BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:)	
JOHN DAVID WARBRITTON III, M.D.)	Case No. 8002015016511
Physician's and Surgeon's	
Certificate No. G 47015	
Respondent)	
)	

DECISION AND ORDER

The attached Stipulated Surrender of License and Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on May 3, 2017.

IT IS SO ORDERED April 26, 2017.

MEDICAL BOARD OF CALIFORNIA

Kimberly Kirchmeyer

Executive Director

1	XAVIER BECERRA		
2	Attorney General of California JANE ZACK SIMON		
3	Supervising Deputy Attorney General CAROLYNE EVANS		
4	Deputy Attorney General State Bar No. 289206		
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004		
6	Telephone: (415) 703-1211 Facsimile: (415) 703-5480 Attorneys for Complainant		
7	BEFORE THE		
8	MEDICAL BOARD OF CALIFORNIA		
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10		G N. 900 2015 016511	
11	In the Matter of the Accusation Against:	Case No. 800-2015-016511	
12	JOHN DAVID WARBRITTON III, M.D.	CONTRACT ATTER CHIRD PARTIES OF	
13	300 Frank H. Ogawa Plaza Suite 700 Oakland, CA 94612	STIPULATED SURRENDER OF LICENSE AND ORDER	
14	Physician's and Surgeon's Certificate No. G47015		
16	Respondent.		
17	- Respondent.		
18	IT IS HEREBY STIPULATED AND AGI	REED by and between the parties to the above-	
19	entitled proceedings that the following matters a		
20	•	CTIES	
21	1. Kimberly Kirchmeyer (Complainant) is the Executive Director of the Medical Board		
22	of California (Board). She brought this action solely in her official capacity and is represented in		
23	this matter by Xavier Becerra, Attorney General of the State of California, by Carolyne Evans,		
24	Deputy Attorney General.		
25	2. John David Warbritton III, M.D. (Respondent) is represented in this proceeding by		
26	attorney Seth Chazin, whose address is 1164 Solano Avenue, Albany CA 94706.		
27	3. On or about February 22, 1982, the Board issued Physician's and Surgeon's		
28	Certificate No. G47015 to John David Warbritton III, M.D. (Respondent). Respondent's		

certificate is renewed and current with an expiration date of February 28, 2018. However, Respondent's license is currently subject to a no practice order issued by the United States District Court on October 21, 2016.

JURISDICTION

4. Accusation No. 800-2015-016511 was filed before the (Board), and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on November 21, 2016. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 800-2015-016511 is attached as Exhibit A and incorporated by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2015-016511. Respondent also has carefully read, fully discussed with counsel, and understands the effects of this Stipulated Surrender of License and Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 8. Respondent understands that the charges and allegations in Accusation No. 800-2015-016511, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate.
- 9. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual

basis for the charges in the Accusation and that those charges constitute cause for discipline. Respondent hereby gives up his right to contest those charges and surrenders Physician's and Surgeon's Certificate No. G47015.

10. Respondent understands that by signing this stipulation he enables the Board to issue an order accepting the surrender of his Physician's and Surgeon's Certificate without further process.

RESERVATION

11. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Medical Board of California or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

CONTINGENCY

- 12. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and surrender, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Surrender and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 13. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Surrender of License and Order, including Portable Document Format (PDF) and facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Order:

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Board of California.

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DATED: 7th April 2017

of the Medical Board of California.

Respondent

ORDER

to Respondent John David Warbritton III, M.D., is surrendered and accepted by the Medical

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G47015, issued

The surrender of Respondent's Physician's and Surgeon's Certificate and the

acceptance of the surrendered license by the Board shall constitute the imposition of discipline

of Respondent's license history with the Medical Board of California.

