# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

8002013002174

# **DECISION AND ORDER**

The attached Stipulated Surrender of License and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on April 17, 2017

IT IS SO ORDERED April 10, 2017.

MEDICAL BOARD OF CALIFORNIA

Kimberly Kirchmeyer

Executive Director

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1	XAVIER BECERRA Attorney General of California				
2	MATTHEW M. DAVIS				
3	Supervising Deputy Attorney General TESSA L. HEUNIS				
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9					
10	BEFORE THE				
11	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA				
12	STATE OF C	ALITORIVA			
13	In the Matter of the First Amended Accusation	Case No. 800-2013-002174			
14	Against:	OAH No. 2015070764			
15	MANUEL TANGUMA III, M.D. 277 Rancheros Drive, Suite 100 San Marcos, CA 92069	STIPULATED SURRENDER OF LICENSE AND DISCIPLINARY ORDER			
16 17	Physician's and Surgeon's Certificate No.				
	A101646				
18	Respondent.				
19	TO LICENSING CTIPLII ATED AND ACI	REED by and between the parties to the above-			
20					
21	entitled proceedings that the following matters a				
22		<u>CTIES</u>			
23		) is the Executive Director of the Medical Board			
24		olely in her official capacity and is represented in			
25	this matter by Xavier Becerra, Attorney General	of the State of California, by Tessa L. Heunis,			
26	Deputy Attorney General.				
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Stipulated Surrender of License and Disciplinary Order (Case No. 800-2013-002174)

- 2. Manuel Tanguma III, M.D. (respondent) is represented in this proceeding by attorney Robert W. Frank, Esq., whose address is 1010 Second Ave., Ste. 2500, San Diego, CA 92101-4959.
- 3. On or about September 26, 2007, the Board issued Physician's and Surgeon's Certificate No. A101646 to respondent. The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges and allegations brought in First Amended Accusation No. 800-2013-002174 and will expire on July 31, 2017, unless renewed. On May 20, 2016, an Interim Order of Suspension was issued immediately suspending respondent's Physician's and Surgeon's Certificate Number A101646 and, following a noticed hearing on June 6, 2016, respondent remains suspended from the practice of medicine as of the date of entering into this stipulation.

# **JURISDICTION**

- 4. On or about October 30, 2014, Accusation No. 800-2013-002174 was filed before the Board. A true and correct copy of Accusation No. 800-2013-002174 and all other statutorily required documents were properly served on respondent. Respondent timely filed his Notice of Defense, contesting the charges in the Accusation.
- 5. On or about July 1, 2016, First Amended Accusation No. 800-2013-002174 was filed before the Board, and is currently pending against respondent. A true and correct copy of the First Amended Accusation and all other statutorily required documents were properly served on respondent on or about July 1, 2016. All new charges contained in the First Amended Accusation were properly deemed controverted by respondent without any further pleading being filed by him or on his behalf. A true and correct copy of the First Amended Accusation No. 800-2013-002174 is attached hereto as Exhibit A and incorporated herein by this reference.

# ADVISEMENT AND WAIVERS

6. Respondent has carefully read, fully discussed with counsel, and fully understands the charges and allegations in First Amended Accusation No. 800-2013-002174. Respondent also has carefully read, fully discussed with counsel, and fully understands the effects of this Stipulated Surrender of License and Disciplinary Order.

- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in First Amended Accusation No. 800-2013-002174; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Having the benefit of counsel, respondent hereby voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

# **CULPABILITY**

- 9. Respondent does not contest that, at an administrative hearing, complainant could establish a *prima facie* case with respect to the charges and allegations contained in First Amended Accusation No. 800-2013-002174, a true and correct copy of which is attached hereto as Exhibit A, and that he has thereby subjected his Physician's and Surgeon's Certificate No. A101646 to disciplinary action.
- 10. Respondent agrees that his Physician's and Surgeon's Certificate No. A101646 is subject to discipline and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.
- 11. Respondent further agrees that if he ever petitions for reinstatement of his Physician's and Surgeon's Certificate No. A101646, or if an accusation is filed against him before the Medical Board of California, all of the charges and allegations contained in First Amended Accusation No. 800-2013-002174 shall be deemed true, correct, and fully admitted by respondent for purposes of any such proceeding or any other licensing proceeding involving respondent in the State of California or elsewhere.
- 13. With respondent's early acknowledgement that cause exists for the Board's action, complainant finds good cause under Business and Professions Code section 2307, subdivision (b)(1), and thereby agrees that respondent may file a petition for reinstatement two years after the effective date of the Board's Decision.

