BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the First Amended)	
Accusation and Petition to Revoke)	
Probation Against:)	
)	
)	
JOSE FELIX HUERTA-IBARRA, M.D.)	Case No. 19-2011-219469
)	
Physician's and Surgeon's)	
Certificate No. A 94115)	
)	
Respondent)	
)	
	•	

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on September 21, 2016.

IT IS SO ORDERED: August 22, 2016.

MEDICAL BOARD OF CALIFORNIA

Jamie Wright, JD, Chair

Panel A

1	Kamala D. Harris		
2	Attorney General of California JANE ZACK SIMON		
3	Supervising Deputy Attorney General		
4	GREG W. CHAMBERS Deputy Attorney General State Bar No. 237509		
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004		
6	Telephone: (415) 703-5723 Facsimile: (415) 703-5480		
7	Attorneys for Complainant		
8	BEFORE THE		
9	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
	STATE OF C.	ALIFORNIA	
10	In the Matter of the First Amended	Case No. 19-2011-219469	
$\begin{vmatrix} 11 \\ 12 \end{vmatrix}$	Accusation/Petition to Revoke Probation Against:	STIPULATED SETTLEMENT AND	
	JOSE FELIX HUERTA-IBARRA, M.D.	DISCIPLINARY ORDER	
13	50 Penny Lane Watsonville, CA 95076		
15	Physician's and Surgeon's Certificate No.		
16	A94115		
17	Respondent.		
18	IT IS HEDEDY STIDI II ATED AND ACD	EED by and between the parties to the above-	
		•	
19	entitled proceedings that the following matters are		
20	<u>PARTIES</u>		
21	1. Kimberly Kirchmeyer ("Complainant") is the Executive Director of the Medical		
22	Board of California. She brought this action solely in her official capacity and is represented in		
23	this matter by Kamala D. Harris, Attorney General of the State of California, by Greg W.		
24	Chambers, Deputy Attorney General.		
25	2. Respondent Jose Felix Huerta-Ibarra,	M.D. ("Respondent") is represented in this	
26	proceeding by attorney Thomas E. Still, Esq. who	ose address is: Hinshaw, Marsh, Still &	
27	Hinshaw, 12901 Saratoga Avenue, Saratoga, CA	95070.	
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3. On or about February 17, 2006, the Medical Board of California issued Physician's and Surgeon's Certificate No. A94115 to Respondent. Said certificate is renewed and will expire on February 28, 2018. Said certificate was the subject of disciplinary action that became effective July 21, 2011, at which time the Board revoked the certificate, but stayed the order of revocation and placed Respondent on probation for five (5) years, with terms and conditions. Included in the terms and conditions of Respondent's probation was the requirement that he successfully complete a clinical training program in the time and manner prescribed in the Decision.

JURISDICTION

- 4. First Amended Accusation/Petition to Revoke Probation No. 19-2011-219469 was filed before the Medical Board of California ("Board"), Department of Consumer Affairs, and is currently pending against Respondent. The First Amended Accusation/ Petition to Revoke Probation and all other statutorily required documents were properly served on Respondent on October 15, 2014. Respondent timely filed his Notice of Defense contesting the First Amended Accusation/ Petition to Revoke Probation.
- 5. A copy of First Amended Accusation/ Petition to Revoke Probation No. 19-2011-219469 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in First Amended Accusation/ Petition to Revoke Probation No. 19-2011-219469. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the First Amended Accusation/Petition to Revoke Probation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. Respondent admits the truth of each and every charge and allegation in First Amended Accusation/Petition to Revoke Probation No. 19-2011-219469.
- 10. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- 11. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that Portable Document Format ("PDF") and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A94115 issued to Respondent Jose Felix Huerta-Ibarra, M.D. is revoked. However, the revocation is stayed and

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Respondent is placed on probation for three (3) years, which term shall be consecutive to any probation remaining on the Decision and Order in the Medical Board of California Case No. 03-2008-192165, and subject to the following additional terms and conditions.

- 1. EDUCATION COURSE. Within sixty (60) calendar days of the effective date of this Decision, and on an annual basis thereafter, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours per year, for each year of probation. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education ("CME") requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for sixtyfive (65) hours of CME of which forty (40) hours were in satisfaction of this condition.
- 2. PRESCRIBING PRACTICES COURSE. Within sixty (60) calendar days of the effective date of this Decision, Respondent shall enroll in a course in prescribing practices equivalent to the Prescribing Practices Course at the Physician Assessment and Clinical Education Program, University of California, San Diego School of Medicine ("Proscribing Program"), approved in advance by the Board or its designee. Respondent shall provide the program with any information and documents that the Program may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The prescribing practices course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education ("CME") requirements for renewal of licensure.

A prescribing practices course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of

this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than fifteen (15) calendar days after successfully completing the course, or not later than fifteen (15) calendar days after the effective date of the Decision, whichever is later.