California as of the effective date of the Board's Decision and Order.

when the Board determines whether to grant or deny the petition.

issued, his wall certificate on or before the effective date of the Decision and Order.

against Respondent. This stipulation constitutes a record of the discipline and shall become a part

Respondent shall lose all rights and privileges as a physician and surgeon in

the State of California, the Board shall treat it as a petition for reinstatement. Respondent must

comply with all the laws, regulations and procedures for reinstatement of a revoked license in

Accusation No. 800-2015-016511 shall be deemed to be true, correct and admitted by Respondent

ACCEPTANCE

discussed it with my attorney, Seth Chazin. I understand the stipulation and the effect it will have

on my Physician's and Surgeon's Certificate. I enter into this Stipulated Surrender of License and

Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order

I have carefully read the above Stipulated Surrender of License and Order and have fully

effect at the time the petition is filed, and all of the charges and allegations contained in

Respondent shall cause to be delivered to the Board his pocket license and, if one was

If Respondent ever files an application for licensure or a petition for reinstatement in

1	I have read and fully discussed with Respondent John David Warbritton III, M.D. the term			
2	and conditions and other matters contained in this Stipulated Surrender of License and Order. I			
3	3 approve its form and content.			
4	4 DATED: 4/10/17	ULP. ()		
5	5 SETH O	CHAZIN ey for Respondent		
6				
7	7	ENDORSEMENT		
8	8	The foregoing Stipulated Surrender of License and Order is hereby respectfully submitted		
9	for consideration by the Medical Board of California of	for consideration by the Medical Board of California of the Department of Consumer Affairs.		
10	ii			
11	Dated: A_{pol} 11, 2017 Re	espectfully submitted,		
12	\mathbf{X}_{I2}	AVIER BECERRA torney General of California		
13	JA	NE ZACK SIMON spervising Deputy Attorney General		
14	\			
15	11	May we		
16	16 De	AROLYNE EVANS eputy Attorney General		
17		torneys for Complainant		
18	18			
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Exhibit A

Accusation No. 800-2015-016511

1	Kamala D. Harris		
2	Attorney General of California JANE ZACK SIMON	FILED	
3	Supervising Deputy Attorney General Deputy Attorney General	STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO VOV. 2/20/6	
4	CAROLYNE EVANS State Bar No. 289206	SACRAMENTO LOV. 2/20/6 BY When ANALYST	
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004	ATTAL TO I	
6	Telephone: (415) 703-1211 Facsimile: (415) 703-5480		
7	Attorneys for Complainant		
8	BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
9			
10			
11			
12	In the Matter of the Accusation Against:	Case No. 800-2015-016511	
13	John David Warbritton III, M.D. 300 Frank H. Ogawa Plaza, Suite #700	ACCUSATION	
14	Oakland, CA 94612		
15	Physician's and Surgeon's Certificate No. G47015,		
16	Respondent.		
17			
18			
19	Complainant alleges:		
20		<u>eties</u>	
21	, , , ,) brings this Accusation solely in her official	
22	capacity as the Executive Director of the Medical Board of California, Department of Consumer		
23	Affairs (Board) ¹ .		
24	2. On or about February 22, 1982, the Board issued Physician's and Surgeon's		
25	Certificate Number G47015 to John David Warbritton III, M.D. (Respondent). Respondent's		
26	certificate is renewed and current with an expiration date of February 28, 2018. However,		
27	The term "Board" means the Medical Board of California. "Division of Medical Qualit		
28	or "Division" shall also be deemed to refer to the Board (Bus & Prof. Code Section 2002).		

Respondent's license is currently subject to a no practice order issued by the United States District Court on October 18, 2016.

JURISDICTION

- 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
 - 4. Section 2234 of the Code, states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
 - "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
 - "(f) Any action or conduct which would have warranted the denial of a certificate.
- "(g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not

apply to this subdivision. This subdivision shall become operative upon the implementation of the proposed registration program described in Section 2052.5.

- "(h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board."
- 5. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
 - 6. Section 726 of the Code states:

"The commission of any act of sexual abuse, misconduct, or relations with a patient, client, or customer constitutes unprofessional conduct and grounds for disciplinary action for any person licensed under this division, under any initiative act referred to in this division and under Chapter 17 (commencing with Section 9000) of Division 3.

"This section shall not apply to sexual contact between a physician and surgeon and his or her spouse or person in an equivalent domestic relationship when that physician and surgeon provides medical treatment, other than psychotherapeutic treatment, to his or her spouse or person in an equivalent domestic relationship."