#### **RESERVATION**

12. The admissions made by respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

## **CONTINGENCY**

- 13. Business and Professions Code section 2224, subdivision (b), provides, in pertinent part, that the Board "shall delegate to its executive director the authority to adopt a ... stipulation for surrender of a license."
- 14. Respondent understands that, by signing this stipulation, he enables the Executive Director of the Board to issue an order, on behalf of the Board, accepting the surrender of his Physician's and Surgeon's Certificate No. A101646 without further notice to, or opportunity to be heard by, respondent.
- 15. This Stipulated Surrender of License and Disciplinary Order shall be subject to the approval of the Executive Director on behalf of the Board. The parties agree that this Stipulated Surrender of License and Disciplinary Order shall be submitted to the Executive Director for her consideration in the above-entitled matter and, further, that the Executive Director shall have a reasonable period of time in which to consider and act on this Stipulated Surrender of License and Disciplinary Order after receiving it. By signing this stipulation, respondent fully understands and agrees that he may not withdraw his agreement or seek to rescind this stipulation prior to the time the Executive Director, on behalf of the Medical Board, considers and acts upon it.
- 16. The parties agree that this Stipulated Surrender of License and Disciplinary Order shall be null and void and not binding upon the parties unless approved and adopted by the Executive Director on behalf of the Board, except for this paragraph, which shall remain in full force and effect. Respondent fully understands and agrees that in deciding whether or not to approve and adopt this Stipulated Surrender of License and Disciplinary Order, the Executive Director and/or the Board may receive oral and written communications from its staff and/or the Attorney General's Office. Communications pursuant to this paragraph shall not disqualify the Executive Director, the Board, any member thereof, and/or any other person from future

participation in this or any other matter affecting or involving respondent. In the event that the Executive Director on behalf of the Board does not, in her discretion, approve and adopt this Stipulated Surrender of License and Disciplinary Order, with the exception of this paragraph, it shall not become effective, shall be of no evidentiary value whatsoever, and shall not be relied upon or introduced in any disciplinary action by either party hereto. Respondent further agrees that should this Stipulated Surrender of License and Disciplinary Order be rejected for any reason by the Executive Director on behalf of the Board, respondent will assert no claim that the Executive Director, the Board, or any member thereof, was prejudiced by its/his/her review, discussion and/or consideration of this Stipulated Surrender of License and Disciplinary Order or of any matter or matters related hereto.

### **ADDITIONAL PROVISIONS**

- 17. This Stipulated Surrender of License and Disciplinary Order is intended by the parties herein to be an integrated writing representing the complete, final and exclusive embodiment of the agreements of the parties in the above-entitled matter.
- 18. The parties agree that copies of this Stipulated Surrender of License and Disciplinary Order, including copies of the signatures of the parties, may be used in lieu of original documents and signatures and, further, that such copies shall have the same force and effect as originals.
- 19. In consideration of the foregoing admissions and stipulations, the parties agree the Executive Director of the Board may, without further notice to or opportunity to be heard by respondent, issue and enter the following Disciplinary Order on behalf of the Board:

#### ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A101646, issued to Respondent Manuel Tanguma III, M.D., is surrendered and accepted by the Medical Board of California.

1. The surrender of Respondent's Physician's and Surgeon's Certificate No. A101646 and the acceptance of the surrendered license by the Board shall constitute the imposition of discipline against respondent. This stipulation constitutes a record of the discipline and shall become a part of respondent's license history with the Medical Board of California.

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- 2. Respondent shall lose all rights and privileges as a Physician and Surgeon in California as of the effective date of the Board's Decision and Order.
- 3. Respondent shall cause to be delivered to the Board his pocket license and, if one was issued, his wall certificate on or before the effective date of the Decision and Order.
- 4. If respondent ever files an application for licensure or a petition for reinstatement in the State of California, the Board shall treat it as a petition for reinstatement. Respondent must comply with all the laws, regulations and procedures for reinstatement of a revoked license in effect at the time the petition is filed, and all of the charges and allegations contained in First Amended Accusation No. 800-2013-002174 shall be deemed to be true, correct and admitted by respondent when the Board determines whether to grant or deny the petition.
- 5. If respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing agency in the State of California, all of the charges and allegations contained in First Amended Accusation No. 800-2013-002174 shall be deemed to be true, correct, and admitted by respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict licensure.

### **ACCEPTANCE**

I have carefully read the above Stipulated Surrender of License and Disciplinary Order and have fully discussed it with my attorney, Robert W. Frank Esq.. I fully understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate A101646. I enter into this Stipulated Surrender of License and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Roard of California.

DATED:

MANUEL TANGOMA III, MD.

Respondent

I have read and fully discussed with Respondent Manuel Tanguma III, M.D., the terms and conditions and other matters contained in this Stipulated Surrender of License and Disciplinary

Order. I approve its form and content.