3. MEDICAL RECORD KEEPING COURSE. Within sixty (60) calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping equivalent to the Medical Record Keeping Course offered by the Physician Assessment and Clinical Education Program, University of California, San Diego School of Medicine ("Record Keeping Program"), approved in advance by the Board or its designee. Respondent shall provide the program with any information and documents that the Program may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the CME requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than fifteen (15) calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

4. <u>MONITORING - PRACTICE</u>. Within thirty (30) calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for prior approval as a practice monitor(s), the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties ("ABMS") certified. A monitor shall have no prior or current business or

personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision(s) and Accusation(s), and a proposed monitoring plan. Within fifteen (15) calendar days of receipt of the Decision(s), Accusation(s), and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision(s) and Accusation(s), fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement for approval by the Board or its designee.

Within sixty (60) calendar days of the effective date of this Decision, and continuing throughout probation, Respondent's practice shall be monitored by the approved monitor. Respondent shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

If Respondent fails to obtain approval of a monitor within sixty (60) calendar days of the effective date of this Decision, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a monitor is approved to provide monitoring responsibility.

The monitor(s) shall submit a quarterly written report to the Board or its designee which includes an evaluation of Respondent's performance, indicating whether Respondent's practices are within the standards of practice of medicine, and whether Respondent is practicing medicine safely. It shall be the sole responsibility of Respondent to ensure that the monitor submits the quarterly written reports to the Board or its designee within ten (10) calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, Respondent shall, within five (5) calendar

8. QUARTERLY DECLARAT

days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within fifteen (15) calendar days. If Respondent fails to obtain approval of a replacement monitor within sixty (60) calendar days of the resignation or unavailability of the monitor, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified Respondent shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

In lieu of a monitor, Respondent may participate in a professional enhancement program equivalent to the one offered by the Physician Assessment and Clinical Education Program at the University of California, San Diego School of Medicine, that includes, at minimum, quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of probation.

5. NOTIFICATION. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within fifteen (15) calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 6. <u>SUPERVISION OF PHYSICIAN ASSISTANTS</u>. During probation, Respondent is prohibited from supervising physician assistants.
- 7. OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
 - 8. QUARTERLY DECLARATIONS. Respondent shall submit quarterly declarations

under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than ten (10) calendar days after the end of the preceding quarter.

9. GENERAL PROBATION REQUIREMENTS.

Compliance with Probation Unit

Respondent shall comply with the Board's probation unit and all terms and conditions of this Decision.

Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice Respondent shall notify the Board or its designee in writing thirty (30) calendar days prior to the dates of departure and return.

- 10. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- 11. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within fifteen (15) calendar days of any periods of non-practice lasting more than thirty (30) calendar days and within fifteen (15) calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine in California as defined in Business and Professions Code sections 2051 and 2052 for at least forty (40) hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds eighteen (18) calendar months, Respondent shall successfully complete a clinical training program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years. Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; and General Probation Requirements.

- 12. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than one hundred twenty (120) calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
 - 13. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition

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ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Thomas Still. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

4.24.2016 DATED:

I have read and fully discussed with Respondent Jose Felix Huerta-Ibarra, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

THOMAS E. STII Attorney for Respondent

ENDORŞEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California.

Dated:

Respectfully submitted,

KAMALA D. HARRIS Attorney General of California JANE ZACK SIMON

Supervising Deputy Attorney General

GREG W. CHAMBERS Deputy Attorney General: Attorneys for Complainant

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Exhibit A

First Amended Accusation/Petition to Revoke Probation No. 19-2011-219469

1	Kamala D. Harris		
2	Attorney General of California JOSE R. GUERRERO	FILED	
3	Supervising Deputy Attorney General VIVIEN H. HARA	STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA	
4	Deputy Attorney General State Bar No. 84589	BY: THE ANALYST	
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004		
6	Telephone: (415) 703-5513 Facsimile: (415) 703-5480		
7	Attorneys for Complainant		
8	BEFOR	RE THE	
9	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS		
10	STATE OF C	CALIFORNIA	
11			
12	In the Matter of the First Amended Accusation/Petition to Revoke Probation	Case No. 19-2011-219469	
13	Against:		
14	JOSE FELIX HUERTA-IBARRA, M.D. 50 Penny Lane	FIRST AMENDED ACCUSATION AND PETITION TO REVOKE PROBATION	
15	Watsonville, CA 95076		
16	Physician and Surgeon's Certificate No. A94115		
17	Respondent.		
18	Complainant alleges:		
19	<u>PART</u>	<u>IES</u>	
20	Kimberly Kirchmeyer ("Complainan	nt") brings this First Amended Accusation and	
21	Petition to Revoke Probation solely in her official capacity as the Executive Director of the		
22	Medical Board of California, Department of Consumer Affairs.		
23	2. On or about February 17, 2006, the Medical Board of California ("Board") issued		
24	Physician and Surgeon's Certificate Number A94115 to Jose Felix Huerta-Ibarra, M.D.		
25	("Respondent"). This certificate will expire on February 29, 2016, unless renewed.		
26	3. On or about September 1, 2010, Acc	usation No. 03-2008-192165 was filed against	
27	Respondent's Physician and Surgeon's Certificate by the Board. The Board's Decision and Order		
28	in that matter, effective July 21, 2011, provided that Respondent's certificate was revoked, but the		
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1	prescribing, dispensing or administering dangerous drugs or prescription controlled substances in accordance with this section.
2	"(c) This section shall not affect the power of the board to take any
3	action described in Section 2227 against a physician and surgeon who does any of the following:
4	"(1) Violates subdivision (b), (c), or (d) of Section 2234 regarding gross
5	negligence, repeated negligent acts, or incompetence.
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7 8	'(3) Violates Section 2242 regarding performing an appropriate prior examination and the existence of a medical indication for prescribing, dispensing, or furnishing dangerous drugs.
9	
10	"(d) A physician and surgeon shall exercise reasonable care in
11	determining whether a particular patient or condition, or the complexity of a patient's treatment, including, but not limited to, a current or recent pattern of drug abuse,
12	requires consultation with, or referral to, a qualified specialist.
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14	8. Section 2242 of the Code states, in pertinent part:
15 16	"(a) Prescribing, dispensing, or furnishing dangerous drugs as defined in Section 4022 without an appropriate prior examination and a medical indication, constitutes unprofessional conduct.
17	"(b) No licensee shall be found to have committed unprofessional
18	conduct within the meaning of this section if, at the time the drugs were prescribed, dispensed, or furnished, any of the following applies:
19	"(1) The licensee was a designated physician and surgeon serving in the absence of the patient's physician and surgeon and if the drugs were
20	prescribed, administered, or furnished only as necessary to maintain the patient until the return of his or her practitioner, but in any case, no longer than 72 hours.
21	" " "
22	"(3) The licensee was a designated practitioner serving in the absence of
23	the patient's physician and surgeon and was in possession of or had utilized the patient's records and ordered the renewal of a medical indicated prescription for an
24	amount not exceeding the original prescription in strength or amount or for more than one refill.
25	"
26	///
27	<i>1//</i>
28	<i>///</i>