CAUSE FOR DISCIPLINE

(Unprofessional Conduct/Sexual Misconduct)

7. Respondent is subject to disciplinary action under sections 2234 (a) and (f) for unprofessional conduct and Section 726 in that he in engaged in sexual misconduct while treating two patients.² The circumstances are as follows:

Patient A

8. In 2009, Patient A (a 59 year old female), was referred by an insurance company to Respondent, a qualified medical evaluator (QME), who examines and evaluates injured workers

² The patients will be identified as Patient A and B to protect their identity and privacy. The Respondent may learn the patients' information through the discovery process.

individual is eligible for compensation benefits. Patient A was referred to Respondent for an evaluation of a shoulder injury that stemmed from an industrial accident. Prior to the referral, Patient A had several surgeries for cervical and spinal issues.

and writes medical reports that are used by insurance companies to determine whether the

- 9. In 2009, Patient A had her first visit with Respondent. Patient A's husband attended the appointment. Respondent's staff did not want Patient A's husband to go into the exam room with Patient A but she insisted and ultimately her husband was permitted in the exam room. During this visit, Respondent ran his fingers through Patient A's hair and said to Patient A's husband "I guess she is fairly attractive." Respondent then proceeded to tell Patient A's husband that he could leave his wife. When Patient's A's husband did not respond, Respondent stated "You really love her." When Respondent discussed Patient A having a surgery performed by surgeon R.R., he referred to the surgeon as a "street thug with a knife."
- 10. Between 2009 and 2015, Patient A was required by the insurance company to see Respondent approximately once a year. Patient A's husband attended every appointment with Patient A. During Patient A's second appointment, Respondent told Patient A's husband that he was a good looking man and could still leave Patient A because she was disabled and that he could go be with someone else.
- 11. In late 2012/early 2013, Patient A went to Respondent's office for an appointment. At the conclusion of the appointment, Patient A and her husband were at the reception desk. While Patient A was facing the reception desk, Respondent approached her from behind and placed his chest to her back, while Patient A's husband was looking away from them. Respondent rubbed his body against Patient A's back. The employee behind the desk saw Respondent placing his body on Patient A.
- 12. During many of Patient A's appointments with Respondent, Respondent repeatedly asked Patient A's husband, why such a handsome man like him (referring to Patient A's husband)

³ The physician and surgeon will be identified as R.R. to protect his identity and privacy. The Respondent may learn the physician's name through the discovery process.

was with someone like Patient A, commented that Patient A was injured and disabled, and told Patient A's husband to get out of his relationship with Patient A while he could.

- appointment. Once in the exam room, a female employee (Employee) of Respondent's medical practice, gave Patient A a hospital gown to wear. The Employee asked Patient A to undress to her bra and underwear and to put on the gown. This was the first appointment where Patient A was required to wear a gown. Patient A inquired why she needed to wear the gown, and was advised that Respondent needed to do a thorough exam. Before Respondent entered the room, Patient A's husband told her to put her hands on top of her lap. Patient A's husband felt that based on Respondent's comments and actions during prior appointments, he felt it was necessary for Patient A to protect herself from Respondent.
- 14. When Respondent entered the room, he sat near Patient A and placed his hand on her thigh, on top of the gown, while he asked her questions related to the appointment. Subsequently, Respondent placed his hand on the inner portion of Patient A's thigh on a portion not covered by the gown. Respondent moved his hands up her thigh under the gown but Patient A kept her legs together and her hands on top of her lap so that Respondent could not move his hand further.
- 15. Shortly thereafter, the Employee returned to the room. Respondent stated that "he's had her" (referring to his Employee) since she was 16 years old and that he had been having sex with her since she was 16 years old. Respondent also described an incident where his wife caught his Employee at their residence taking a shower. Respondent stated that he told his wife that his Employee was dirty and insinuated that she was dirty from having sex with him so she needed to take a shower. During this conversation, Respondent and the Employee put their arms around each other and exchanged hugs.
- 16. Throughout this appointment, Respondent made inappropriate comments unrelated to Patient A's care. For instance, Respondent asked Patient A's husband what was so special about Patient A referring to why Patient A's husband was still in a relationship with Patient A. Respondent also talked about going to Taiwan and the Philippines and indicated that he had some type of business in Taiwan. Respondent told Patient A and her husband that he himself had been

suffering from a bad back and asked if they thought he was a handsome man and if they thought someone would ever fall in love with him.

