtain a preoperative history and physical of patient G.F. for the April 16, 2013 procedure; and,
 - (b) Respondent failed to ensure that an adequate anesthesia preoperative evaluation of patient G.F. was conducted on or about May 21, 2013.

THIRD CAUSE FOR DISCIPLINE

(Failure to Maintain Adequate and Accurate Records)

29. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by section 2266, of the Code, in that he failed to maintain adequate and accurate records relating to his care and treatment of patient C.P., as more particularly alleged hereinafter.