- 9. Section 2266 of the Code states: AThe failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.@
- 10. At the time of each and all of the allegations herein Respondent was engaged in the practice of medicine at his medical offices in Watsonville, California.

FACTS RE: PATIENT G.S.

October 13, 2010. G.S. presented with a complaint of hematuria and had a history of chronic low back pain, chronic pain syndrome, vascular disease, osteoporosis, and idiopathic peripheral neuropathy. Respondent ordered lab work and a follow-up visit. Respondent's chart notes from the follow-up on November 12, 2010 state that "Patient has been treated with big amounts of opioid medication" by her prior primary care physician for back pain and neuropathy; her current medications included methadone, Norco, and diazepam." Respondent's notes a discussion with G.S. regarding the hazards of opioid pain medication but indicates that G.S. chose to

The subject patients are identified herein by their initials to maintain patient privacy. The patients' full names will be provided to Respondent in discovery.

Methadone (methadone hydrochloride) is a synthetic narcotic analgesic with multiple actions quantitatively similar to those of morphine. It is a dangerous drug as defined in section 4022 and a schedule II controlled substance and narcotic as defined by section 11055, subdivision (c) of the Health and Safety Code. Methadone can produce drug dependence of the morphine type and, therefore, has the potential for being abused. Psychic dependence, physical dependence, and tolerance may develop upon repeated administration of methadone, and it should be prescribed and administered with the same degree of caution appropriate to the use of morphine. Methadone should be used with caution and in reduced dosage in patients who are concurrently receiving other narcotic analgesics.

³ Norco is a trade name for hydrocodone bitratrate with acetaminophen. Norco tablets contain 10 mg of hydrocodone bitartrate and 350 mg of acetaminophen. Acetaminophen is a non-opiate, non-salicylate analgesic and antipyretic. Hydrocodone bitartrate is semisynthetic narcotic analgesic and a dangerous drug as defined in section 4022 and a Schedule III controlled substance and narcotic as defined by section 11056, subdivision (e) of the Health and Safety Code. Repeated administration of hydrocodone over a course of several weeks may result in psychic and

physical dependence.

⁴ Diazepam (Valium) is a benzodiazepine and a psychotropic drug for the management of anxiety disorders or for the short-term relief of the symptoms of anxiety. It is a dangerous drug as defined in section 4022 and a Schedule IV controlled substance as defined by section 11057(d)(9) of the Health and Safety Code. Diazepam can produce psychological and physical dependence and it should be prescribed with caution particularly to addiction-prone individuals (such as drug addicts and alcoholics) because of the predisposition of such patients to habituation and dependence.

can cause liver toxicity and death.

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- A. Respondent prescribed multiple, concurrent opiates and sedating medications in an elderly patient without determining the etiology of the pain or its intensity or referring G.S. to the appropriate specialist to make that determination before continuing such treatment.
- B. Respondent failed obtain regular laboratory readings of the blood levels of controlled substances in G.S.' system.
- C. Respondent failed to consult with or refer G.S. to a pain management specialist before continuing and escalating dosages of controlled substances for pain.
- 16. Therefore, Respondent is subject to discipline pursuant to sections 2234(b), and/or (c), and/or (d) of the Code.

SECOND CAUSE FOR DISCIPLINE

(Prescribing Without Appropriate Examination/Medical Indication - Patient G.S.)