DATED: Q - q - 1

ROBERT W. FRANK ES
Attorney for Respondent

# Exhibit A

First Amended Accusation No. 800-2013-002174

1 2 3 4 5 6 7 8	KAMALA D. HARRIS Attorney General of California MATTHEW M. M DAVIS Supervising Deputy Attorney General TESSA L. HEUNIS Deputy Attorney General State Bar No. 241559 600 West Broadway, Suite 1800 San Diego, CA 92101 P.O. Box 85266 San Diego, CA 92186-5266 Telephone: (619) 738-9403 Facsimile: (619) 645-2061  Attorneys for Complainant		
9			
10	BEFORE THE  MEDICAL BOARD OF CALIFORNIA  DEPARTMENT OF CONSUMER AFFAIRS  STATE OF CALIFORNIA		
11			
12			
13	In the Matter of the First Amended Accusation Against:  Case No. 8002013002174		
14	MANUEL TANGUMA III, M.D.		
15	1345 Encinitas Blvd., #614 Encinitas, CA 92024-2845  FIRST AMENDED ACCUSATION		
16	Physician's and Surgeon's Certificate No. A 101646,		
18	Respondent.		
19			
20	Complainant alleges:		
21	<u>PARTIES</u>		
22	1. Kimberly Kirchmeyer (complainant) brings this First Amended Accusation solely in		
23	her official capacity as the Executive Director of the Medical Board of California, Department of		
24	Consumer Affairs (Board).		
25	2. On or about September 26, 2007, the Board issued Physician's and Surgeon's		
26	Certificate Number A101646 to Manuel Tanguma III, M.D. (respondent). The Physician's and		
27	Surgeon's Certificate was in full force and effect at all times relevant to the charges and		
28	allegations brought herein and will expire on July 31, 2017, unless renewed. On May 20, 2016,		
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an Interim Order of Suspension was issued immediately suspending respondent's Physician's and Surgeon's Certificate Number A101646 and, following a noticed hearing on June 6, 2016, respondent remains suspended from the practice of medicine as of the date of the filing of this First Amended Accusation.

### **JURISDICTION**

- 3. This First Amended Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
  - 4. Section 2227 of the Code states:
  - "(a) A licensee whose matter has been heard by an administrative law judge of the Medical Quality Hearing Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found guilty, or who has entered into a stipulation for disciplinary action with the board, may, in accordance with the provisions of this chapter:
    - "(1) Have his or her license revoked upon order of the board.
  - "(2) Have his or her right to practice suspended for a period not to exceed one year upon order of the board.
  - "(3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
  - "(4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
  - "(5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
  - "(b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by

the board pursuant to Section 803.1."

#### 5. Section 726 of the Code states:

"The commission of any act of sexual abuse, misconduct, or relations with a patient, client, or customer constitutes unprofessional conduct and grounds for disciplinary action for any person licensed under this division, under any initiative act referred to in this division and under Chapter 17 (commencing with Section 9000) of Division 3.

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#### 6. Section 2234 of the Code, states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

"(f) Any action or conduct which would have warranted the denial of a certificate.

<sup>&</sup>lt;sup>1</sup> Business and Professions Code, Division 2.

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Section 2266 of the Code states:

"The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct."

8. Unprofessional conduct under Business and Professions Code section 2234 is conduct which breaches the rules or ethical code of the medical profession, or conduct which is unbecoming to a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine.<sup>2</sup>

## FIRST CAUSE FOR DISCIPLINE

# (Sexual Abuse, Misconduct, or Relations with a Patient)

- 9. Respondent is subject to disciplinary action under section 726 of the Code, in that he committed an act or acts of sexual abuse, misconduct, or relations with a patient, as more particularly alleged hereinafter:
- 10. At all relevant times, respondent was a primary care physician, employed by the Graybill Medical Group and practicing primarily out of offices in San Marcos, California.

  Patient A:
- During 2013, respondent was the primary care physician for patient A, then 43 years old, her husband and their two sons. Patient A saw respondent on several occasions during 2013, both during her own visits and also while accompanying her sons and her husband on their visits to respondent.
- 12. During her office visits with respondent, she initially experienced him as being pleasant and light-hearted, if somewhat flirty. He was personable and approachable.
- 13. Respondent started making comments that made patient A feel uncomfortable. For instance, during one visit, when discussing patient A's husband's recent weight loss, respondent told patient A she should "watch" her husband since he may now start looking at other women. Respondent then asked patient A whether she ever looks at other men, or words to that effect,

<sup>&</sup>lt;sup>2</sup> Shea v. Board of Medical Examiners (1978) 81 Cal.App.3d 564, 575.

impliedly asking whether she has had or considered having an extra marital affair.

- 14. Respondent also told patient A, who is Hispanic, that he was part Hispanic and that she was "his type of woman," or words to that effect. Respondent asked patient A whether he could call her sometime. When she left his office, respondent shook her hand and held onto her hand for an extended period of time.
- 15. On or about November 1, 2013, patient A went to the medical offices in San Marcos with a suspected urinary tract infection (UTI). Patient A asked the nurse whether she could give her a urine sample to test for an infection. Patient A was told that respondent wanted to see her. Patient A was feeling ill and agreed to see respondent. When she saw respondent, he asked to check patient A's abdomen by palpation, to which she agreed. Respondent lifted patient A's shirt and, in so doing, allowed the back of his hands to touch patient A's breasts.
- 16. While inspecting patient A's abdomen, respondent felt around patient A's belly and asked if she had had plastic surgery. Patient A replied that she had had a "tummy tuck." Respondent then started to rub patient A's stomach, telling her that she had some fat pockets but that she "still looked good." While looking at her breasts, respondent asked whether the "tummy tuck" was the only plastic surgery she had had. Respondent gestured that he was getting hot, and said "I feel like I'm your puppy dog when I'm around you!" or words to that effect.
- 17. When patient A was leaving respondent's office, he reached over, gave her his hand, and attempted to kiss patient A on the lips. She turned her face and he kissed her on the cheek. When patient A pulled away from respondent, he asked her whether she got any lipstick on his face, and whether she wanted to do it again.
- 18. Respondent's conduct and comments towards patient A made her feel "horrible" and extremely uncomfortable.

