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8 **BEFORE THE**
MEDICAL BOARD OF CALIFORNIA
9 **DEPARTMENT OF CONSUMER AFFAIRS**
10 **STATE OF CALIFORNIA**

11 In the Matter of the Accusation Against,

12 **BRENDA ANN LEWIS, M.D.**

13 P.O. Box 55005
14 Hayward, CA 94545

15 **Physician's and Surgeon's Certificate No.**
G52614

16 One.

Case No. 12-2013-231939

DEFAULT DECISION
AND ORDER

[Gov. Code, §11520]

17
18 FINDINGS OF FACT

19 1. On or about November 20, 2014, Complainant Kimberly Kirchmeyer, in her official
20 capacity as the Executive Director of the Medical Board of California, Department of Consumer
21 Affairs, filed Accusation No. 12-2013-231939 against Brenda Ann Lewis, M.D. (Respondent)
22 before the Medical Board of California.

23 2. On or about June 25, 1984, the Medical Board of California (Board) issued
24 Physician's and Surgeon's Certificate No. G52614 to Respondent. The Physician's and Surgeon's
25 Certificate was in full force and effect at all times relevant to the charges brought herein and will
26 expire on April 30, 2016, unless renewed. (Exhibit Package, Exhibit 1, Certificate of Licensure.)¹

27 ¹ The evidence in support of this Default Decision and Order is attached and submitted as
28 the "Exhibit Package" and is incorporated by reference as if fully set forth.

1 3. On or about November 20, 2014, an employee of the Board, served by Certified Mail
2 a copy of the Accusation No. 12-2013-231939, Statement to Respondent, Notice of Defense,
3 Request for Discovery, and Government Code sections 11507.5, 11507.6, and 11507.7 to
4 Respondent's address of record with the Board, which was and is: P.O. Box 55005, Hayward, CA
5 94545. On or about January 20, 2015, the aforementioned documents were returned by the U.S.
6 Postal Service marked "Unclaimed." (Exhibit Package, Exhibit 2, Accusation Packet, Declaration
7 of Service, return receipt card, copy of the "Unclaimed" returned Accusation Packet, and a copy
8 of the U.S. Postal Service Track and Confirm search results.)

9 4. Service of the Accusation was effective as a matter of law under the provisions of
10 Government Code section 11505, subdivision (c).

11 5. On or about February 3, 2015, an employee of the Attorney General's Office sent by
12 certified mail to Respondent Courtesy Notices of Default, advising Respondent of the service of
13 the Accusation, and providing her with the opportunity to request relief from default. The
14 Courtesy Notices were addressed to Respondent's address of record, as set forth above, as well as
15 two additional addresses identified as alternatives by the Board. All three Courtesy Notice
16 Packages were returned to the Attorney General's Office as either "unclaimed" or
17 "undeliverable." (Exhibit Package, Exhibit 3, Courtesy Notice of Default, Declaration of Service,
18 copies of the returned envelopes, and copies of the U.S. Postal Service Track and Confirm search
19 results.)

20 6. Government Code section 11506 states, in pertinent part:

21 "(c) The respondent shall be entitled to a hearing on the merits if the respondent files a
22 notice of defense, and the notice shall be deemed a specific denial of all parts of the accusation
23 not expressly admitted. Failure to file a notice of defense shall constitute a waiver of
24 respondent's right to a hearing, but the agency in its discretion may nevertheless grant a hearing."

25 Respondent failed to file a Notice of Defense within 15 days after service upon her of the
26 Accusation, and therefore waived her right to a hearing on the merits of Accusation No. 12-2013-
27 231939.

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1 7. California Government Code section 11520 states, in pertinent part:

2 "(a) If the respondent either fails to file a notice of defense or to appear at the hearing, the
3 agency may take action based upon the respondent's express admissions or upon other evidence
4 and affidavits may be used as evidence without any notice to respondent."

5 8. Pursuant to its authority under Government Code section 11520, the Board finds
6 Respondent is in default. The Board will take action without further hearing and, based on
7 Respondent's express admissions by way of default and the evidence before it, contained in the
8 Exhibit Package, finds that the allegations in Accusation No. 12-2013-231939 are true.