- 17. The allegations of paragraphs 11 through 13, above, are incorporated herein by reference as if fully set forth.
- 18. Respondent is subject to discipline pursuant to sections 2242 and 2234 of the Code in that he continued to prescribe large amounts of opiates and benzodiazepines without confirming the etiology of the pain and medical indication for their continued prescription for this elderly patient.

THIRD CAUSE FOR DISCIPLINE

(Inaccurate/Inadequate Record Keeping – Patient G.S.)

- 19. The allegations of paragraphs 11 through 13, above, are incorporated herein by reference as if fully set forth.
- 20. Respondent is subject to discipline for pursuant to sections 2266 and 2234 of the Code in that he failed to maintain accurate and adequate medical records during the period of his care and treatment of patient G.S.

FACTS RE: PATIENT M.S.

21. Respondent was the primary care physician for patient M.S., a 46 year-old male paraplegic resident of a skilled nursing facility, from on or about March 4, 2011 to on or about June 24, 2012. Respondent's chart notes for M.S. indicate he had a history of lumbago,

hypertension, chronic pain syndrome, and opioid dependence. M.S. had been on opioid pain medication for some years. Respondent's medical record for MS contains an undated narcotic prescription agreement. On this first visit Respondent prescribed methadone and Vicodin for M.S.

22. Over the following 15 months, Respondent prescribed methadone and Vicodin in combination to M.S. approximately 18 times and increased the overall dose of methadone. Respondent's records do not reflect any clinical determination of the etiology of M.S.' chronic pain. Laboratory studies were ordered at infrequent intervals and were insufficient to determine the levels of narcotics present in M.S. Respondent ordered no imaging diagnostics until 2012. Respondent did not refer M.S. to a neurologist, a pain management specialist, or an addictionologist for specialized consultations at any time during the period of M.S.' care and treatment.

FOURTH CAUSE FOR DISCIPLINE

(Gross Negligence/Negligence/Incompetence – Patient M.S.)

- 23. The allegations of paragraphs 21 and 22, above, are incorporated herein by reference as if fully set forth.
- 24. Respondent's care and treatment of M.S. constitutes gross negligence and/or negligence and/or incompetence by reason of the following acts or omissions:
- A. Respondent failed to obtain sufficiently frequent lab values for the high dosages of opioids he prescribed to M.S.
- B. Respondent failed to order x-rays or other imaging diagnostic studies to determine the etiology of M.S.' pain
- C. Although aware of MS's opioid dependence, Respondent prescribed Vicodin to MS in combination with increasingly high doses of methadone
- D. Respondent failed to obtain specialist consultation for M.S. with a neurologist, pain management specialist, or addictionologist.
- 25. Therefore, Respondent is subject to discipline pursuant to section 2234(b) and/or (c) and/or (d) of the Code.

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and MS constitutes violations of the laws and rules governing the practice of medicine in California. Therefore, cause exists to revoke Respondent's probation and reinstate the order of revocation that was stayed.

SECOND CAUSE FOR REVOCATION OF PROBATION

(Failure to Pay Costs Associated with Clinical Training Program)

- At page 4, paragraph 1 of the Board's Decision and Order in Case No. 03-2008-33. 192165, the Board imposed a condition of probation which required Respondent to take and pass a Clinical Training Program, such as the PACE Program offered by the University of California at San Diego School of Medicine. Paragraph 1 states: "Respondent shall pay all costs associated with the clinical training program. Respondent enrolled in the PACE Program and entered Phase I of the program on May 14, 2012. As part of Phase I of the PACE Program, Respondent was required to undergo a neuropsychological evaluation, and Respondent was responsible for the costs of that evaluation. Such an evaluation was performed by a neuropsychologist in Monterey, California on July 6, July 9, and July 11, 2012. The cost of the evaluation was \$5,547.50. Respondent failed to pay and continues to fail to pay the cost of the evaluation, and although he entered into an agreement to pay in installments of \$158.50 on or about April 28, 2013, he has made no payments on the installment agreement.
- Therefore, by reason of his violation of the condition of his probationary order concerning payments for the costs associated with the clinical training program, cause exists to revoke Respondent's probation and reinstate the order of revocation that was stayed.

THIRD CAUSE FOR REVOCATION OF PROBATION

(Failure to Pay Costs of Probation Monitoring)

At page 10, paragraph 14 of the Board's Decision and Order in Case No. 03-2008-35. 192165 states: "Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year. Failure to pay costs within 30 calendar days of the due date is a violation of probation."

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FIRST AMENDED ACCUSATION AND PETITION TO REVOKE PROBATION AGAINST JOSE FELIX HUERTA-IBARRA, M.D.

MEDICAL BOARD OF CALIFORNIA, CASE NO. 19-3022-219469

EXHIBIT A DECISION AND ORDER IN CASE NO. 03-2008-192165

BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:)	
JOSE FELIX HUERTA-IBARRA, M.D.	Case No. 03-2008-192165
Physician's and Surgeon's) Certificate No. A94115)	MEDICAL BOARD OF CALIFORNIA
Respondent.)	I do hereby certify that this document is a true and correct copy of the original on file in this office.
DECISION AND ORD	Signature Custodian of RECORDS Title March 19, 2014
DECISION AND ORD	<u>Date</u>

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Medical Board of California, Department of Consumer Affairs, State of California, as its Decision in this matter.