#### Patient B:

- 19. Patient B is an adult female who was a patient of the respondent at the Graybill San Marcos office on or about September 28, 2011, June 28, 2013 and October 7, 2013.
- 20. Patient B saw respondent on or about June 28, 2013, for a rash that had developed on her foot. During the appointment, respondent appeared to be flirting with patient B and

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- 21. Patient B took off her sock and shoe and pointed to her foot to show respondent the reason for her visit. After patient B explained the rash, respondent said something like, "I'm sorry, I've been lost in your eyes." Respondent then asked patient B about her relationship status and whether she was married or had a boyfriend. When patient B said she has a boyfriend, respondent responded with something to the effect that patient B's boyfriend was a lucky man.
- 22. Respondent made enquiries about patient B's last pap smear and wanted to do one right then and there. Patient B told respondent she was up to date and did not need one. Respondent told patient B there was no need to see a gynecologist and that it would be more convenient for patient B to let respondent do a pap smear than for her to schedule it with a gynecologist.
- 23. Respondent's demeanor and comments throughout the appointment were sexually charged and made patient B extremely uncomfortable.

#### Patient C:

- 24. Patient C received care and treatment from respondent from on or about July 30, 2013, through on or about December 16, 2014 (the patient C treatment period).
- 25. During the patient C treatment period, respondent openly flirted with patient C. Respondent also said things to patient C such as that he had not been able to stop thinking about her, and that, when he was around her, he felt like he was in high school again.
- 26. During the patient C treatment period, respondent held patient C's hand, and kissed her on the lips several times.
- 27. On one occasion during the patient C treatment period, respondent exposed his erect penis to patient C and asked her to touch his penis. On another occasion, respondent gestured to his erect penis, still in his trousers, and told patient C, "look what you do to me," or words to that effect.

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28. Patient D is an adult female who, along with her two children, consulted respondent as their family physician for approximately five years, from approximately 2008 through 2013.

- When respondent was alone with patient D, she found his manner sexually charged 29. and "odd."
- 30. On one occasion, patient D was lying down on the examination table while respondent was standing next to her, to her side, at hip height, examining patient D's abdomen and/or breasts. While facing patient D, respondent reached down into his pants to straighten his penis, which was clearly and obviously erect, and only inches away from patient D's face. Patient E:
- 31. Patient E is an adult female who has long suffered from depression, and was a patient of the respondent during approximately 2012 through August 28, 2015 (the patient E treatment period).
- During the patient E treatment period, respondent flirted with patient E and made 32. comments which had a sexual innuendo. He also asked her out to lunch, and asked questions about her breasts which were not related to any medical treatment at that time. Respondent also hugged patient E multiple times during her medical appointments with him.
- On at least one occasion, respondent hugged patient E very tightly, pulling her 33. close to him. Respondent placed his hands completely around patient E and, with his fingers, brushed the side of both her breasts. Patient E then felt what she knew was an erection pressing against her pubic area. She immediately pulled away from respondent and noticed he had a visible erection.

#### Patient F:

- Patient F is an adult female and was a patient of the respondent during 2015. 34.
- At her first appointment with respondent, she and respondent both realized that they had previously met and that respondent had briefly treated patient F during a period of hospitalization in 2012, for alcohol abuse and depression.

- 36. Respondent asked patient F about her intrauterine contraceptive device (IUD) implant and made sexually charged comments about it.
- 37. Respondent asked patient F about her last pap smear and told her he would love to do her next pap smear.
- 38. Respondent asked patient F when she had last had her breasts examined. He raised both hands to approximately chest level, open and facing her, held as if squeezing something, and said "I'd love to do your breast exam, just to make sure all is well."
- 39. Respondent asked patient F about her personal life, and told her that he "is not getting any at home" and that he had been a bit flustered when he saw patient F.

#### Patient G:

- 40. Patient G is an adult female who was a patient of the respondent from 2010 through 2015 (the patient G treatment period).
- 41. During the patient G treatment period, respondent made sexually-charged comments to patient G, including that he had missed seeing her naked breasts when she had covered herself before respondent entered the exam room, and that he would like a photo of her vagina. Respondent frequently told patient G that she was beautiful.
- 42. During the patient G treatment period, respondent would frequently sit on his spinning chair, facing patient G with his legs spread wide apart, and open up his lab coat in what appeared to be a sexually suggestive manner.
- 43. During the patient G treatment period, respondent frequently had his penis exposed, either flaccid or erect. Respondent made sexual remarks to patient G regarding the length of his erect penis and made references to sexual acts.
- 44. At one visit, patient G sought treatment from respondent for some stomach problems and he instructed her to unzip her pants so he could palpate her stomach. There was no chaperone present. Respondent unzipped patient G's pants, trying to pull them down and reach his hand in and rub her vagina, without patient G's consent.
- 45. On at least one occasion during the patient G treatment period, respondent moved patient G's hand to his genitals.