9 9. The Board makes the following factual findings related to the care and treatment of
10 Patient GR:

11 a. Respondent provided psychiatric services to Patient GR at Tia Maria's Board and
12 Care Home since 1985. GR committed suicide on July 23, 2010. Respondent admitted
13 during her interview with an investigator for the Health Quality Investigation Unit (HQIU)
14 that she mostly provided medication management rather than psychotherapy. (Scarlett
15 Declaration Supporting Default Decision and Order (Scarlett Decl.) ¶ 2a; Lavid Declaration
16 Supporting Default Decision and Order (Lavid Decl.) ¶ 4a.)

17 b. Respondent admitted during the interview with HQIU that she ordered blood work;
18 however, she did not produce any lab results at the interview or in the medical record.
19 (Scarlett Decl. ¶ 2b; Lavid Decl. ¶ 4d.)

20 c. Respondent acknowledged that GR had a history of suicide attempts and should not
21 be permitted access to sharp object. (Scarlett Decl. ¶ 2c; Lavid Decl. ¶ 4a.)

22 d. GR's certified medical records from Respondent dated from January 12, 2009
23 through July 25, 2010 are not the same as the records she produced to the Alameda County
24 Behavioral Health Care Services (ACBHCS). For example, the records to the Board did not
25 include a June 18, 2010 progress note on the same page as a July 22, 2010 record like the
26 records submitted to ACBHCS. Additionally, the records Respondent produced to
27 ACBHCS included a progress note for a patient visit with GR on August 26, 2010—almost
28

1 one month after he committed suicide. This record was not in the records Respondent
2 produced to the Board. (Scarlett Decl. ¶ 2d; Lavid Decl. ¶ 4a.)

3 e. Respondent acknowledged during the interview that she met with GR on July 22,
4 2010, and the visit was “unremarkable” and she did not observe any suicidal ideations. She
5 only learned after the fact from another party that GR killed himself on July 23, 2010.
6 (Scarlett Decl. ¶ 2e; Lavid Decl. ¶ 4b.)

7 f. Respondent could not explain the different sets of medical records for GR provided to
8 the Board and ACBHCS, or why there was a progress note for a patient visit with GR on
9 August 26, 2010. (Scarlett Decl. ¶ 2f.)

10 g. The standard of care requires psychiatrists to maintain accurate and complete medical
11 records. Psychiatric records should document an assessment, the basis for the assessment,
12 the treatment options offered, and the response to treatment. If the documents are
13 handwritten, they should be legible or typed, particularly to make the records
14 understandable by other physicians. Respondent produced two different sets of medical
15 records: one to the ACBHCS and one that she claimed she maintained herself. The records
16 produced from Respondent’s practice include a progress note for a visit with GR almost one
17 month after GR committed suicide. Respondent could not explain why she maintained two
18 different sets of medical records for Patient GR. This was an extreme departure in the
19 standard of care. (Lavid Decl. ¶ 5a.)

20 h. The standard of care requires psychiatrists to provide an appropriate good faith
21 evaluation with a face-to-face evaluation that includes a Mental Status Examination. The
22 examination may also be augmented by testing, including serology testing. Respondent’s
23 failure to document and conduct any psychiatric evaluation of GR and/or her practice of
24 conducting a one minute psychiatric diagnosis represents an extreme departure in the
25 standard of care. (Lavid Decl. ¶ 5b.)

1 i. The standard of care requires regular serum testing for patients on Tegretol.²

2 Additionally, psychiatrists should review and document the patient's response to treatment,
3 as well as the risks and benefits of the treatment. Respondent's failure to monitor GR's
4 Tegretol use, including regular blood testing and noting the effectiveness/response of the
5 medication represents an extreme departure in the standard of care. (Lavid Decl. ¶5c.)

6 j. The standard of care requires an assessment of the dangerousness and suicide risk in
7 psychiatric evaluations. This should include a thorough evaluation that enables the
8 psychiatrist to identify factors and features that may increase or decrease the risk of suicide,
9 address the patient's immediate safety, and determine the most appropriate setting for
10 treatment. Despite GR's denial of suicidal thoughts, Dr. Lewis indicated she wanted to start
11 prescribing Clozaril to GR in an attempt to reduce his suicidal behaviors. Dr. Lewis's
12 evaluation, or lack thereof, of GR's dangerousness and risk assessment related to the risk of
13 suicide represents a lack of knowledge. (Lavid Decl. ¶ 5d.)