This Decision shall become effective at 5:00 p.m. on July 21, 2011.

IT IS SO ORDERED June 21, 2011.

MEDICAL BOARD OF CALIFORNIA

Shelton Duruisseau, Ph.D., Chair

Panel A

1	Kamala D. Harris		
2	Attorney General of California JOSE R. GUERRERO		
3	Supervising Deputy Attorney General Brenda P. Reyes		
4	Deputy Attorney General State Bar No. 129718		
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004		
6	Telephone: (415) 703-5541 Facsimile: (415) 703-5480		
7	Attorneys for Complainant		
8	BEFORE THE		
9	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10	STATE OF CALIFORNIA		
11	In the Matter of the Accusation Against: Case No. 03-2008-192165		
12	JOSE FELIX HUERTA-IBARRA, M.D. 50 Penny Lane STIPULATED SETTLEMENT AND		
13	50 Penny Lane Watsonville, CA 95076 STIPULATED SETTLEMENT AND DISCIPLINARY ORDER		
14	Physician's and Surgeon's Certificate No. A 94115		
15	Respondent.		
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17			
18	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-		
19	entitled proceedings that the following matters are true:		
20	PARTIES		
21	1. Linda K. Whitney (Complainant) is the Executive Director of the Medical Board of		
22	California. She brought this action solely in her official capacity and is represented in this matter		
23	by Kamala D. Harris, Attorney General of the State of California, by Brenda P. Reyes, Deputy		
24	Attorney General.		
25	2. Respondent Jose Felix Huerta-Ibarra, M.D. (Respondent) is represented in this		
26	proceeding by attorney Edward A. Hinshaw, Esq., whose address is 12901 Saratoga Avenue,		
27	Saratoga, CA 95070.		
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3. On or about February 17, 2006, the Medical Board of California issued Physician's and Surgeon's Certificate No. A 94115 to Jose Felix Huerta-Ibarra, M.D. The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 03-2008-192165 and will expire on February 29, 2012, unless renewed.

JURISDICTION

4. Accusation No. 03-2008-192165 was filed before the Medical Board of California (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on September 1, 2010. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 03-2008-192165 is attached as Exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 03-2008-192165. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

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ADMISSIONS

- 8. Respondent does not contest that, at an administrative hearing, Complainant could establish a *prima* facie case with respect to the charges and allegations contained in Accusation No. 03-2008-192165 and that he has thereby subjected his license to disciplinary action.
- 9. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.
- 10. Respondent agrees that if he ever petitions for early termination or modification of probation, or if the Board ever petitions for revocation of probation, all of the charges and allegations contained in Accusation No. 03-2008-192165 shall be deemed true, correct and fully admitted by respondent for purposes of that proceeding or any other licensing proceeding involving respondent in the State of California.

RESERVATION

11. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

CONTINGENCY

Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

- 13. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 94115 issued to Respondent Jose Felix Huerta-Ibarra, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for five (5) years on the following terms and conditions.

1. <u>CLINICAL TRAINING PROGRAM</u> Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a clinical training or educational program equivalent to the Physician Assessment and Clinical Education Program (PACE) offered at the University of California - San Diego School of Medicine ("Program").

The Program shall consist of a Comprehensive Assessment program comprised of a two-day assessment of Respondent's physical and mental health; basic clinical and communication skills common to all clinicians; and medical knowledge, skill and judgment pertaining to respondent's specialty or sub-specialty, and at minimum, a 40 hour program of clinical education in the area of practice in which Respondent was alleged to be deficient and which takes into account data obtained from the assessment, Decision, Accusation, and any other information that the Board or its designee deems relevant. Respondent shall pay all expenses associated with the clinical training program.

Based on Respondent's performance and test results in the assessment and clinical education, the Program will advise the Board or its designee of its recommendation(s) for the scope and length of any additional educational or clinical training, treatment for any medical condition, treatment for any psychological condition, or anything else affecting Respondent's practice of medicine. Respondent shall comply with Program recommendations.

At the completion of any additional educational or clinical training, Respondent shall submit to and pass an examination. The Program's determination whether or not Respondent passed the examination or successfully completed the Program shall be binding.

Respondent shall complete the Program not later than six months after Respondent's initial enrollment unless the Board or its designee agrees in writing to a later time for completion.

Failure to participate in and complete successfully all phases of the clinical training program outlined above is a violation of probation.

date of this Decision, Respondent shall submit to the Board or its designee for prior approval as a practice monitor, the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to, any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision and Accusation, and a proposed monitoring plan. Within 15 calendar days of receipt of the Decision, Accusation, and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision and Accusation, fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement.