- 46. Respondent told patient G about sexual acts between himself and other person(s) and invited patient G to participate.
- 47. On multiple occasions during the patient G treatment period, respondent demanded fellatio from patient G in exchange for prescriptions for the pain medications she needed.
- 48. On multiple occasions during the patient G treatment period, respondent would reach a sexual climax during a medical appointment with patient G.

#### Patient H:

- 49. Patient H is an adult female who was a patient of the respondent, off and on, from approximately October 2011 through June 2015 (the patient H treatment period). She recalls first seeing respondent around 2008 or 2009, with her daughter, who was experiencing regular, persistent, high fevers.
- 50. When patient H saw respondent as a patient, alone, for her own care and treatment, she found his manner sexually charged and openly flirtatious.
- 51. On more than one occasion during the patient H treatment period, respondent told patient H that he wanted to have sex with her.
- 52. At patient H's last visit to respondent, in June 2015, he showed her a photo on his phone, namely, a 'selfie' of a man with his trousers unzipped and his penis exposed and erect.

  Patient I:
- 53. Patient I received care and treatment from respondent during the period from approximately July 2010 through October 2015 (the patient I treatment period).
- 54. Initially, patient I was accompanied by her mother on her visits to respondent. On her later visits to respondent, patient I was alone.
  - 55. Patient I has a history of past sexual abuse, which she shared with respondent.
- 56. During the patient I treatment period, respondent frequently conducted breast exams on patient I which were not medically indicated and/or were sexual and inappropriate.

  Respondent took one or more photographs of patient I's breasts with his personal cell phone and

<sup>&</sup>lt;sup>3</sup> A 'selfie' is an image of oneself taken by oneself using a digital camera.

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### SECOND CAUSE FOR DISCIPLINE

### (Gross Negligence)

- 67. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by section 2234, subdivision (b), of the Code, in that he committed gross negligence in his care and treatment of patients A, C, E, F, G, H, and I, as more particularly alleged hereinafter:
- 68. Paragraphs 9 and 10, above, are hereby realleged and incorporated by this reference as if fully set forth herein.

#### Patient A:

- 69. Paragraphs 11 through 18, above, are hereby realleged and incorporated by this reference as if fully set forth herein.
  - 70. Respondent treated patient A from August 2013 through November 2013.
- 71. On or about August 12, 2013 (the first visit), patient A consulted respondent with complaints of "insidious onset of joint aches" and symptoms of a UTI. After conducting a physical examination of patient A, respondent's assessment of her condition included "dysuria," and he referred patient A for a consultation with a urologist.
- 72. With regard to patient A's complaint of joint aches, no details are provided in the section entitled "review of systems." Specific details about which joints are affected are not documented. There was no recorded examination of the extremities. Respondent ordered bilateral X-rays of patient A's hands, and of her left knee. No explanation or reason for the X-rays is provided in the medical record.
- 73. Also at the first visit, respondent issued patient A a prescription for sixty (60) Vicodin 5 mg/500 mg<sup>4</sup> tablets. There is no mention of this prescription in patient A's medical record for this visit. At an interview conducted on or about June 11, 2014, during the Board's investigation of this matter (the subject interview), respondent stated that he was "not sure" why he had prescribed the Vicodin.

<sup>&</sup>lt;sup>4</sup> Vicodin is a brand name for acetaminophen and hydrocodone bitartrate, a Schedule III controlled substance pursuant to Health and Safety Code section 11056, subdivision (e), and a dangerous drug pursuant to Business and Professions Code section 4022.

74. Patient A again consulted respondent on or about August 29, 2013 (the second visit). According to the "nursing comments" on patient A's medical record for that date, the reason for patient A's visit on that date was to obtain the results of her X-rays. Under the "history of present illness" in the medical record for this visit, the following information is provided:

"This is a patient here for general surveillance of their medical conditions/concerns. The patient has concerns about their general medical issues. Presents today."

- 75. The medical record for the second visit makes no mention of what the X-rays showed or whether the X-ray results were discussed with patient A. No remarks or observations are made in the section entitled "musculoskeletal." In the section entitled "assessment plan," respondent's discussion of patient A's osteoarthritis provides only, "conditions/current state discussed. Options and therapies also discussed. Questions answered."
- 76. Also during the second visit, patient A's blood pressure was measured by a medical assistant and noted in her medical record as being 140/110. Respondent did not recheck patient A's blood pressure, nor did he address it in any way.
- 77. According to patient A's list of medications contained in her medical record, she was taking Anaprox DS<sup>5</sup> 550 mg tablets, as well as Flexeril<sup>6</sup> 10 mg tablets, among others. There are no notes in patient A's medical record, explaining the use or reason for these medications. At the subject interview, respondent stated that "it'd be speculation" for him to explain why patient A was taking Flexeril, a muscle relaxant. When asked about why patient A was taking Anaprox, respondent stated that he was "not sure." Respondent, likewise, did not know why patient A was taking Paxil<sup>7</sup> 10 mg, which was noted in her list of medications.