14 10. The Board makes the following factual findings related to the care and treatment of
15 Patient LS:

16 a. Respondent provided psychiatric services to Patient LS since 1994 at Maria Silva's
17 Board and Care Home (MSBCH). LS fell which resulted in her death on April 15, 2012.
18 (Scarlett Decl. ¶ 3a; Lavid Decl. ¶ 6a.)

19 b. The certified copies of LS's medical records from MSBCH only contained
20 Medication Administration Records. There were no progress notes from Respondent in the
21 records from MSBCH. Respondent admitted that she maintained all of her patient medical
22 records herself and did not keep any patient records at MSBCH or provide any copies of
23 those records to MSBCH staff. (Scarlett Decl. ¶ 3b.)

24 c. Respondent produced 16 pages of progress notes for her care of LS at MSBCH
25 between January 1, 2011 through March 27, 2012. (Scarlett Decl. ¶ 3c; Lavid Decl. ¶ 6c.)
26

27 ² Tegretol is typically prescribed for patients who suffer from mood symptoms as a mood
28 stabilizer.

1 d. During Respondent's interview with HQIU, she admitted that she prescribed Clozaril
2 to LS but that she did not follow-up with MSBCH staff to ensure that the patient received
3 monthly blood testing. Respondent thought the pharmacy would simply deny the
4 prescription for Clozaril if there was no proof of monthly blood testing. She also admitted
5 that she did not order the necessary blood testing between January 2011 to April 2012.
6 (Scarlett Decl. ¶ 3d; Lavid Decl. ¶ 6c.)

7 e. The standard of care requires psychiatrists to maintain accurate and complete medical
8 records. Psychiatric records should document an assessment, the basis for the assessment,
9 the treatment options offered, and the response to treatment. If the documents are
10 handwritten, they should be legible or typed, particularly to make the records
11 understandable by other physicians. Dr. Lewis's records for Patient LS do not contain
12 comprehensive examinations and suicide risk assessments. This was a simple departure in
13 the standard of care. (Lavid Decl. ¶ 7a.)

14 f. The standard of care requires very specific monitoring requirements for patients
15 taking Clozaril. Initially blood must be tested once per week for the first six months, every
16 two weeks for the following six months, and then monthly thereafter. This monitoring is
17 ordered by not only the treating physician but also by the dispensing pharmacy. Dr. Lewis's
18 failure to adequately monitor LS, including ordering monthly blood testing and prescribing
19 Risperdal (both sedating medications) concurrently with prescribing Clozaril was an
20 extreme departure from the standard of practice. (Lavid Decl. ¶ 7b.)

21 DETERMINATION OF ISSUES

22 1. Based on the foregoing findings of fact, Respondent Brenda Ann Lewis, M.D. has
23 subjected her Physician's and Surgeon's Certificate No. G52614 to discipline.

24 2. A copy of the Accusation and the related documents and Declaration of Service are
25 attached.

26 3. The agency has jurisdiction to adjudicate this case by default.

27 4. The Medical Board of California is authorized to revoke Respondent's Physician's
28 and Surgeon's Certificate based upon the following violations alleged in the Accusation: sections

1 2234 [unprofessional conduct], and/or 2234(b) [gross negligence], and/or 2234(c) [repeated
2 negligent acts], and/or 2234(d) [incompetence/lack of knowledge]; and/or 2262 [alteration of
3 medical records] and/or 2266 [inadequate medical records] based on the care she provided to
4 Patients GR and LS.

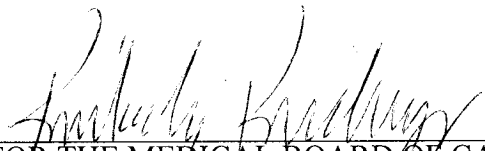
5 ORDER

6 IT IS SO ORDERED that Physician's and Surgeon's Certificate No. G52614, heretofore
7 issued to Respondent Brenda Ann Lewis, M.D., is REVOKED.