Within 60 calendar days of the effective date of this Decision, and continuing throughout probation, Respondent's practice shall be monitored by the approved monitor. Respondent shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

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The monitor shall submit a quarterly written report to the Board or its designee which includes an evaluation of Respondent's performance, indicating whether Respondent's practices are within the standards of practice of medicine and whether Respondent is practicing medicine safely. It shall be the sole responsibility of Respondent to ensure that the monitor submits the quarterly written reports to the Board or its designee within 10 calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, Respondent shall, within 5 calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within 15 calendar days. If Respondent fails to obtain approval of a replacement monitor within 60 days of the resignation or unavailability of the monitor, Respondent shall be suspended from the practice of medicine until a replacement monitor is approved and prepared to assume immediate monitoring responsibility. Respondent shall cease the practice of medicine within 3 calendar days after being so notified by the Board or designee.

In lieu of a monitor, Respondent may participate in a professional enhancement program equivalent to the one offered by the Physician Assessment and Clinical Education Program at the University of California, San Diego School of Medicine, that includes, at minimum, quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of probation.

Failure to maintain all records, or to make all appropriate records available for immediate inspection and copying on the premises, or to comply with this condition as outlined above is a violation of probation.

3. <u>NOTIFICATION</u> Prior to engaging in the practice of medicine, the respondent shall provide a true copy of the Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to respondent, at any other facility where respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance

carrier which extends malpractice insurance coverage to respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 4. <u>SUPERVISION OF PHYSICIAN ASSISTANTS</u> During probation, respondent is prohibited from supervising physician assistants.
- 5. <u>OBEY ALL LAWS</u> Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California, and remain in full compliance with any court ordered criminal probation, payments and other orders.
- 6. QUARTERLY DECLARATIONS Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation. Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.
- 7. PROBATION UNIT COMPLIANCE Respondent shall comply with the Board's probation unit. Respondent shall, at all times, keep the Board informed of respondent's business and residence addresses. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b). Respondent shall not engage in the practice of medicine in respondent's place of residence. Respondent shall maintain a current and renewed California physician's and surgeon's license.

Respondent shall immediately inform the Board, or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than 30 calendar days.

- 8. <u>INTERVIEW WITH THE BOARD, OR ITS DESIGNEE</u> Respondent shall be available in person for interviews either at respondent's place of business or at the probation unit office, with the Board or its designee upon request at various intervals and either with or without prior notice throughout the term of probation.
- 9. <u>RESIDING OR PRACTICING OUT-OF-STATE</u> In the event respondent should leave the State of California to reside or to practice, respondent shall notify the Board or its

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designee in writing 30 calendar days prior to the dates of departure and return. Non-practice is defined as any period of time exceeding 30 calendar days in which respondent is not engaging in any activities defined in Sections 2051 and 2052 of the Business and Professions Code.

All time spent in an intensive training program outside the State of California which has been approved by the Board or its designee shall be considered as time spent in the practice of medicine within the State. A Board-ordered suspension of practice shall not be considered as a period of non-practice. Periods of temporary or permanent residence or practice outside California will not apply to the reduction of the probationary term. Periods of temporary or permanent residence or practice outside California will relieve respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws and Probation Unit Compliance.

Respondent's license shall be automatically cancelled if respondent's periods of temporary or permanent residence or practice outside California total two years. However, respondent's license shall not be cancelled as long as respondent is residing and practicing medicine in another state of the United States and is on active probation with the medical licensing authority of that state, in which case the two year period shall begin on the date probation is completed or terminated in that state.

10. FAILURE TO PRACTICE MEDICINE - CALIFORNIA RESIDENT

In the event respondent resides in the State of California and for any reason respondent stops practicing medicine in California, respondent shall notify the Board or its designee in writing within 30 calendar days prior to the dates of non-practice and return to practice. Any period of non-practice within California, as defined in this condition, will not apply to the reduction of the probationary term and does not relieve respondent of the responsibility to comply with the terms and conditions of probation. Non-practice is defined as any period of time exceeding 30 calendar days in which respondent is not engaging in any activities defined in sections 2051 and 2052 of the Business and Professions Code.

12.

All time spent in an intensive training program which has been approved by the Board or its designee shall be considered time spent in the practice of medicine. For purposes of this condition, non-practice due to a Board-ordered suspension or in compliance with any other condition of probation, shall not be considered a period of non-practice.

Respondent's license shall be automatically cancelled if respondent resides in California and for a total of two years, fails to engage in California in any of the activities described in Business and Professions Code sections 2051 and 2052.

- 11. <u>COMPLETION OF PROBATION</u> Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, respondent's certificate shall be fully restored.
- 12. <u>VIOLATION OF PROBATION</u> Failure to fully comply with any term or condition of probation is a violation of probation. If respondent violates probation in any respect, the Board, after giving respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, Petition to Revoke Probation, or an Interim Suspension Order is filed against respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 13. <u>LICENSE SURRENDER</u> Following the effective date of this Decision, if respondent ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the terms and conditions of probation, respondent may request the voluntary surrender of respondent's license. The Board reserves the right to evaluate respondent's request and to exercise its discretion whether or not to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender, respondent shall within 15 calendar days deliver respondent's wallet and wall certificate to the Board or its designee and respondent shall no longer practice medicine. Respondent will no longer be subject to the terms and conditions of probation and the surrender of respondent's

ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California of the Department of Consumer Affairs. KAMALA D. HARRIS Dated: Attorney General of California Jose R. Guerrero Supervising Deputy Attorney General Deputy Attorney General Attorneys for Complainant SF20110400528 Stipulation.rtf