<sup>&</sup>lt;sup>5</sup> Anaprox DS is a nonsteroidal anti-inflammatory drug (NSAID), used to treat pain or inflammation caused by conditions such as arthritis, ankylosing spondylitis, tendinitis, bursitis, gout, or menstrual cramps. It is a dangerous drug pursuant to Business and Professions Code section 4022.

<sup>&</sup>lt;sup>6</sup> Flexeril, a brand name for cyclobenzaprine, is a muscle relaxant that is used together with rest and physical therapy to treat skeletal muscle conditions such as pain or injury. It is a dangerous drug pursuant to Business and Professions Code section 4022.

<sup>&</sup>lt;sup>7</sup> Paxil, a brand name for paroxetine, is an antidepressant in a group of drugs called (continued...)

78. Patient A again consulted respondent on or about November 1, 2013 (the third visit). The "nursing comments" on the medical record for this visit state: "Possible UTI. Patient had incontinence procedure done on Monday 10/28/13 and has discomfort since." This information is repeated in the section entitled "history of present illness." No note is made of which particular "incontinence procedure" had been performed or what patient A's exact symptoms were.

79. Also in the medical note for the third visit, in the section "history of present illness," the following information is provided:

"This is a patient who presents today for follow up of a medical malady/concern. The patient has been seen before and attended to by myself. A length of time has passed and now the patient finds themselves [sic] here for follow up to their [sic] problem(s). Overall the pt relates that they [sic] are still worried/suffering from their [sic] medical concern/malady." (Brackets and inserts added.)

- 80. At the visit on or about November 1, 2013, respondent performed a physical examination which included a HEENT<sup>8</sup> assessment, observing that patient A had "no chest heave," noting "no labored respirations" of her lungs, and a "scaphoid" abdomen. The medical record for this visit concludes with "for now will rx with levaquin." A urine sample was obtained for further analysis.
  - 81. No CVA tenderness examination<sup>10</sup> was conducted.
- 82. The results of the urine analysis indicated that patient A had an infection which was resistant to both ciprofloxacin and levofloxacin. Consequently, the antibiotic prescribed on or

<sup>(...</sup>continued)

selective serotonin reuptake inhibitors (SSRIs). It is a dangerous drug pursuant to Business and Professions Code section 4022.

<sup>&</sup>lt;sup>8</sup> Head, eyes, ears, nose and throat.

<sup>&</sup>lt;sup>9</sup> Levaquin (levofloxacin) is in a group of antibiotics called fluoroquinolones and is used to treat bacterial infections of the skin, sinuses, kidneys, bladder, or prostate. It is a dangerous drug pursuant to Business and Professions Code section 4022.

<sup>&</sup>lt;sup>10</sup> Costovertebral angle tenderness (CVAT), is a medical test in which pain is elicited by percussion of the area of the back overlying the kidney (the costovertebral angle, an angle made by the vertebral column and the costal margin).

about November 1, 2013, needed to be changed. On or about November 6, 2013, patient A was informed that her new prescription was Macrobid.<sup>11</sup>

- 83. Respondent committed gross negligence in his care and treatment of patient A which included, but are not limited to:
  - (a) Respondent repeatedly failed to document an adequate history, a review of systems, and a treatment plan for patient A, along with medical justification therefor;
  - (b) Respondent repeatedly made unprofessional and inappropriate comments to patient A which caused her discomfort; and/or
  - (c) Respondent inappropriately touched patient A's breast(s), lingered over her abdominoplasty scar, tried to kiss her on the lips, and actually kissed her on her cheek.

#### Patient C:

- 84. Paragraphs 24 through 27, above, are hereby realleged and incorporated by this reference as if fully set forth herein.
- 85. At or near the beginning of the patient C treatment period, respondent did a urine analysis on patient C and diagnosed a UTI. Since her urine sample also showed elevated glucose, respondent considered the possibility of patient C having diabetes and ordered lab work to investigate this.
- 86. On or about August 3, 2013, as directed by respondent, patient C had her blood drawn and lab work done. The results show an elevated blood glucose of 346 mg/dL. Respondent was aware of the abnormal results of the labs.
- 87. Respondent never discussed patient C's lab results with her during the patient C treatment period, nor did he at any stage attempt to address patient C's diabetes.
- 88. Respondent committed gross negligence in his care and treatment of patient C which included, but are not limited to:

<sup>&</sup>lt;sup>11</sup> Macrobid, a brand name for nitrofurantoin, is an antibiotic that is used to treat urinary tract infections. It is a dangerous drug pursuant to Business and Professions Code section 4022.

- (a) Respondent failed to communicate to patient C the test results indicating that she had diabetes and/or to begin treatment of patient C's diabetes in a timely fashion; and
- (b) Respondent made repeated unprofessional and inappropriate comments to patient C which caused her discomfort.