8 Pursuant to Government Code section 11520, subdivision (c), Respondent may serve a
9 written motion requesting that the Decision be vacated and stating the grounds relied on within
10 seven (7) days after service of the Decision on Respondent. The agency in its discretion may
11 vacate the Decision and grant a hearing on a showing of good cause, as defined in the statute.

12 This Decision shall become effective on May 29, 2015.

13 It is so ORDERED May 1, 2015

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18 FOR THE MEDICAL BOARD OF CALIFORNIA
19 DEPARTMENT OF CONSUMER AFFAIRS
20 Kimberly Kirchmeyer, Executive Director
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**BEFORE THE
MEDICAL BOARD OF CALIFORNIA
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA**

In the Matter of the Accusation Against:

Case No. 12-2013-231939

BRENDA ANN LEWIS, M.D.

P.O. Box 55005
Hayward, CA 94545

ACCUSATION

**Physician's and Surgeon's Certificate No.
G52614**

Respondent.

Complainant alleges:

PARTIES

1. Kimberly Kirchmeyer (Complainant) brings this Accusation solely in her official capacity as the Executive Director of the Medical Board of California, Department of Consumer Affairs.
2. On or about June 25, 1984, the Medical Board of California issued Physician's and Surgeon's Certificate Number G52614 to Brenda Ann Lewis, M.D. (Respondent). At all times relevant to this Accusation, Respondent's Physician's and Surgeon's Certificate was renewed and current and expires on April 30, 2016.

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JURISDICTION

3. This Accusation is brought before the Medical Board of California (Board),¹ Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.

4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Division deems proper.

5. Section 2234 of the Code, states, in relevant part:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

"(b) Gross negligence.

"(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.

"(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.

"(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

"(d) Incompetence.

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¹ The term "Board" means the Medical Board of California. "Division of Medical Quality" or "Division" shall also be deemed to refer to the Board (Bus. & Prof. Code section 2002).

1 6. Section 2262 of the Code states in relevant part:

2 “Altering or modifying the medical record of any person, with fraudulent intent, or creating
3 any false medical record, with fraudulent intent, constitutes unprofessional conduct.”

4 7. Section 2266 of the Code states:

5 “The failure of a physician and surgeon to maintain adequate and accurate records relating
6 to the provision of services to their patients constitutes unprofessional conduct.”

7 **American Psychiatric Association (APA), Principles of Medical Ethics**

8 8. Section 1 of the APA, Principles of Medical Ethics states:

9 “A physician shall be dedicated to providing competent medical care with compassion and
10 respect for human dignity and rights.”

11 9. Section 2 of the APA, Principles of Medical Ethics states:

12 “A physician shall uphold the standards of professionalism, be honest in all professional
13 interactions and strive to report physicians deficient in character or competence, or engaging in
14 fraud or deception to appropriate entities.”

15 10. Section 3 of the APA, Principles of Medical Ethics states:

16 “A physician shall respect the law and also recognize a responsibility to seek changes in
17 those requirements which are contrary to the best interests of the patient.”

18 **FIRST CAUSE FOR DISCIPLINE**

19 (Unprofessional Conduct: Gross Negligence, and/or Repeated Negligent Acts and/or
20 Incompetence/Lack of Knowledge in the Care of Patient GR)

21 11. Respondent is subject to disciplinary action under sections 2234, and/or 2234(b)
22 [gross negligence], and/or 2234(c) [repeated negligent acts], and/or 2234(d) [incompetence/lack
23 of knowledge] based on the care she provided to Patient GR.² The circumstances are as follows:

24 12. Patient GR was a 50-year-old male with a 30-year diagnosed history of
25 Schizophrenia, Paranoid Type. GR was a client of the Alameda County Behavioral Health Care
26 Services (ACBHCS) since 1985. He was a resident of Tia Maria’s Board and Care Home (Tia

27 ² Patient initials will be used to protect their privacy. Respondent may learn the patient
28 identities during the discovery process.

1 Maria's) from 1999 to July 23, 2010, when GR committed suicide. He had two prior suicide
2 attempts. Respondent provided psychiatric services to GR at Tia Maria's approximately once per
3 month.