Exhibit A

Accusation No. 13-2008-192165

FILED

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]}		STATE OF CALIFORNIA
		MEDICAL BOARD OF CALIFORNIA
1	EDMUND G. BROWN JR.	SACRAMENTO September / 20 10
2	Attorney General of California JOSE R. GUERRERO	BY Wilen Finguinde ANALYS
3	Supervising Deputy Attorney General Brenda P. Reyes	
ا ا	Deputy Attorney General	
4	State Bar No. 129718 455 Golden Gate Avenue, Suite 11000	
5	San Francisco, CA 94102-7004	
6	Telephone: (415) 703-5541 Facsimile: (415) 703-5480	
7	Attorneys for Complainant	
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8		RE THE D OF CALIFORNIA
9	DEPARTMENT OF (CONSUMER AFFAIRS
10	STATE OF C	CALIFORNIA
	T. 11 3.6 44 - Association Assignst	Case No. 03 2008 192165
11	In the Matter of the Accusation Against:	Case 140. UJ 2008 192103
12	JOSE FELIX HUERTA-IBARRA, M.D. 50 Penny Lane	
13	Wastonville, CA 95076	ACCUSATION
14	Physician's and Surgeon's Certificate	
15	No. A 94115	
	Respondent.	• •
16		
17	•	
18	Complainant alleges:	
19	PAI	RTIES
20	1 Linda K. Whitney (Complainant) br	ings this Accusation solely in her official capacity
21	as the Executive Director of the Medical Board of California, Department of Consumer Affairs.	
22		Medical Board of California issued Physician's
23		•
	and Surgeon's Certificate Number A 94115 to Jose Felix Huerta-Ibarra, M.D. (respondent). The	
24	Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the	
25	charges brought herein and will expire on Febru	nary 29, 2012, unless renewed.
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27	111	
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Act.

JURISDICTION

- 3. This Accusation is brought before the Medical Board of California (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
 - 4. Section 2004 of the Code states, in relevant part:

"The board shall have the responsibility for the following:

- "(a) The enforcement of the disciplinary and criminal provisions of the Medical Practice
- "(b) The administration and hearing of disciplinary actions.
- "(c) Carrying out disciplinary actions appropriate to findings made by a panel or an administrative law judge.
- "(d) Suspending, revoking, or otherwise limiting certificates after the conclusion of disciplinary actions.
- "(e) Reviewing the quality of medical practice carried out by physician and surgeon certificate holders under the jurisdiction of the board."
- 5. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
 - 6. Section 2234 of the Code states, in relevant part:

"The Division of Medical Quality shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

"(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter [Chapter 5, the Medical Practice Act].

The term "board" means the Medical Board of California. "Division of Medical Quality" shall also be deemed to refer to the Board. (Bus. & Prof Code, § 2002.)

"(b) Gross negligence.

- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
 - "(d) Incompetence."

PATIENT M.B.²

- 7. On March 25, 2007, at approximately 12:05 p.m., M.B., a 60-year-old woman, presented to the Watsonville Community Hospital Emergency Department (ED) with a chief complaint of vomiting blood for two days. A history of recent heavy alcohol ingestion was noted. On examination, M.B. had abnormal vital signs including hypotension (BP 72/36) and tachycardia (pulse 117). Laboratory values were significant for the following: WBC 9.1; hematocrit 21.9; platelet 73,000; protime 31.6; INR 2.9; AST 235; ALT 85; total bilirubin 11.8; and, Albumin 1.4. Respondent admitted the patient to the hospital at 1:30 p.m. with a diagnosis of gastrointestinal bleeding and cirrhosis. Respondent left a message requesting a GI consultation and later learned that the physician from whom he sought consultation was not available. Respondent assessed the patient to be stable because she was alert, communicative, and not appearing to be bleeding. Respondent did not further seek GI consultation on March 25, 2007.
- 8. Respondent's admission orders included an octreotide drip; protonix; and, two units of blood. At 4:00 p.m. respondent ordered an additional two units of packed red blood cells and

² The patients are referred to by their initials in this document to protect their privacy. Respondent knows the identities of the patients and can confirm them through discovery.

he ordered two units be kept on hold at all times. A physician code status order was not completed. At 10:50 p.m. on May 25, 2007, and at 2:30 a.m. on May 26, 2007, M.B. was hypotensive and respondent ordered she be treated with a bolus of intravenous fluid. Respondent was notified of a decreased urine output during this time. Throughout the night the patient's pulse was difficult to palpate. At 4:00 a.m. on May 26, 2007, the patient was intubated because she was unarousable, had poor respiratory effort, and was hypotensive. Respondent started her on a dopamine infusion.

9. At 8:00 a.m., M.B. required a neosynephrine infusion because of low blood pressure. Respondent requested a GI consultation. When the patient was seen at 9:45 a.m. for GI consultation, she was assessed to be unstable for endoscopy. At 10:30 a.m., M.B. was transfused four units of fresh frozen plasma and 10 packs of platelets, and she was treated with Vitamin K, 10 mg. subcutaneous daily. M.B. became increasingly more hypotensive and died later that morning from cardiovascular collapse as a consequence of GI bleeding.