#### Patient E:

- 89. Paragraphs 31 through 33, above, are hereby realleged and incorporated by this reference as if fully set forth herein.
  - 90. Respondent's notes for patient E's visit(s) frequently state:

    "This is a patient who presents today for follow up of a medical malady/concern.

    The patient has been seen before and attended to by myself. A length of time has passed and now the patient finds themselves here for follow up to their problem(s).

    Overall the pt relates that they are still worried/suffering from their medical conern [sic]/malady." (Brackets and insert added.)
- 91. Respondent's notes for patient E's visit(s) frequently state, "Conditions/current state discussed. Options and therapies also discussed. Questions answered" without further elucidation of what this entailed.
- 92. Respondent's notes for patient E's visit(s) frequently state, without further elaboration or explanation, "Well known pt to me from previous clincal [sic] history. Pt presents for f/u of various medical maladies. Relays that is here for the addressment of concerns. Questions were asked and answers provided." (Brackets and insert added.)
- 93. Respondent's notes for patient E's visits frequently do not describe how patient E responded to treatment, whether or not she followed up with referrals or what the consultant's treatment plan is, details of labs obtained or X-ray findings, or provide details of character, onset, duration, locations or exacerbating or relieving factors with regard to pain.
- 94. Respondent committed gross negligence in his care and treatment of patient E which included, but are not limited to:
  - (a) Respondent repeatedly touched patient E inappropriately and/or made inappropriate comments to patient E which caused her discomfort; and

100. Respondent's notes for patient G's visit on or about December 2, 2013, lists five diagnoses. None of the listed diagnoses would require an EKG, 12 but respondent has added a note that patient G refused an EKG. Also on or about this date, respondent ordered sinus films but the note does not explain the reason for the order.

101. On or about January 28, 2014, respondent requested that patient G should come in to discuss her "X-Ray Report" and informed his staff that a 30 minute visit should be scheduled for the visit. Respondent's notes for patient G's next visit, on or about February 3, 2014, state: "had an xray and now is here." The note does not report what the X-ray was of, what it showed, or what was discussed. There are no reported symptoms and the exam is normal. Respondent writes only that patient G's allergic rhinitis is a chronic problem.

102. Respondent's notes for patient G's visit on or about October 26, 2014, include under the "assessment plan," the diagnosis of "Chest pain, unspecified." The notes under this diagnosis state:

"This is a seemingly complex medical pt who comes in today with a discrete set of complex presenting complaints. We will have to order, as of today, a limited extensive workup, which should be completed and then the pt should return for further workup and elucidation."

- 103. Respondent committed gross negligence in his care and treatment of patient G which included, but are not limited to:
  - (a) Respondent repeatedly touched patient G inappropriately and/or made inappropriate comments to patient G which caused her discomfort; and
  - (b) Respondent repeatedly failed to maintain accurate and adequate records of his care and treatment of patient G.

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<sup>12</sup> An electrocardiogram (EKG or ECG) is a test that checks for problems with the electrical activity of the heart. An EKG shows the heart's electrical activity as line tracings on paper.

# incorporated by this reference as if fully set forth herein.

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111. Respondent committed repeated negligent acts in his care and treatment of patient A which included, but are not limited to, the following:

110. Paragraphs 11 through 18, and 69 through 83, above, are hereby realleged and

- (a) Respondent repeatedly failed to document an adequate history, a review of systems, and a treatment plan for patient A, along with medical justification therefor;
- (b) Respondent repeatedly made unprofessional and inappropriate comments to patient A which caused her discomfort;
- (c) Respondent inappropriately touched patient A's breast(s), lingered over her abdominoplasty scar, tried to kiss her on the lips, and actually kissed her on her cheek;
- (d) Respondent failed to follow through on the results of patient A's urinary analysis and get her on the appropriate antibiotic in a timely fashion; and
- (e) Respondent failed to document appropriately his prescription for Vicodin for patient A in her medical record.

#### Patient C:

- 112. Paragraphs 24 through 27, and 84 through 88, above, are hereby realleged and incorporated by this reference as if fully set forth herein.
- 113. Respondent's notes for patient C's visit on or about July 30, 2013, do not mention any specific details in the section entitled "history of present illness," other than she has "some issues but is here to establish care. Neg hx of trauma. Has been feeling not herself."
  - 114. Respondent's notes for patient C's visit on or about April 4, 2014, state:

"Well known pt to me from previous clincal history. Pt presents for f/u of various medical maladies. Relays that is here for the addressment of concerns. Questions were asked and answers provided."