4 13. On or about July 22, 2010, Respondent saw GR at Tia Maria's. That same evening,
5 GR had an overnight visit with his family. GR had not had an overnight visit with his family for
6 a long time. The two prior suicide attempts occurred while he visited his family or shortly after
7 returning from a family visit.

8 14. On or about July 23, 2010, GR committed suicide at Tia Maria's. He entered the
9 kitchen, got a knife, and then stabbed himself several times in the stomach. GR died in the
10 operating room at Eden Medical Center.³

11 15. ACBHCS investigated the circumstances surrounding GR's suicide, including
12 requesting Respondent's treatment records for him. Respondent produced three pages of detailed
13 handwritten progress notes for June 18, 2010 (a partial record), July 22, 2010, and August 26,
14 2010 (almost a month after GR died).⁴

15 16. Respondent's progress note provided to ACBHCS for July 22, 2010 specifically
16 stated that GR denied having any "homicidal/suicidal ideation," but that he was "convinced that
17 another resident at B & C is trying to infect him." Respondent also listed all of GR's
18 medications, including that he was taking Tegretol.⁵

19 17. The progress note Respondent provided to ACBHCS for August 26, 2010 (over a
20 month after GR's death) stated that GR was still concerned that residents at the Tia Maria's were
21

22 ³ GR's prior suicide attempts also involved stabbing himself in the abdomen. Respondent
23 told the Board investigators that she advised Tia Maria's to keep all sharp objects away from GR.

24 ⁴ The first page of progress notes included the partial June 18, 2010 record and a portion
25 of the July 22, 2010 record. The second page included the remaining portion of the July 22, 2010
26 record and part of the August 26, 2010 record. The final page included the remaining note for the
27 August 26, 2010 visit.

28 ⁵ Tegretol, the trade name for carbamazepine, is an anticonvulsant used to treat seizures
and nerve pain such as trigeminal neuralgia and diabetic neuropathy. It may also be used to treat
bipolar disorder, or for patients who suffer from mood symptoms (to act as a mood stabilizer).
Regular blood testing is required with prescribing Tegretol to ensure therapeutic levels are
constant in the patient. Tegretol can cause aplastic anemia, which also requires regular blood
testing. It is a dangerous drug as defined by Business and Professions Code section 4022.

1 trying to “contaminate him.” Respondent also wrote that she discussed Clozaril⁶ with GR but he
2 did not want to do the required blood testing. Respondent also listed all of GR’s medications,
3 including that he was taking Tegretol.

4 18. Tia Maria’s paid Respondent \$250 each month to have her come to the facility to
5 treat all of the residents, including GR. The facility would write Respondent a check on the day
6 of her monthly visit. Tia Maria’s provided a copy of a cancelled check written to Respondent for
7 her services on July 22, 2010.⁷ Tia Maria’s also had a calendar of house activities for July 2010,
8 with a note that “Dr. Lewis came” on July 22, 2010 and that GR “was taken to Eden Hospital” on
9 July 23, 2010.

10 19. Respondent provided GR’s medical records to the Board dating from January 12,
11 2009 through July 25, 2010; however, these records are different from the records she produced
12 to ACBHCS. For example, there was not a partial June 18, 2010 progress note on the same page
13 as a July 22, 2010 record like the records submitted to ACBHCS. Nor was there the entry for
14 August 26, 2010. The record Respondent provided to the Board included a progress note from
15 June 12, 2010, July 2, 2010, and a note from July 25, 2010. The July 25, 2010 note stated, “call
16 from Anita [sic] Greg died couple of days ago [sic] taken to Eden MC” and was signed by
17 Respondent. The last page of the medical records for GR that Respondent provided to the Board
18 indicated that she saw GR “without charge” because she was already seeing other patients at the
19 home.

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23 ⁶ Clozaril, the trade name for clozapine, is an anti-psychotic used to treat severe
24 schizophrenia. It is only available through specific pharmacies after the patient registers for the
25 program and Complete Blood Count (CBC) testing is done regularly. Clozaril can result in
26 agranulocytosis, which is an acute and severe condition known as leukopenia (low white blood
27 count). Patients with agranulocytosis are at a serious risk of infection due to their low white
28 blood count, which requires regular CBC testing. Initially, blood work must be conducted weekly
for the first six months of using on Clozaril, every other week for the next six months, and
monthly thereafter. It is a dangerous drug as defined by Business and Professions Code section
4022.