FIRST CAUSE FOR DISCIPLINE

(Gross Negligence)

- 10. Respondent's certificate to practice medicine is subject to disciplinary action for unprofessional conduct for gross negligence under Business and Professions Code section 2234 (b) in that respondent failed to timely and appropriately respond to multiple life threatening signs of clinical deterioration when M.B. was hypotensive, tachycardic, had poor palpable pulses, and low urine output. The circumstances are as follows:
- A. Respondent failed to infuse multiple liters of intravenous fluids to reverse the patient's clinical deterioration; and/or,
- B. Respondent failed to check M.B.'s hematocrit to determine if she was anemic and a blood transfusion was necessary; and/or,
- C. Respondent failed to urgently evaluate M.B. for the cause of hypotension in order to determine appropriate treatment; and/or,

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D. When M.B. suffered a further life threatening clinical deterioration requiring intubation for decreased level of alertness and respiratory failure at approximately 4:00 a.m. on May 26, 2007, respondent failed to appropriately respond by resuscitating the patient with additional intravenous fluids and blood, and to obtain GI consultation for urgent evaluation.

SECOND CAUSE FOR DISCIPLINE

(Repeated Negligent Acts/Incompetence)

- 11. Respondent's certificate to practice medicine is subject to disciplinary action for unprofessional conduct under Business and Professions Code section 2234 (a) (general unprofessional conduct); and/or 2234 (c) (repeated negligent acts); and/or 2234 (d) (incompetence) arising from his care and treatment of patient M.B. including, but not limited to, the following acts and/or omissions:
- A. Respondent failed to identify that patient M.B. had life threatening gastrointestinal bleeding. Her history of alcoholism, abnormal liver tests, and vomiting blood were indicators of possible variceal bleeding. Her blood pressure, high pulse, and anemia were suggestive of severe bleeding. M.B.'s low platelet count and elevated protime suggested that she was very high risk for continued bleeding. Respondent failed to timely obtain urgent GI consultation and endoscopy to determine the cause of M.B.'s bleeding and to treat the bleeding disorder; and/or,
- B. Patient M.B. had low platelets and abnormal clotting time which contributed to the severity of her bleeding. Respondent failed to timely transfuse fresh frozen plasma to correct M.B.'s coagulopathy; and/or
- C. The standard of care is to elicit patient preference for intubation and cardiopulmonary resuscitation, particularly in the ICU and CCU because such patients are at high risk for requiring these interventions. Respondent failed to elicit M.B.'s preferences for mechanical ventilation and CPR.

PATIENT F.H.

12. On May 3, 2007, at approximately 11:00 p.m., F.H., a 77-year-old man, was brought by ambulance to the Watsonville Community Hospital ED after vomiting blood at home.

Pulmonary examination was notable for bilateral rhonchi, wheezes, and crackles. Significant

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laboratory results in the ED included WBC 14.2; HCT 38.9; and, hemoglobin 13.0. A frontal chest x-ray showed increased density in the right medial lower lung field that was not present on previous chest x-rays. The ED physician initially diagnosed F.H. with a likely GI bleed; however, the diagnosis was later changed to pneumonia complicated by acute hemoptysis. The patient was admitted to the hospital.

- 13. Respondent assumed care of F.H. on May 4, 2007. Repeat labs at 7:47 a.m. showed HCT 30.1 and hemoglobin 10.5. Because the initial chest x-ray showed possible RLL pneumonia, F.H. was started on p.o. Levaquin and inhalers for COPD and a chest x-ray ordered for May 5, 2007. After evaluating F.H., respondent expressed uncertainty as to the etiology of the bleeding. The plan was to check hemoglobin and hematocrit.
- 14. On May 5, 2007, the hematocrit decreased to 27.5. Respondent evaluated F.H. and noted the patient felt better. Examination of the lungs demonstrated bilateral wheezing, crackles, and rhonchi. Respondent ordered an abdomen and pelvic CT scan with and without contrast to be done on May 6, 2007. Respondent noted indications for the abdomen and pelvic CT scan to be "prostate cancer." At approximately 6:00 a.m. on May 6, 2007, F.H. vomited a massive amount of blood which led to aspiration and death. A code was called, but F.H. could not be resuscitated.

THIRD CAUSE FOR DISCIPLINE

(General Unprofessional Conduct)

- 15. Respondent's certificate to practice medicine is subject to disciplinary action for unprofessional conduct under Business and Professions Code section 2234 (a) (general unprofessional conduct. The circumstances are as follows:
- A. F.H. presented with a history of a large amount of bleeding. This history along with the decrease in hematocrit was very concerning for a potential life threatening medical condition. The history of pulmonary symptoms (cough, sputum production) and physical examination signs of crackles, wheezes, and rhonchi suggested a pulmonary source of bleeding. Respondent did not refer F.H. to a pulmonologist for evaluation for hemoptysis. Respondent did not sufficiently rule out other possible sources of bleeding, such as obtaining an ENT evaluation to rule out a nasal source of bleeding or an NG tube placement or GI consultation to rule out a GI bleeding source.