17. Respondent told Patient A that he was on her side and that she could no longer work and that he was going to give her a good disability rating. Patient A left the exam room to use the restroom. During that time, Respondent asked Patient A's husband about being in the Philippines and stated that he could tell that Patient A's husband liked it oversees and asked him what he liked best about being overseas. Respondent stated that he liked young girls and insinuated that that is what he liked best about the Philippines and Taiwan. Respondent then asked Patient A's husband if he had an age requirement and stated that he brought his Employee back with him from oversees. Respondent then asked Patient A's husband what made him stay with Patient A and that she must have something special.

Patient B

- 18. In 2008, Patient B (a 59 year old female) was referred to Respondent by a workers compensation insurance company for a medical evaluation of an upper back and cervical injury that she suffered during the course of her employment.
- 19. Between 2008 and 2014, Patient B saw Respondent several times. During those visits, Respondent used profane and inappropriate language during their conversations. For instance, when discussing treatment he would say things like "this fucking surgery." Respondent also told Patient B "you're fine, you got it going on," "you were fine back in your day," and "I know you've broken a lot of hearts." Respondent also commented about his personal life and told Patient B that his daughter "is a real bitch." Respondent spoke about his own back injury and how one could go to Mexico and "buy Oxycontin for \$20.00 a pill."
- 20. During some of the examinations, Patient B was required to wear a hospital gown. When she was required to wear the gown, she would undress to her bra and underwear. Patient B felt uncomfortable being around Respondent during all of the exams but especially when wearing the gown. During a visit, when Respondent was standing behind Patient B, Patient B felt that he stood behind her longer than normal to look at her buttocks. Patient B used her hand to keep the back of the gown closed. When Respondent was behind Patient B, he used his hands to swipe the

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back of the gown so that the gown would open. Subsequently, Patient B requested two gowns to protect her body from being exposed.

- 21. After attending a few appointments with Respondent, Patient B complained to her workers compensation attorney, R.W.⁴ that she did not like Respondent, but was unable to get another doctor assigned to her case.
- 22. On or about April 21, 2014, Patient B went to Respondent's office for a scheduled appointment. She went to the appointment by herself. Since Patient B had trouble remembering some of the advice and instructions that are provided to her by physicians, she digitally recorded the appointment to assist her with remembering important information. Her cell phone was stored in a small pouch that was around her neck. The appointment was recorded on her cell phone with the voice recording feature.
- 23. During the April 2014 appointment, Patient B was wearing a hospital gown with only her bra and underwear. Patient B and Respondent discussed whether she should undergo surgery. During their conversation, Respondent stated that his back was twice as bad as Patient's B's back. Respondent examined Patient B and she vocalized that the exam was painful. Respondent said that he was going to stop hurting her. Respondent then asked how her husband was and whether he loved her. Patient B told Respondent that the healing of her injury was in God's hands. At the conclusion of the exam, Respondent said he was going to say a prayer for her. He approached her and placed his right hand on her shoulder and then cupped her right breast with his left hand. He put his head down and for a few seconds mumbled something unintelligible.
- 24. Patient B reported the molestation incident to Attorney R.W., who told her that he would help her find another doctor. Patient B did not return to Respondent's office and Respondent was subsequently removed from her medical case.
- 25. Respondent's conduct as described above, constitutes unprofessional conduct in violation of Sections 2234 (a) and (f) and sexual misconduct in violation of Section 726.

⁴ The attorney will be identified as R.W. to protect his identity and privacy. The Respondent may learn the attorney's name through the discovery process

PRAYER 1 WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged. 2 and that following the hearing, the Medical Board of California issue a decision: 3 1. Revoking or suspending Physician and Surgeon's Certificate Number G47015, issued 4 to John David Warbritton III, M.D.; 5 2. Revoking, suspending or denying approval of John David Warbritton III, M.D.'s 6 authority to supervise physician assistants, pursuant to section 3527 of the Code; 7 3. 8 Ordering John David Warbritton III, M.D., if placed on probation, to pay the Board the costs of probation monitoring; and 9 4. Taking such other and further action as deemed necessary and proper. 10 11 12 DATED: November 21, 2016 13 Medical Board of California 14 Department of Consumer Affairs State of California 15 Complainant 16 17 18 19 20 21 22 23 24 25 26 27

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