⁷ Tia Maria’s no longer had any treatment records for GR.

1 20. Respondent's July 2, 2010 medical record provided to the Board indicates that GR
2 was taking several medications including Tegretol.⁸ There are no records supporting any blood
3 tests for GR to ensure the effective levels of Tegretol or if he was suffering from aplastic anemia.

4 21. During Respondent's Medical Board interview on May 15, 2014 at the Pleasant Hill
5 District Office, Respondent could not explain why she had two different sets of medical records
6 for GR.

7 22. In the medical records Respondent provided to the Medical Board, there is no
8 psychiatric evaluation of GR nor is there a definitive diagnosis and treatment plan. The record
9 only consists of prescribed medications to GR. Respondent admitted during her Board interview
10 that she was not providing any therapy to GR, but rather only monitored his medications.
11 Respondent also stated that she prescribed the Tegretol to address GR's delusions.

12 23. During Respondent's Board interview she indicated she does not conduct a
13 "standard" psychiatric evaluation, but rather diagnoses Schizophrenia after speaking with the
14 patient for approximately one minute. She also indicated that she does not find it useful to rely on
15 the diagnosis and impressions of other providers.⁹

16 24. Respondent's use of two different sets of medical records for GR represents an
17 extreme departure in the standard of care.

18 25. Respondent's failure to document and conduct any psychiatric evaluation of GR
19 and/or her practice of conducting a one minute psychiatric diagnosis represents an extreme
20 departure in the standard of care.

21 26. Respondent's failure to monitor GR's Tegretol use, including regular blood testing
22 and noting the effectiveness/response of the medication represents an extreme departure in the
23 standard of care.

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26 ⁸ Based on Respondent's records to the Board, she first prescribed Tegretol to GR on July
27 16, 2009. Between July 19, 2009 and July 23, 2010 (the date of Gr's death), Respondent never
28 ordered any blood testing or other lab work.

⁹ Respondent stated in her Board interview that she also does not rely on the records
and/or diagnosis from other medical providers.

27. Respondent's evaluation of GR's dangerousness and risk assessment related to the risk of suicide represents a lack of knowledge.

28. Respondent's failure to comply with the standard of practice coupled with her lack of knowledge related to the care she provided to GR amounts to repeated negligent acts.

SECOND CAUSE FOR DISCIPLINE

(Inadequate/Inaccurate Medical Record Keeping and/or Altered or Modified Medical Records related to Patient GR)

29. Respondent is subject to disciplinary action under sections 2262 and/or 2266 in that she failed to keep adequate and accurate medical records and/or altered or modified the medical records of GR as alleged in paragraphs 11 through 28, which are herein incorporated by reference as if fully set forth.

THIRD CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Gross Negligence, and/or Repeated Negligent Acts in the Care of Patient LS)

30. Respondent is subject to disciplinary action under sections 2234, and/or 2234(b) [gross negligence] and/or 2234(c) [repeated negligent acts] based on the care she provided to Patient LS. The circumstances are as follows:

31. Patient LS was a 58-year old female with a chronic history of schizophrenia. LS was a resident at the Maria Silva's Board and Care Home (Maria Silva's) since 1994.¹⁰ Respondent began treating LS approximately 15 years before her death at Maria Silva's. LS had been a client of the ACBHCS for many years and was classified as a Level I client—the most severe and chronically ill client level.

32. Respondent visited Maria Silva's approximately once per month to treat all of the residents, including LS. Respondent admitted during her Board interview that she did not provide therapy services to LS, but only medication management. Respondent was paid \$250 per monthly visit by Maria Silva's to see all of the residents. Respondent also admitted during her

¹⁰ Maria Silva's is next door to Tia Maria's and appear to be owned by the same family.