- 115. Respondent committed repeated negligent acts in his care and treatment of patient C which include, but are not limited to:
  - (a) Respondent failed to communicate to patient C the results indicating that she had diabetes and/or to begin treatment of patient C's diabetes in a timely fashion;
  - (b) Respondent made repeated unprofessional and inappropriate comments to patient C which caused her discomfort; and

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1	(c) Respondent faile	ed to maintain adequate and accurate records of his care and treatment
2	of patient C.	
3	Patient E:	
4	116. Paragraphs 31 th	rough 33, and 89 through 94, above, are hereby realleged and
5	incorporated by this reference	e as if fully set forth herein.
6	117. Respondent com	mitted repeated negligent acts in his care and treatment of patient E
7	which include, but are not li	mited to:
8	(a) Respondent repe	atedly touched patient E inappropriately and/or made inappropriate
9	comments to pat	ient E which caused her discomfort; and
10	(b) Respondent repe	eatedly failed to maintain accurate and adequate records of his care
11	and treatment of	patient E.
12	Patient F:	
13	118. Paragraphs 34 th	arough 39, 95 and 96, above, are hereby realleged and incorporated by
14	this reference as if fully set i	Forth herein.
15	119. Respondent com	mitted repeated negligent acts in his care and treatment of patient F
16	which include, but are not li	mited to, making repeated comments to patient F which were of a
17	flirtatious and/or sexual natu	re, which caused her discomfort.
18	Patient G:	
19	120. Paragraphs 40 th	arough 48, and 97 through 103, above, are hereby realleged and
20	incorporated by this reference	ee as if fully set forth herein.
21	121. Respondent con	nmitted repeated negligent acts in his care and treatment of patient G
22	which include, but are not li	mited to:
23	(a) Respondent repo	eatedly touched patient G inappropriately and/or made inappropriate
24	comments to pa	tient G which caused her discomfort; and
25	(b) Respondent repo	eatedly failed to maintain accurate and adequate records of his care
26	and treatment of	f patient G.
27	1111	
28	1111	
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122. Paragraphs 49 through 52, 104 and 105, above, are hereby realleged and incorporated by this reference as if fully set forth herein.

- 123. Respondent's note for patient H's visit on or about May 12, 2015, states: "Pt's orthopedic surgeon at Camp Pendleton thinks she needs a test to r/o MS vs Sjogran's syndrome. Her sugeon [sic] at Camp Pendleton thinks she needs an MRI to r/o MS. Therefore since she has no power at all apparently to order an MRI and the concept of ordering advanced imaging studies of the 21<sup>st</sup> century seems foreign to providers at Camp Pendleton, she states to patient that it falls to me to order the MRI of the patient's brain."
- 124. Respondent committed repeated negligent acts in his care and treatment of patient H which include, but are not limited to:
  - (a) Respondent made repeated comments to patient H which were of a flirtatious and/or sexual nature, which caused her discomfort; and
  - (b) Respondent aired his grievance with Camp Pendleton medical providers in patient H's medical records.

#### Patient I:

- 125. Paragraphs 53 through 66, 106 and 107, above, are hereby realleged and incorporated by this reference as if fully set forth herein.
- 126. Respondent frequently conducted breast exams of patient I and/or touched her breasts inappropriately, without recording the exam and/or touching of her breasts in patient I's medical chart.
- 127. Respondent committed repeated negligent acts in his care and treatment of patient I which include, but are not limited to:
  - (a) Respondent repeatedly touched patient I inappropriately and/or made inappropriate comments to patient I which caused her discomfort;
  - (b) Respondent took photograph(s) of patient I's breast(s) with his personal phone and misrepresented the purpose for which the photographs were taken;

- (c) Respondent claimed that digital probing of patient I's vagina was part of IUD care;
- (d) Respondent required a pap smear and a separate pelvic exam of patient I prior to the insertion of an IUD; and
- (e) Respondent failed to maintain adequate and accurate records of his care and treatment of patient I.

#### FOURTH CAUSE FOR DISCIPLINE

# (Failure to Maintain Adequate and Accurate Records)

128. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by section 2266, of the Code, in that he failed to maintain adequate and accurate records relating to his provision of services to patient A, C, E, G, and I, as more particularly alleged in paragraphs 9 through 127, above, which are hereby realleged and incorporated by this reference as if fully set forth herein.

### FIFTH CAUSE FOR DISCIPLINE

# (General Unprofessional Conduct)

- 129. Respondent is further subject to disciplinary action under sections 2227 and 2234 of the Code, in that he has engaged in conduct which breaches the rules or ethical code of the medical profession, or conduct that is unbecoming to a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine, as more particularly alleged hereinafter.
- 130. Paragraphs 9 through 128, above, are hereby realleged and incorporated by this reference as if fully set forth herein.
- 131. Medical assistant 1 (MA 1) worked in the San Marcos office from June 2014 through May 2015, and was assigned to respondent when his former medical assistant went on leave for six weeks.
- uncomfortable. For instance, respondent was friends with many of the 'reps' who came into the office and he would say things to them like, "Look at my lovely assistant, isn't she beautiful?"

  On one occasion, respondent said something to MA 1 something along the lines of, "I am a flower

23.

First Amended Accusation (8002013002174)

1	3.	Ordering respondent Manuel Tanguma III, M.D., if placed on probation, to pay the	
2	Medical Board of California the costs of probation monitoring; and		
3 4 5 6	4. DATED:	Taking such other and further action as deemed necessary and proper.  July 1, 2016  KIMBERLY KIRCHMEYER  Executive Director  Medical Board of California Department of Consumer Affairs	
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