1 Board interview that she personally maintained all the medical records for her patients and she
2 did not keep any medical records or maintain/send copies to the Board and Care facility. There
3 were no progress notes from Respondent in the medical records provided by Maria Silva's.¹¹

4 33. Respondent began prescribing Clozaril to LS many years before for delusions and
5 auditory hallucinations, gradually increasing the dosage. The last dose increase noted in the
6 patient's medical records was on September 27, 2011. On that date, Respondent increased LS's
7 Clozaril to 700 mg per day.¹²

8 34. Respondent provided medical records for LS from January 6, 2011 through March 27,
9 2012 to the Board. These records indicate that blood work was only done for LS on January 19,
10 2011. Respondent admitted during her Board interview that she did not follow up with the
11 required monthly blood work for LS. She stated she assumed that the pharmacy would deny the
12 Clozaril prescription if the monthly blood testing was missing.

13 35. On or about March 27, 2012, Respondent's last progress note for a patient encounter
14 with LS, indicates that the patient was laughing, still suffered from delusions ("I'm married to the
15 president"), her sleep was variable, she had dry mouth, and she did not have any Parkinsonian
16 tremors. Respondent noted the plan as: Clozaril (100 mg, three in the morning and four in the
17 evening, for a daily total of 700 milligrams (mg); Risperdal;¹³ Cogentin;¹⁴ and Trazadone.¹⁵

18 36. On or about April 15, 2012, LS fell at Maria Silva's (unobserved fall). LS told the
19 employees of the home that she did not want to be moved and staff was unable to move her. At

20 ¹¹ The majority of the 61 pages of medical records from Maria Silva's included the
21 Medication Administration Record for the medications LS was receiving. There were no
22 progress notes from any medical providers in Maria Silva's records for LS.

23 ¹² The maximum approved daily dosage by the Federal Drug Administration is 700 mg to
24 900 mg daily.

25 ¹³ Risperdal, the trade name for risperidone, is an antipsychotic medicine and is used to
26 treat schizophrenia and symptoms of bipolar disorder (manic depression). One of the side effects
27 is somnolence and extra caution should be made when prescribing it with other medications that
28 also produce somnolence, such as Clozaril. It is a dangerous drug as defined by Business and
Professions Code section 4022.

¹⁴ Cogentin, the trade name for benztropine, is used to treat the symptoms of Parkinson's
disease, such as muscle spasms, stiffness, tremors, sweating, drooling, and poor muscle control.
It is a dangerous drug as defined by Business and Professions Code section 4022.

¹⁵ Trazadone hydrochloride is a triazolopyridine derivative antidepressant, sometimes
marketed under the trade name Desyrel. It is a dangerous drug as defined by Business and
Professions Code section 4022.

1 some point, 911 was called. LS lost consciousness and within minutes of the ambulance's arrival
2 to the home she died. The Alameda County Sheriff's Office Coroner's report listed the cause of
3 death as "spinal cord compression with dysfunction due to atlanto-occipital joint laxity with
4 hypermobility" and "blunt forced head trauma."

5 37. Respondent's failure to adequately monitor LS, including ordering monthly blood
6 testing and prescribing Risperdal concurrently with prescribing Clozaril was an extreme departure
7 from the standard of practice.

8 38. Respondent's failure to maintain adequate and accurate medical records, including
9 noting necessary blood work, and not maintaining medical records at Maria Silva's represent
10 departures from the standard of practice, and constitutes repeated negligent acts.

11 **FOURTH CAUSE FOR DISCIPLINE**

12 (Inadequate/Inaccurate Medical Record Keeping in the care of Patient LS)

13 39. Respondent is subject to disciplinary action under section 2266 in that she failed to
14 keep adequate and accurate medical records of the care she provided to LS as alleged in
15 paragraphs 30 through 38 which are herein incorporated by reference as if fully set forth.

16 **PRAYER**

17 WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,
18 and that following the hearing, the Medical Board of California issue a decision:

19 1. Revoking or suspending Physician's and Surgeon's Certificate Number G52614,
20 issued to Brenda Ann Lewis, M.D.

21 2. Revoking, suspending or denying approval of Brenda Ann Lewis, M.D.'s authority to
22 supervise physician's assistants, pursuant to section 3527 of the Code;

23 3. Ordering Brenda Ann Lewis, M.D. to pay the Medical Board of California the costs
24 of probation monitoring, if placed on probation; and

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4. Taking such other and further action as deemed necessary and proper.

DATED: November 20, 2014


KIMBERLY KIRCHMEYER
Executive Director
Medical Board of California
Department of Consumer Affairs
State of California
Complainant

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